



20TRCN113

ÍNDICE DE DOCUMENTOS DEL EXPEDIENTE RELATIVO AL CONVENIO DE COLABORACIÓN ENTRE LA COMUNIDAD AUTÓNOMA DE LA REGIÓN DE MURCIA, A TRAVÉS DE LA CONSEJERÍA DE TRANSPARENCIA, PARTICIPACIÓN Y ADMINISTRACIÓN PÚBLICA, Y LA FUNDACIÓN CIUDADANA CIVIO PARA EL DESARROLLO DEL PROYECTO “SceMaps”.

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El expediente arriba referido consta de este índice y los documentos que en él se relacionan y se adjuntan, de lo que doy fe a efectos de garantizar su autenticidad e integridad a la fecha de la firma.

JEFA DEL SERVICIO JURÍDICO





EUROPEAN COMMISSION
Directorate-General Migration and Home Affairs
Migration and Security Funds; Financial Resources and Monitoring
Union actions



GRANT AGREEMENT

NUMBER — 823816 — SceMaps

This **Agreement** ('the Agreement') is **between** the following parties:

on the one part,

the **European Union** ('the EU'), represented by the European Commission ('the Commission'),

represented for the purposes of signature of this Agreement by Stephanie CARILLON, Head of Unit, ,
Directorate-General Migration and Home Affairs, Migration and Security Funds; Financial Resources
and Monitoring, Union actions,

and

on the other part,

1. 'the coordinator':

CENTER FOR THE STUDY OF DEMOCRACY (CSD), established in ALEXANDER
ZHENDOV STREET 5, SOFIA 1113, Bulgaria, represented for the purposes of signing the Agreement
by Chairman, Ognian SHENTOV

and the following other beneficiaries, if they sign their 'Accession Form' (see Annex 3 and Article 40):

2. **FUNDACION CIUDADANA CIVIO (CIVIO)**, established in PASEO SAN FRANCISCO DE
SALES 29 PLANTA 7 PUERTA B, MADRID 28003, Spain,

3. **ASOCIATIA EXPERT FORUM (EFOR)**, established in STR. SEMILUNEI 7, SC.B, ET.1, AP.1,
SECTOR 2, BUCURESTI 020797, Romania,

4. **UNIVERSITA DEGLI STUDI DI TRENTO (UNITN)**, established in VIA CALEPINA 14,
TRENTO 38122, Italy, VAT number: IT00340520220,

Unless otherwise specified, references to 'beneficiary' or 'beneficiaries' include the coordinator.

The parties referred to above have agreed to enter into the Agreement under the terms and conditions
below.

By signing the Agreement or the Accession Form, the beneficiaries accept the grant and agree to
implement the action under their own responsibility and in accordance with the Agreement, with all
the obligations and conditions it sets out.

The Agreement is composed of:

Terms and Conditions

Annex 1	Description of the action
Annex 2	Estimated budget for the action
Annex 3	Accession Forms
Annex 4	Model for the financial statements
Annex 5	Model for the certificate on the financial statements (CFS)
Annex 6	Not applicable
Annex 7	Not applicable

TERMS AND CONDITIONS

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CHAPTER 1 GENERAL

ARTICLE 1 — SUBJECT OF THE AGREEMENT

This Agreement sets out the rights and obligations and the terms and conditions applicable to the grant awarded to the beneficiaries for implementing the action set out in Chapter 2.

CHAPTER 2 ACTION

ARTICLE 2 — ACTION TO BE IMPLEMENTED

The grant is awarded for the action entitled ‘**State Capture Estimation and Monitoring of Anti-Corruption Policies at the Sectoral level — SceMaps**’ (‘**action**’), as described in Annex 1.

ARTICLE 3 — DURATION AND STARTING DATE OF THE ACTION

The duration of the action will be **22 months** as of 01/01/2019 (‘**starting date of the action**’).

ARTICLE 4 — ESTIMATED BUDGET AND BUDGET TRANSFERS

4.1 Estimated budget

The ‘**estimated budget**’ for the action is set out in Annex 2.

It contains the estimated eligible costs and the forms of costs, broken down by beneficiary and budget category (see Articles 5, 6).

4.2 Budget transfers

The estimated budget breakdown indicated in Annex 2 may be adjusted — without an amendment (see Article 39) — by transfers of amounts between beneficiaries, budget categories and/or forms of costs set out in Annex 2, if the action is implemented as described in Annex 1.

However, the transfers between budget categories must stay below 20% of the total costs for the action set out in Annex 2, unless they are approved by an amendment.

CHAPTER 3 GRANT

ARTICLE 5 — GRANT AMOUNT, FORM OF GRANT, REIMBURSEMENT RATE AND FORMS OF COSTS

5.1 Maximum grant amount

The ‘**maximum grant amount**’ is **EUR 352,122.87** (three hundred and fifty two thousand one hundred and twenty two EURO and eighty seven eurocents).

5.2 Form of grant, reimbursement rate and forms of costs

The grant reimburses **90%** of the action's eligible costs (see Article 6) (**'reimbursement of eligible costs grant'**) (see Annex 2).

The estimated eligible costs of the action are EUR **391,247.64** (three hundred and ninety one thousand two hundred and forty seven EURO and sixty four eurocents).

Eligible costs (see Article 6) must be declared under the following forms (**'forms of costs'** or **'cost forms'**):

- (a) for **direct personnel costs**: as actually incurred costs (**'actual costs'**)
- (b) for **direct travel and subsistence costs**: as actually incurred costs (**actual costs**);
- (c) for **direct costs of subcontracting**: as actually incurred costs (**actual costs**);
- (d) for direct costs of **providing financial support to third parties**: not applicable;
- (e) for **other direct costs**:
 - for equipment costs and costs of other goods and services: as actually incurred costs (**actual costs**);
 - specific cost category(ies): not applicable;
- (f) for **indirect costs**: on the basis of a flat-rate applied as set out in Article 6.2.Point F (**'flat-rate costs'**);

5.3 Final grant amount — Calculation

The **'final grant amount'** depends on the actual extent to which the action is implemented in accordance with the Agreement's terms and conditions.

This amount is calculated by the Commission — when the payment of the balance is made — in the following steps:

- Step 1 – Application of the reimbursement rate to the eligible costs
- Step 2 – Limit to the maximum grant amount
- Step 3 – Reduction due to the no-profit rule
- Step 4 – Reduction due to substantial errors, irregularities or fraud or serious breach of obligations

5.3.1 Step 1 — Application of the reimbursement rate to the eligible costs

The reimbursement rate (see Article 5.2) is applied to the eligible costs (actual costs and flat-rate costs; see Article 6) declared by the beneficiaries (see Article 15) and approved by the Commission (see Article 16).

5.3.2 Step 2 — Limit to the maximum grant amount

If the amount obtained following Step 1 is higher than the maximum grant amount set out in Article 5.1, it will be limited to the latter.

5.3.3 Step 3 — Reduction due to the no-profit rule

The grant must not produce a profit.

‘**Profit**’ means the surplus of the amount obtained following Steps 1 and 2 plus the action’s total receipts, over the action’s total eligible costs.

The ‘**action’s total eligible costs**’ are the consolidated total eligible costs approved by the Commission.

The ‘**action’s total receipts**’ are the consolidated total receipts generated during its duration (see Article 3).

The following are considered **receipts**:

- (a) income generated by the action;
- (b) financial contributions given by third parties to the beneficiary, specifically to be used for costs that are eligible under the action.

The following are however **not** considered receipts:

- (a) financial contributions by third parties, if they may be used to cover costs other than the eligible costs (see Article 6);
- (b) financial contributions by third parties with no obligation to repay any amount unused at the end of the period set out in Article 3.

If there is a profit, it will be deducted in proportion to the final rate of reimbursement of the eligible actual costs approved by the Commission (as compared to the amount calculated following Steps 1 and 2).

5.3.4 Step 4 — Reduction due to substantial errors, irregularities or fraud or serious breach of obligations

If the grant is reduced (see Article 27), the Commission will calculate the reduced grant amount by deducting the amount of the reduction (calculated in proportion to the seriousness of the errors, irregularities or fraud or breach of obligations, in accordance with Article 27.2) from the maximum grant amount set out in Article 5.1.

The final grant amount will be the lower of the following two:

- the amount obtained following Steps 1 to 3 or
- the reduced grant amount following Step 4.

5.4 Revised final grant amount — Calculation

If — after the payment of the balance (in particular, after checks, reviews, audits or investigations; see Article 17) — the Commission rejects costs (see Article 26) or reduces the grant (see Article 27), it will calculate the ‘**revised final grant amount**’ for the action or for the beneficiary concerned.

This amount is calculated by the Commission on the basis of the findings, as follows:

- in case of **rejection of costs**: by applying the reimbursement rate to the *revised* eligible costs approved by the Commission for the beneficiary concerned;
- in case of **reduction of the grant**: by deducting the amount of the reduction (calculated in proportion to the seriousness of the errors, irregularities or fraud or breach of obligations, in accordance with Article 27.2) from the maximum grant amount set out in Article 5.1 or from the maximum EU contribution indicated for the beneficiary in the estimated budget (see Annex 2).

In case of **rejection of costs and reduction of the grant**, the revised final grant amount will be the lower of the two amounts above.

ARTICLE 6 — ELIGIBLE AND INELIGIBLE COSTS

6.1 General conditions for costs to be eligible

‘**Eligible costs**’ are costs that meet the following criteria:

(a) for **actual costs**:

- (i) they must be actually incurred by the beneficiary;
- (ii) they must be incurred in the period set out in Article 3, with the exception of costs relating to the submission of the final report (see Article 15);
- (iii) they must be indicated in the estimated budget set out in Annex 2;
- (iv) they must be incurred in connection with the action as described in Annex 1 and necessary for its implementation;
- (v) they must be identifiable and verifiable, in particular recorded in the beneficiary’s accounts in accordance with the accounting standards applicable in the country where the beneficiary is established and with the beneficiary’s usual cost accounting practices;
- (vi) they must comply with the applicable national law on taxes, labour and social security, and
- (vii) they must be reasonable, justified and must comply with the principle of sound financial management, in particular regarding economy and efficiency;

(b) for **unit costs**: not applicable;

(c) for **flat-rate costs**:

- (i) they must be calculated by applying the flat-rate set out in Annex 2, and
- (ii) the costs (actual costs) to which the flat-rate is applied must comply with the conditions for eligibility set out in this Article;

(d) for **lump sum costs**: not applicable.

6.2 Specific conditions for costs to be eligible

Costs are eligible if they comply with the general conditions (see above) and the specific conditions set out below, for each of the following budget categories:

- A. direct personnel costs;
- B. direct travel and subsistence costs;
- C. direct costs of subcontracting;
- D. not applicable;
- E. other direct costs.
- F. indirect costs.

‘Direct costs’ are costs that are directly linked to the action implementation and can therefore be attributed to it directly. They must not include any indirect costs (see Point F below).

‘Indirect costs’ are costs that are not directly linked to the action implementation and therefore cannot be attributed directly to it.

A. Direct personnel costs

Types of eligible personnel costs

A.1 Personnel costs are eligible if they are related to personnel working for the beneficiary under an employment contract (or equivalent appointing act) and assigned to the action (**‘costs for employees (or equivalent)’**). They must be limited to salaries, social security contributions, taxes and other costs included in the **remuneration**, if they arise from national law or the employment contract (or equivalent appointing act).

They may also include **additional remuneration** for personnel assigned to the action (including payments on the basis of supplementary contracts regardless of their nature), if:

- (a) it is part of the beneficiary’s usual remuneration practices and is paid in a consistent manner whenever the same kind of work or expertise is required;
- (b) the criteria used to calculate the supplementary payments are objective and generally applied by the beneficiary, regardless of the source of funding used.

A.2 The **costs for natural persons working under a direct contract** with the beneficiary other than an employment contract or **seconded by a third party against payment** are eligible personnel costs, if:

- (a) the person works under the beneficiary’s instructions and, unless otherwise agreed with the beneficiary, on the beneficiary’s premises;
- (b) the result of the work carried out belongs to the beneficiary, and
- (c) the costs are not significantly different from those for personnel performing similar tasks under an employment contract with the beneficiary.

Calculation

Personnel costs must be calculated by the beneficiaries as follows:

- for persons **working exclusively on the action**:

{monthly rate for the person
multiplied by
number of actual months worked on the action}.

The months declared for these persons may not be declared for any other EU or Euratom grant.

The ‘**monthly rate**’ is calculated as follows:

{annual personnel costs for the person
divided by
12}.

using the personnel costs for each full financial year covered by the reporting period concerned. If a financial year is not closed at the end of the reporting period, the beneficiaries must use the monthly rate of the last closed financial year available.

- for persons **working part-time on the action**:

{daily rate for the person
multiplied by
number of actual days worked on the action (rounded up or down to the nearest half-day)}.

The number of actual days declared for a person must be identifiable and verifiable (see Article 13).

The total number of days declared in EU or Euratom grants, for a person for a year, cannot be higher than the annual productive days used for the calculations of the daily rate. Therefore, the maximum number of days that can be declared for the grant are:

{number of annual productive days for the year (see below)
minus
total number of days declared by the beneficiary, for that person for that year, for other EU or Euratom grants}.

The ‘**daily rate**’ is calculated as follows:

{annual personnel costs for the person
divided by
number of individual annual productive days}.

using the personnel costs and the number of annual productive days for each full financial year covered by the reporting period concerned. If a financial year is not closed at the end of the reporting period, the beneficiaries must use the daily rate of the last closed financial year available.

The ‘number of individual annual productive days’ is the total actual days worked by the person in the year. It may not include holidays and other absences (such as sick leave, maternity leave,

special leave, etc). However, it may include overtime and time spent in meetings, trainings and other similar activities.

The Commission may accept other calculation methods (such as, for instance, hourly rates, daily rates calculated with annual personnel costs and 215 *fixed* annual productive days or a pro-rata apportionment of the monthly salary costs), if it considers that they reflect the actual costs incurred, in a fair, objective, realistic way and if there are sufficient records to support these costs (see Article 13).

B. Direct travel and subsistence costs

Travel and subsistence costs (including related duties, taxes and charges, such as non-deductible value added tax (VAT) paid by beneficiaries that are not public bodies acting as public authority) are eligible if they are in line with the beneficiary's usual practices on travel.

C. Direct costs of subcontracting (including related duties, taxes and charges, such as non-deductible value added tax (VAT) paid by beneficiaries that are not public bodies acting as public authority) are eligible if the conditions in Article 10.1.1 are met.

D. Direct costs of providing financial support to third parties

Not applicable

E. Other direct costs

E.1 The **depreciation costs of equipment, infrastructure or other assets** (new or second-hand) as recorded in the beneficiary's accounts are eligible, if they were purchased in accordance with Article 9.1.1 and written off in accordance with international accounting standards and the beneficiary's usual accounting practices.

The **costs of renting or leasing** equipment, infrastructure or other assets (including related duties, taxes and charges, such as non-deductible value added tax (VAT) paid by beneficiaries that are not public bodies acting as public authority) are also eligible, if they do not exceed the depreciation costs of similar equipment, infrastructure or assets and do not include any financing fees.

The only portion of the costs that will be taken into account is that which corresponds to the duration of the action and rate of actual use for the purposes of the action.

E.2 **Costs of other goods and services** (including related duties, taxes and charges, such as non-deductible value added tax (VAT) paid by beneficiaries that are not public bodies acting as public authority) are eligible, if they are purchased specifically for the action and in accordance with Article 9.1.1.

Such goods and services include, for instance, consumables and supplies, dissemination, protection of results, certificates on the financial statements (if they are required by the Agreement), translations and publications.

E.3 **Costs for ad hoc queries and costs for translation of ad hoc queries**

Not applicable

F. Indirect costs

Indirect costs are eligible if they are declared on the basis of the flat-rate of 7% of the eligible direct costs (see Article 5.2 and Points A to E above).

Beneficiaries receiving an operating grant¹ financed by the EU or Euratom budget cannot declare indirect costs for the period covered by the operating grant.

6.3 Conditions for costs of affiliated entities to be eligible

Not applicable

6.4 Ineligible costs

‘**Ineligible costs**’ are:

- (a) costs that do not comply with the conditions set out above (Article 6.1 to 6.3), in particular:
 - (i) costs related to return on capital;
 - (ii) debt and debt service charges;
 - (iii) provisions for future losses or debts;
 - (iv) interest owed;
 - (v) doubtful debts;
 - (vi) currency exchange losses;
 - (vii) bank costs charged by the beneficiary’s bank for transfers from the Commission;
 - (viii) excessive or reckless expenditure;
 - (ix) deductible VAT;
 - (x) costs incurred during suspension of the implementation of the action (see Article 33);
 - (xi) in-kind contributions provided by third parties;
- (b) costs declared under another EU or Euratom grant (including grants awarded by a Member State and financed by the EU or Euratom budget and grants awarded by bodies other than the Commission for the purpose of implementing the EU or Euratom budget); in particular, indirect costs if the beneficiary is already receiving an operating grant financed by the EU or Euratom budget in the same period;
- (c) costs for staff of a national (or local) administration, for activities that are part of the administration’s normal activities (i.e. not undertaken only because of the grant);

¹ For the definition, see Article 121(1)(b) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 218, 26.10.2012, p.1) (**‘Financial Regulation No 966/2012’**): **‘operating grant’** means direct financial contribution, by way of donation, from the budget in order to finance the functioning of a body which pursues an aim of general EU interest or has an objective forming part of and supporting an EU policy.

- (d) costs (especially travel and subsistence costs) for staff or representatives of EU institutions, bodies or agencies;

6.5 Consequences of declaration of ineligible costs

Declared costs that are ineligible will be rejected (see Article 26).

This may also lead to any of the other measures described in Chapter 6.

CHAPTER 4 RIGHTS AND OBLIGATIONS OF THE PARTIES

SECTION 1 RIGHTS AND OBLIGATIONS RELATED TO IMPLEMENTING THE ACTION

ARTICLE 7 — GENERAL OBLIGATION TO PROPERLY IMPLEMENT THE ACTION

7.1 General obligation to properly implement the action

The beneficiaries must implement the action as described in Annex 1 and in compliance with the provisions of the Agreement and all legal obligations under applicable EU, international and national law.

7.2 Consequences of non-compliance

If a beneficiary breaches any of its obligations under this Article, the grant may be reduced (see Article 27).

Such breaches may also lead to any of the other measures described in Chapter 6.

ARTICLE 8 — RESOURCES TO IMPLEMENT THE ACTION — THIRD PARTY INVOLVED IN THE ACTION

The beneficiaries must have the appropriate resources to implement the action.

If it is necessary to implement the action, the beneficiaries may:

- purchase goods, works and services (see Article 9);
- call upon subcontractors to implement action tasks described in Annex 1 (see Article 10).

In these cases, the beneficiaries retain sole responsibility towards the Commission and the other beneficiaries for implementing the action.

ARTICLE 8a — IMPLEMENTATION OF ACTION TASKS BY BENEFICIARIES NOT RECEIVING EU FUNDING

Not applicable

ARTICLE 9 — PURCHASE OF GOODS, WORKS OR SERVICES

9.1 Rules for purchasing goods, works or services

9.1.1 If necessary to implement the action, the beneficiaries may purchase goods, works or services.

The beneficiaries must make such purchases ensuring the best value for money or, if appropriate, the lowest price. In doing so, they must avoid any conflict of interests (see Article 20).

The beneficiaries must ensure that the Commission, the European Court of Auditors (ECA) and the European Anti-Fraud Office (OLAF) can exercise their rights under Articles 17 and 18 also towards their contractors.

9.1.2 Beneficiaries that are ‘contracting authorities’ within the meaning of Directive 2004/18/EC² (or 2014/24/EU³) or ‘contracting entities’ within the meaning of Directive 2004/17/EC⁴ (or 2014/25/EU⁵) must comply with the applicable national law on public procurement.

9.2 Consequences of non-compliance

If a beneficiary breaches any of its obligations under Article 9.1.1, the costs related to the contract concerned will be ineligible (see Article 6) and will be rejected (see Article 26).

If a beneficiary breaches any of its obligations under Article 9.1.2, the grant may be reduced (see Article 27).

Such breaches may also lead to any of the other measures described in Chapter 6.

ARTICLE 10 — IMPLEMENTATION OF ACTION TASKS BY SUBCONTRACTORS

10.1 Rules for subcontracting action tasks

10.1.1 If necessary to implement the action, the beneficiaries may award subcontracts covering the implementation of certain action tasks described in Annex 1.

Subcontracting may not cover the core of the action.

The beneficiaries must award the subcontracts ensuring the best value for money or, if appropriate, the lowest price. In doing so, they must avoid any conflict of interests (see Article 20).

The tasks to be implemented and the estimated cost for each subcontract must be set out in Annex 1 and the total estimated costs of subcontracting per beneficiary must be set out in Annex 2.

The beneficiaries must ensure that the Commission, the European Court of Auditors (ECA) and the

² Directive 2004/18/EC of the European Parliament and of the Council of 31 March 2004 on the coordination of procedures for the award of public work contracts, public supply contracts and public service contracts (OJ L 134, 30.04.2004, p. 114).

³ Directive 2014/24/EU of the European Parliament and of the Council of 26 February 2014 on public procurement and repealing Directive 2004/18/EC (OJ L 94, 28.3.2014, p. 65).

⁴ Directive 2004/17/EC of the European Parliament and of the Council of 31 March 2004 coordinating the procurement procedures of entities operating in the water, energy, transport and postal services sectors (OJ L 134, 30.04.2004, p. 1).

⁵ Directive 2014/25/EU of the European Parliament and of the Council of 26 February 2014 on procurement by entities operating in the water, energy, transport and postal services sectors and repealing Directive 2004/17/EC (OJ L 94, 28.3.2014, p. 243).

European Anti-Fraud Office (OLAF) can exercise their rights under Articles 17 and 18 also towards their subcontractors.

10.1.2 The beneficiaries must ensure that their obligations under Articles 20, 21, 22 and 30 also apply to the subcontractors.

Beneficiaries that are ‘contracting authorities’ within the meaning of Directive 2004/18/EC (or 2014/24/EU) or ‘contracting entities’ within the meaning of Directive 2004/17/EC (or 2014/25/EU) must comply with the applicable national law on public procurement.

10.2 Consequences of non-compliance

If a beneficiary breaches any of its obligations under Article 10.1.1, the costs related to the subcontract concerned will be ineligible (see Article 6) and will be rejected (see Article 26).

If a beneficiary breaches any of its obligations under Article 10.1.2, the grant may be reduced (see Article 27).

Such breaches may also lead to any of the other measures described in Chapter 6.

ARTICLE 11 — IMPLEMENTATION OF ACTION TASKS BY AFFILIATED ENTITIES

Not applicable

ARTICLE 11a — FINANCIAL SUPPORT TO THIRD PARTIES

11a.1 Rules for providing financial support to third parties

Not applicable

11a.2 Financial support in the form of prizes

Not applicable

11a.3 Consequences of non-compliance

Not applicable

SECTION 2 RIGHTS AND OBLIGATIONS RELATED TO THE GRANT ADMINISTRATION

ARTICLE 12 — GENERAL OBLIGATION TO INFORM

12.1 General obligation to provide information upon request

The beneficiaries must provide — during implementation of the action or afterwards and in accordance with Article 25.2 — any information requested in order to verify eligibility of the costs, proper implementation of the action and compliance with the other obligations under the Agreement.

12.2 Obligation to keep information up to date and to inform about events and circumstances likely to affect the Agreement

Each beneficiary must keep information stored in the Participant Portal Beneficiary Register (via the electronic exchange system; see Article 36) up to date, in particular, its name, address, legal representatives, legal form and organisation type.

Each beneficiary must immediately inform the coordinator — which must immediately inform the Commission and the other beneficiaries — of any of the following:

- (a) **events** which are likely to affect significantly or delay the implementation of the action or the EU's financial interests, in particular:
 - (i) changes in its legal, financial, technical, organisational or ownership situation
- (b) **circumstances** affecting:
 - (i) the decision to award the grant or
 - (ii) compliance with requirements under the Agreement.

12.3 Consequences of non-compliance

If a beneficiary breaches any of its obligations under this Article, the grant may be reduced (see Article 27).

Such breaches may also lead to any of the other measures described in Chapter 6.

ARTICLE 13 — KEEPING RECORDS — SUPPORTING DOCUMENTATION

13.1 Obligation to keep records and other supporting documentation

The beneficiaries must — for a period of **five years after the payment of the balance** — keep records and other supporting documentation in order to prove the proper implementation of the action and the costs they declare as eligible.

They must make them available upon request (see Article 12) or in the context of checks, reviews, audits or investigations (see Article 17).

If there are on-going checks, reviews, audits, investigations, litigation or other pursuits of claims under the Agreement (including the extension of findings; see Articles 17), the beneficiaries must keep the records and other supporting documentation until the end of these procedures.

The beneficiaries must keep the original documents. Digital and digitalised documents are considered originals if they are authorised by the applicable national law. The Commission may accept non-original documents if they considers that they offer a comparable level of assurance.

13.1.1 Records and other supporting documentation on the technical implementation

The beneficiaries must keep records and other supporting documentation on the technical implementation of the action, in line with the accepted standards in the respective field.

13.1.2 Records and other documentation to support the costs declared

The beneficiaries must keep the records and documentation supporting the costs declared, in particular the following:

- (a) for **actual costs**: adequate records and other supporting documentation to prove the costs declared, such as contracts, subcontracts, invoices and accounting records. In addition, the beneficiaries' usual cost accounting practices and internal control procedures must enable direct reconciliation between the amounts declared, the amounts recorded in their accounts and the amounts stated in the supporting documentation;
- (b) for **unit costs**: not applicable;
- (c) for **flat-rate costs**: adequate records and other supporting documentation to prove the eligibility of the costs to which the flat-rate is applied. The beneficiaries do not need to identify the costs covered or provide supporting documentation (such as accounting statements) to prove the amount declared at a flat-rate.
- (d) for **lump sum costs**: not applicable.

In addition, for **personnel costs** (declared as actual costs), the beneficiaries must keep **time records** for the number of days declared. The time records must be in writing and approved by the persons working on the action and their supervisors, at least monthly. In the absence of reliable time records of the days worked on the action, the Commission may accept alternative evidence supporting the number of days declared, if it considers that it offers an adequate level of assurance.

As an exception, for **persons working exclusively on the action**, there is no need to keep time records, if the beneficiary signs a **declaration** confirming that the persons concerned have worked exclusively on the action.

13.2 Consequences of non-compliance

If a beneficiary breaches any of its obligations under this Article, costs insufficiently substantiated will be ineligible (see Article 6) and will be rejected (see Article 26), and the grant may be reduced (see Article 27).

Such breaches may also lead to any of the other measures described in Chapter 6.

ARTICLE 14 — SUBMISSION OF DELIVERABLES

14.1 Obligation to submit deliverables

The coordinator must submit:

- the '**deliverables**' identified in Annex 1, in accordance with the timing and conditions set out in it.

14.2 Consequences of non-compliance

If the coordinator breaches any of its obligations under this Article, the Commission may apply any of the measures described in Chapter 6.

ARTICLE 15 — REPORTING — PAYMENT REQUESTS

15.1 Obligation to submit reports

The coordinator must submit to the Commission (see Article 36) the technical and financial report(s) set out in this Article. This report includes the request(s) for payment and must be drawn up using the forms and templates provided in the electronic exchange system (see Article 36).

15.2 Reporting periods

The action has one ‘**reporting period**’:

- RP1: from month 1 to month 22

15.2a Request(s) for further pre-financing payment(s)

Not applicable

15.3 Periodic reports — Requests for interim payments

Not applicable

15.4 Final report — Request for payment of the balance

The coordinator must submit — within 60 days following the end of the reporting period — a final report, which includes the request for payment of the balance.

The **final report** must include the following:

(a) a ‘**final technical report**’ containing:

- (i) an **explanation of the work carried out** by the beneficiaries;
- (ii) an **overview of the implementation** of the action, including milestones and deliverables identified in Annex 1.

This report must include explanations justifying the differences between work expected to be carried out in accordance with Annex 1 and that actually carried out;

- (iii) a **summary** for publication by the Commission;
- (iv) the answers to the ‘**questionnaire**’: not applicable;

(b) a ‘**final financial report**’ containing:

- (i) an ‘**individual financial statement**’ (see Annex 4) from each beneficiary, for the reporting period.

The individual financial statement must detail the eligible costs (actual costs and flat-rate costs; see Article 6) for each budget category (see Annex 2).

The beneficiaries must declare all eligible costs, even if — for actual costs and flat-rate costs — they exceed the amounts indicated in the estimated budget (see Annex 2).

Amounts which are not declared in the individual financial statement will not be taken into account by the Commission.

The individual financial statements must also detail the **receipts of the action** (see Article 5.3.3).

Each beneficiary must **certify** that:

- the information provided is full, reliable and true;
 - the costs declared are eligible (see Article 6);
 - the costs can be substantiated by adequate records and supporting documentation (see Article 13) that will be produced upon request (see Article 12) or in the context of checks, reviews, audits and investigations (see Article 17), and
 - that all the receipts have been declared (see Article 5.3.3);
- (ii) an **explanation of the use of resources** and the information on subcontracting (see Article 10) from each beneficiary, for the reporting period concerned;
- (iii) not applicable;
- (iv) a '**final summary financial statement**', created automatically by the electronic exchange system, consolidating the individual financial statement(s) for the reporting period and including the **request for payment of the balance**;
- (v) a '**certificate on the financial statements**' (drawn up in accordance with Annex 5) for each beneficiary, if it requests an EU contribution of EUR 100 000 or more as reimbursement of actual costs.

15.5 Information on cumulative expenditure incurred

Not applicable

15.6 Currency for financial statements and conversion into euro

Financial statements must be drafted in euro.

Beneficiaries with accounting established in a currency other than the euro must convert the costs recorded in their accounts into euro, at the average of the daily exchange rates published in the C series of the *Official Journal of the European Union*, calculated over the corresponding reporting period.

If no daily euro exchange rate is published in the *Official Journal of the European Union* for the currency in question, they must be converted at the average of the monthly accounting rates published on the Commission's website, calculated over the corresponding reporting period.

Beneficiaries with accounting established in euro must convert costs incurred in another currency into euro according to their usual accounting practices.

15.7 Language of reports

All report(s) (including financial statements) must be submitted in the language of the Agreement.

15.8 Consequences of non-compliance

If the report(s) submitted do not comply with this Article, the Commission may suspend the payment deadline (see Article 31) and apply any of the other measures described in Chapter 6.

If the coordinator breaches its obligation to submit the report(s) and if it fails to comply with this obligation within 30 days following a written reminder, the Commission may terminate the Agreement (see Article 34) or apply any of the other measures described in Chapter 6.

ARTICLE 16 — PAYMENTS AND PAYMENT ARRANGEMENTS

16.1 Payments to be made

The following payments will be made to the coordinator:

- a **pre-financing payment**;
- one **payment of the balance**, on the basis of the request for payment of the balance (see Article 15).

16.2 Pre-financing payment(s) — Amount

The aim of the pre-financing is to provide the beneficiaries with a float.

It remains the property of the EU until the payment of the balance.

The amount of the pre-financing payment will be EUR **281,698.30** (two hundred and eighty one thousand six hundred and ninety eight EURO and thirty eurocents).

The Commission will — except if Article 32 applies — make the pre-financing payment to the coordinator within 30 days from the accession of all beneficiaries to the Agreement (see Article 40).

16.3 Interim payments — Amount — Calculation

Not applicable

16.4 Payment of the balance — Amount — Calculation

The payment of the balance reimburses the remaining part of the eligible costs incurred by the beneficiaries for the implementation of the action.

If the total amount of earlier payments is greater than the final grant amount (see Article 5.3), the payment of the balance takes the form of a recovery (see Article 28).

If the total amount of earlier payments is lower than the final grant amount, the Commission will pay the balance within 90 days from receiving the final report (see Article 15.4), except if Articles 31 or 32 apply.

Payment is subject to the approval of the final report. Its approval does not imply recognition of compliance, authenticity, completeness or correctness of its content.

The **amount due as the balance** is calculated by the Commission by deducting the total amount of

pre-financing and interim payments (if any) already made, from the final grant amount determined in accordance with Article 5.3:

$$\begin{aligned} & \{ \text{final grant amount (see Article 5.3)} \\ & \text{minus} \\ & \{ \text{pre-financing and interim payments (if any) made} \} \}. \end{aligned}$$

If the balance is positive, it will be paid to the coordinator.

The amount to be paid may however be offset — without the beneficiaries' consent — against any other amount owed by a beneficiary to the Commission or an executive agency (under the EU or Euratom budget), up to the maximum EU contribution indicated, for that beneficiary, in the estimated budget (see Annex 2).

If the balance is negative, it will be recovered from the coordinator (see Article 28).

16.5 Notification of amounts due

When making payments, the Commission will formally notify to the coordinator the amount due, specifying that it concerns the payment of the balance.

For the payment of the balance, the notification will also specify the final grant amount.

In the case of reduction of the grant or recovery of undue amounts, the notification will be preceded by the contradictory procedure set out in Articles 27 and 28.

16.6 Currency for payments

The Commission will make all payments in euro.

16.7 Payments to the coordinator — Distribution to the beneficiaries

Payments will be made to the coordinator.

Payments to the coordinator will discharge the Commission from its payment obligation.

The coordinator must distribute the payments between the beneficiaries without unjustified delay.

16.8 Bank account for payments

All payments will be made to the following bank account:

Name of bank: RAIFFEISENBANK (BULGARIA) EAD
Full name of the account holder: CENTER FOR THE STUDY OF DEMOCRACY
Full account number (including bank codes):
IBAN code: BG81RZBB91551460050568

16.9 Costs of payment transfers

The cost of the payment transfers is borne as follows:

- the Commission bears the cost of transfers charged by its bank;
- the beneficiary bears the cost of transfers charged by its bank;
- the party causing a repetition of a transfer bears all costs of the repeated transfer.

16.10 Date of payment

Payments by the Commission are considered to have been carried out on the date when they are debited to its account.

16.11 Consequences of non-compliance

16.11.1 If the Commission does not pay within the payment deadlines (see above), the beneficiaries are entitled to **late-payment interest** at the rate applied by the European Central Bank (ECB) for its main refinancing operations in euros ('reference rate'), plus three and a half points. The reference rate is the rate in force on the first day of the month in which the payment deadline expires, as published in the C series of the *Official Journal of the European Union*.

If the late-payment interest is lower than or equal to EUR 200, it will be paid to the coordinator only upon request submitted within two months of receiving the late payment.

Late-payment interest is not due if all beneficiaries are EU Member States (including regional and local government authorities or other public bodies acting on behalf of a Member State for the purpose of this Agreement).

Suspension of the payment deadline or payments (see Articles 31 and 32) will not be considered as late payment.

Late-payment interest covers the period running from the day following the due date for payment (see above), up to and including the date of payment.

Late-payment interest is not considered for the purposes of calculating the final grant amount.

16.11.2 If the coordinator breaches any of its obligations under this Article, the grant may be reduced (see Article 27) and the Agreement or the participation of the coordinator may be terminated (see Article 34).

Such breaches may also lead to any of the other measures described in Chapter 6.

ARTICLE 17 — CHECKS, REVIEWS, AUDITS AND INVESTIGATIONS — EXTENSION OF FINDINGS

17.1 Checks, reviews and audits by the Commission

17.1.1 Right to carry out checks

The Commission will — during the implementation of the action or afterwards — check the proper implementation of the action and compliance with the obligations under the Agreement, including assessing deliverables and reports.

For this purpose, the Commission may be assisted by external persons or bodies.

The Commission may also request additional information in accordance with Article 12. The Commission may request the beneficiaries to provide such information to it directly.

Information provided must be accurate, precise and complete and in the format requested, including electronic format.

17.1.2 Right to carry out reviews

The Commission may — during the implementation of the action or afterwards — carry out reviews on the proper implementation of the action (including assessment of deliverables and reports) and compliance with the obligations under the Agreement.

Reviews may be started **up to five years after the payment of the balance**. They will be formally notified to the coordinator or beneficiary concerned and will be considered to have started on the date of the formal notification.

If the review is carried out on a third party (see Articles 9 to 11a), the beneficiary concerned must inform the third party.

The Commission may carry out reviews directly (using its own staff) or indirectly (using external persons or bodies appointed to do so). It will inform the coordinator or beneficiary concerned of the identity of the external persons or bodies. They have the right to object to the appointment on grounds of commercial confidentiality.

The coordinator or beneficiary concerned must provide — within the deadline requested — any information and data in addition to deliverables and reports already submitted (including information on the use of resources). The Commission may request beneficiaries to provide such information to it directly.

The coordinator or beneficiary concerned may be requested to participate in meetings, including with external experts.

For **on-the-spot** reviews, the beneficiaries must allow access to their sites and premises, including to external persons or bodies, and must ensure that information requested is readily available.

Information provided must be accurate, precise and complete and in the format requested, including electronic format.

On the basis of the review findings, a '**review report**' will be drawn up.

The Commission will formally notify the review report to the coordinator or beneficiary concerned, which has 30 days to formally notify observations ('**contradictory review procedure**').

Reviews (including review reports) are in the language of the Agreement.

17.1.3 Right to carry out audits

The Commission may — during the implementation of the action or afterwards — carry out audits on the proper implementation of the action and compliance with the obligations under the Agreement.

Audits may be started **up to five years after the payment of the balance**. They will be formally notified to the coordinator or beneficiary concerned and will be considered to have started on the date of the formal notification.

If the audit is carried out on a third party (see Articles 9 to 11a), the beneficiary concerned must inform the third party.

The Commission may carry out audits directly (using its own staff) or indirectly (using external persons or bodies appointed to do so). It will inform the coordinator or beneficiary concerned of the identity of the external persons or bodies. They have the right to object to the appointment on grounds of commercial confidentiality.

The coordinator or beneficiary concerned must provide — within the deadline requested — any information (including complete accounts, individual salary statements or other personal data) to verify compliance with the Agreement. The Commission may request beneficiaries to provide such information to it directly.

For **on-the-spot** audits, the beneficiaries must allow access to their sites and premises, including to external persons or bodies, and must ensure that information requested is readily available.

Information provided must be accurate, precise and complete and in the format requested, including electronic format.

On the basis of the audit findings, a '**draft audit report**' will be drawn up.

The Commission will formally notify the draft audit report to the coordinator or beneficiary concerned, which has 30 days to formally notify observations ('**contradictory audit procedure**'). This period may be extended by the Commission in justified cases.

The '**final audit report**' will take into account observations by the coordinator or beneficiary concerned. The report will be formally notified to it.

Audits (including audit reports) are in the language of the Agreement.

The Commission may also access the beneficiaries' statutory records for the periodical assessment of flat-rate amounts.

17.2 Investigations by the European Anti-Fraud Office (OLAF)

Under Regulations No 883/2013⁷ and No 2185/96⁸ (and in accordance with their provisions and procedures), the European Anti-Fraud Office (OLAF) may — at any moment during implementation of the action or afterwards — carry out investigations, including on-the-spot checks and inspections, to establish whether there has been fraud, corruption or any other illegal activity affecting the financial interests of the EU.

17.3 Checks and audits by the European Court of Auditors (ECA)

Under Article 287 of the Treaty on the Functioning of the European Union (TFEU) and Article 161 of

⁷ Regulation (EU, Euratom) No 883/2013 of the European Parliament and of the Council of 11 September 2013 concerning investigations conducted by the European Anti-Fraud Office (OLAF) and repealing Regulation (EC) No 1073/1999 of the European Parliament and of the Council and Council Regulation (Euratom) No 1074/1999 (OJ L 248, 18.09.2013, p. 1).

⁸ Council Regulation (Euratom, EC) No 2185/1996 of 11 November 1996 concerning on-the-spot checks and inspections carried out by the Commission in order to protect the European Communities' financial interests against fraud and other irregularities (OJ L 292, 15.11.1996, p. 2).

the Financial Regulation No 966/2012⁹, the European Court of Auditors (ECA) may — at any moment during implementation of the action or afterwards — carry out audits.

The ECA has the right of access for the purpose of checks and audits.

17.4 Checks, reviews, audits and investigations for international organisations

Not applicable

17.5 Consequences of findings in checks, reviews, audits and investigations — Extension of findings

17.5.1 Findings in this grant

Findings in checks, reviews, audits or investigations carried out in the context of this grant may lead to the rejection of ineligible costs (see Article 26), reduction of the grant (see Article 27), recovery of undue amounts (see Article 28) or to any of the other measures described in Chapter 6.

Rejection of costs or reduction of the grant after the payment of the balance will lead to a revised final grant amount (see Article 5.4).

Findings in checks, reviews, audits or investigations may lead to a request for amendment for the modification of Annex 1 (see Article 39).

Checks, reviews, audits or investigations that find systemic or recurrent errors, irregularities, fraud or breach of obligations may also lead to consequences in other EU or Euratom grants awarded under similar conditions (**‘extension of findings from this grant to other grants’**).

Moreover, findings arising from an OLAF investigation may lead to criminal prosecution under national law.

17.5.2 Findings in other grants

The Commission may extend findings from other grants to this grant (**‘extension of findings from other grants to this grant’**), if:

- (a) the beneficiary concerned is found, in other EU or Euratom grants awarded under similar conditions, to have committed systemic or recurrent errors, irregularities, fraud or breach of obligations that have a material impact on this grant and
- (b) those findings are formally notified to the beneficiary concerned — together with the list of grants affected by the findings — **no later than five years after the payment of the balance** of this grant.

The extension of findings may lead to the rejection of costs (see Article 26), reduction of the grant (see Article 27), recovery of undue amounts (see Article 28), suspension of payments (see Article 32), suspension of the action implementation (see Article 33) or termination (see Article 34).

⁹ Regulation (EU, EURATOM) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, EURATOM) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17.5.3 Procedure

The Commission will formally notify the beneficiary concerned the systemic or recurrent errors and its intention to extend these audit findings, together with the list of grants affected.

17.5.3.1 If the findings concern **eligibility of costs**: the formal notification will include:

- (a) an invitation to submit observations on the list of grants affected by the findings;
- (b) the request to submit **revised financial statements** for all grants affected;
- (c) the **correction rate for extrapolation** established by the Commission on the basis of the systemic or recurrent errors, to calculate the amounts to be rejected, if the beneficiary concerned:
 - (i) considers that the submission of revised financial statements is not possible or practicable or
 - (ii) does not submit revised financial statements.

The beneficiary concerned has 90 days from receiving notification to submit observations, revised financial statements or to propose a duly substantiated **alternative correction method**. This period may be extended by the Commission in justified cases.

The Commission may then start a **rejection procedure** in accordance with Article 26, either on the basis of the revised financial statements, the alternative method or the correction rate announced.

17.5.3.2 If the findings concern **substantial errors, irregularities or fraud or serious breach of obligations**: the formal notification will include:

- (a) an invitation to submit observations on the list of grants affected by the findings and
- (b) the flat-rate the Commission intends to apply according to the principle of proportionality.

The beneficiary concerned has 90 days from receiving notification to submit observations or to propose a duly substantiated alternative flat-rate.

The Commission may then start a **reduction procedure** in accordance with Article 27, either on the basis of the alternative flat-rate or the flat-rate announced.

17.6 Consequences of non-compliance

If a beneficiary breaches any of its obligations under this Article, any insufficiently substantiated costs will be ineligible (see Article 6) and will be rejected (see Article 26).

Such breaches may also lead to any of the other measures described in Chapter 6.

ARTICLE 18 — EVALUATION OF THE IMPACT OF THE ACTION

18.1 Right to evaluate the impact of the action

The Commission may carry out interim and final evaluations of the impact of the action measured against the objective of the EU programme.

Evaluations may be started during implementation of the action and **up to five years after the payment of the balance**. The evaluation is considered to start on the date of the formal notification to the coordinator or beneficiaries.

The Commission may make these evaluations directly (using its own staff) or indirectly (using external bodies or persons it has authorised to do so).

The coordinator or beneficiaries must provide any information relevant to evaluate the impact of the action, including information in electronic format.

18.2 Consequences of non-compliance

If a beneficiary breaches any of its obligations under this Article, the Commission may apply the measures described in Chapter 6.

SECTION 3 OTHER RIGHTS AND OBLIGATIONS

ARTICLE 18a — CONDITIONS FOR CARRYING OUT SPECIFIC ACTIVITIES

Not applicable

ARTICLE 19 — PRE-EXISTING RIGHTS AND OWNERSHIP OF THE RESULTS (INCLUDING INTELLECTUAL AND INDUSTRIAL PROPERTY RIGHTS)

19.1 Pre-existing rights and access rights to pre-existing rights

Where industrial and intellectual property rights (including rights of third parties) exist prior to the Agreement, the beneficiaries must establish a list of these pre-existing industrial and intellectual property rights, specifying the owner and any persons that have a right of use.

The coordinator must — before starting the action — submit this list to the Commission.

Each beneficiary must give the other beneficiaries access to any pre-existing industrial and intellectual property rights needed for the implementation of the action and compliance with the obligations under the Agreement.

19.2 Ownership of results and rights of use

The results of the action (including the reports and other documents relating to it) are owned by the beneficiaries.

The beneficiaries must give the Commission the right to use the results for their communication activities under Article 22.

19.3 Consequences of non-compliance

If a beneficiary breaches any of its obligations under this Article, the grant may be reduced (see Article 27).

Such a breach may also lead to any of the other measures described in Chapter 6.

ARTICLE 20 — CONFLICT OF INTERESTS

20.1 Obligation to avoid a conflict of interests

The beneficiaries must take all measures to prevent any situation where the impartial and objective implementation of the action is compromised for reasons involving economic interest, political or national affinity, family or emotional ties or any other shared interest (**‘conflict of interests’**).

They must formally notify to the Commission without delay any situation constituting or likely to lead to a conflict of interests and immediately take all the necessary steps to rectify this situation.

The Commission may verify that the measures taken are appropriate and may require additional measures to be taken by a specified deadline.

20.2 Consequences of non-compliance

If a beneficiary breaches any of its obligations under this Article, the grant may be reduced (see Article 27) and the Agreement may be terminated (see Article 34).

Such breaches may also lead to any of the other measures described in Chapter 6.

ARTICLE 21 — CONFIDENTIALITY

21.1 General obligation to maintain confidentiality

During implementation of the action and **for five years after the payment of the balance**, the parties must keep confidential any data, documents or other material (in any form) that is identified as confidential at the time it is disclosed (**‘confidential information’**).

They may use confidential information to implement the Agreement.

The confidentiality obligations no longer apply if:

- (a) the disclosing party agrees to release the other party;
- (b) the information becomes generally and publicly available, without breaching any confidentiality obligation;
- (c) the disclosure of the confidential information is required by EU or national law.

21.2 Consequences of non-compliance

If a beneficiary breaches any of its obligations under this Article, the grant may be reduced (see Article 27).

Such breaches may also lead to any of the other measures described in Chapter 6.

ARTICLE 22 — PROMOTING THE ACTION — VISIBILITY OF EU FUNDING

22.1 Communication activities by the beneficiaries

22.1.1 General obligation to promote the action and its results

The beneficiaries must promote the action and its results.

22.1.2 Information on EU funding — Obligation and right to use the EU emblem

Unless the Commission requests or agrees otherwise, any communication activity related to the action (including at conferences, seminars, in information material, such as brochures, leaflets, posters, presentations, etc., in electronic form, via social media, etc.) and any infrastructure, equipment or major result funded by the grant must:

- display the EU emblem and
- include the following text:

“This [insert appropriate description, e.g. report, publication, conference, infrastructure, equipment, insert type of result, etc.] was funded by the European Union’s Internal Security Fund — Police.”

When displayed in association with another logo, the EU emblem must have appropriate prominence.

For the purposes of their obligations under this Article, the beneficiaries may use the EU emblem without first obtaining approval from the Commission.

This does not, however, give them the right to exclusive use.

Moreover, they may not appropriate the EU emblem or any similar trademark or logo, either by registration or by any other means.

22.1.3 Disclaimer excluding Commission responsibility

Any communication activity related to the action must indicate the following disclaimer:

“The content of this [insert appropriate description, e.g. report, publication, conference, etc.] represents the views of the author only and is his/her sole responsibility. The European Commission does not accept any responsibility for use that may be made of the information it contains.”

22.2 Communication activities by the Commission

22.2.1 Right to use beneficiaries’ materials, documents or information

The Commission may use information relating to the action, documents notably summaries for publication and public deliverables as well as any other material, such as pictures or audio-visual material received from any beneficiary (including in electronic form).

This does not change the confidentiality obligations in Article 21, which still apply.

The right to use a beneficiary’s materials, documents and information includes:

- (a) **use for its own purposes** (in particular, making them available to persons working for the Commission or any other EU institution, body, office or agency or body or institutions in EU Member States; and copying or reproducing them in whole or in part, in unlimited numbers);
- (b) **distribution to the public** (in particular, publication as hard copies and in electronic or digital format, publication on the internet, as a downloadable or non-downloadable file, broadcasting by any channel, public display or presentation, communicating through press information services, or inclusion in widely accessible databases or indexes);

- (c) **editing or redrafting** for communication and publicising activities (including shortening, summarising, inserting other elements (such as meta-data, legends, other graphic, visual, audio or text elements), extracting parts (e.g. audio or video files), dividing into parts, use in a compilation);
- (d) **translation**;
- (e) giving **access in response to individual requests** under Regulation No 1049/2001¹⁰, without the right to reproduce or exploit;
- (f) **storage** in paper, electronic or other form;
- (g) **archiving**, in line with applicable document-management rules, and
- (h) the right to authorise **third parties** to act on its behalf or sub-license the modes of use set out in Points (b), (c), (d) and (f) to third parties if needed for the communication and publicising activities of the Commission.

If the right of use is subject to rights of a third party (including personnel of the beneficiary), the beneficiary must ensure that it complies with its obligations under this Agreement (in particular, by obtaining the necessary approval from the third parties concerned).

Where applicable (and if provided by the beneficiaries), the Commission will insert the following information:

“© – [year] – [name of the copyright owner]. All rights reserved. Licensed to the European Union (EU) under conditions.”

22.3 Consequences of non-compliance

If a beneficiary breaches any of its obligations under this Article, the grant may be reduced (see Article 27).

Such breaches may also lead to any of the other measures described in Chapter 6.

ARTICLE 23 — PROCESSING OF PERSONAL DATA

23.1 Processing of personal data by the Commission

Any personal data under the Agreement will be processed by the Commission under Regulation No 45/2001¹¹ and according to the ‘notifications of the processing operations’ to the Data Protection Officer (DPO) of the Commission (publicly accessible in the DPO register).

Such data will be processed by the ‘**data controller**’ of the Commission for the purposes of implementing, managing and monitoring the Agreement or protecting the financial interests of the EU or Euratom (including checks, reviews, audits and investigations; see Article 17).

¹⁰ Regulation (EC) No 1049/2001 of the European Parliament and of the Council of 30 May 2001 regarding public access to European Parliament, Council and Commission documents, OJ L 145, 31.5.2001, p. 43.

¹¹ Regulation (EC) No 45/2001 of the European Parliament and of the Council of 18 December 2000 on the protection of individuals with regard to the processing of personal data by the Community institutions and bodies and on the free movement of such data (OJ L 8, 12.01.2001, p. 1).

The persons whose personal data are processed have the right to access and correct their own personal data. For this purpose, they must send any queries about the processing of their personal data to the data controller, via the contact point indicated in the privacy statement(s) on the Commission websites.

They also have the right to have recourse at any time to the European Data Protection Supervisor (EDPS).

23.2 Processing of personal data by the beneficiaries

The beneficiaries must process personal data under the Agreement in compliance with applicable EU and national law on data protection (including authorisations or notification requirements).

The beneficiaries may grant their personnel access only to data that is strictly necessary for implementing, managing and monitoring the Agreement.

The beneficiaries must inform the personnel whose personal data are collected and processed by the Commission. For this purpose, they must provide them with the privacy statement(s) (see above), before transmitting their data to the Commission.

23.3 Consequences of non-compliance

If a beneficiary breaches any of its obligations under Article 23.2, the Commission may apply any of the measures described in Chapter 6.

ARTICLE 24 — ASSIGNMENTS OF CLAIMS FOR PAYMENT AGAINST THE COMMISSION

The beneficiaries may not assign any of their claims for payment against the Commission to any third party, except if approved by the Commission on the basis of a reasoned, written request by the coordinator (on behalf of the beneficiary concerned).

If the Commission has not accepted the assignment or the terms of it are not observed, the assignment will have no effect on it.

In no circumstances will an assignment release the beneficiaries from their obligations towards the Commission.

CHAPTER 5 DIVISION OF BENEFICIARIES' ROLES AND RESPONSIBILITIES

ARTICLE 25 — DIVISION OF BENEFICIARIES' ROLES AND RESPONSIBILITIES

25.1 Roles and responsibilities towards the Commission

The beneficiaries have full responsibility for implementing the action and complying with the Agreement.

The beneficiaries are jointly and severally liable for the **technical implementation** of the action as described in Annex 1. If a beneficiary fails to implement its part of the action, the other beneficiaries become responsible for implementing this part (without being entitled to any additional EU funding for doing so), unless the Commission expressly relieves them of this obligation.

The **financial responsibility** of each beneficiary is governed by Articles 28, 29 and 30.

25.2 Internal division of roles and responsibilities

The internal roles and responsibilities of the beneficiaries are divided as follows:

(a) Each **beneficiary** must:

- (i) keep information stored in the Participant Portal Beneficiary Register (via the electronic exchange system) up to date (see Article 12);
- (ii) inform the coordinator immediately of any events or circumstances likely to affect significantly or delay the implementation of the action (see Article 12);
- (iii) submit to the coordinator in good time:
 - individual financial statement(s) for itself and, if required, certificates on the financial statement(s) (see Article 15);
 - the data needed to draw up the technical report(s) (see Article 15);
 - any other documents or information required by the Commission under the Agreement, unless the Agreement requires the beneficiary to submit this information directly.

(b) The **coordinator** must:

- (i) monitor that the action is implemented properly (see Article 7);
- (ii) act as the intermediary for all communications between the beneficiaries and the Commission (in particular, providing the Commission with the information described in Article 12), unless the Agreement specifies otherwise;
- (iii) provide a pre-financing guarantee if requested by the Commission (see Article 16.2);
- (iv) request and review any documents or information required by the Commission and verify their completeness and correctness before passing them on to the Commission;
- (v) submit the deliverables and report(s) to the Commission (see Articles 14 and 15);
- (vi) ensure that all payments are made to the other beneficiaries without unjustified delay (see Article 16);

The coordinator may not subcontract the above-mentioned tasks.

25.3 Internal arrangements between beneficiaries — Consortium agreement

The beneficiaries must have internal arrangements regarding their operation and co-ordination to ensure that the action is implemented properly. These internal arrangements must be set out in a written ‘**consortium agreement**’ between the beneficiaries, which may cover:

- internal organisation of the consortium;

- management of access to the electronic exchange system;
- distribution of EU funding;
- additional rules on rights and obligations related to pre-existing rights and results (see Article 19);
- settlement of internal disputes;
- liability, indemnification and confidentiality arrangements between the beneficiaries.

The consortium agreement must not contain any provision contrary to the Agreement.

CHAPTER 6 REJECTION OF COSTS — REDUCTION OF THE GRANT — RECOVERY — SANCTIONS — DAMAGES — SUSPENSION — TERMINATION — FORCE MAJEURE

SECTION 1 REJECTION OF COSTS — REDUCTION OF THE GRANT — RECOVERY — SANCTIONS

ARTICLE 26 — REJECTION OF INELIGIBLE COSTS

26.1 Conditions

The Commission will — **at the payment of the balance or afterwards** — reject any costs which are ineligible (see Article 6), in particular following checks, reviews, audits or investigations (see Article 17).

The rejection may also be based on the **extension of findings from other grants to this grant** (see Article 17.5.2).

26.2 Ineligible costs to be rejected — Calculation — Procedure

Ineligible costs will be rejected in full.

If the rejection of costs does not lead to a recovery (see Article 28), the Commission will formally notify the coordinator or beneficiary concerned of the rejection of costs, the amounts and the reasons why (if applicable, together with the notification of amounts due; see Article 16.5). The coordinator or beneficiary concerned may — within 30 days of receiving notification — formally notify the Commission of its disagreement and the reasons why.

If the rejection of costs leads to a recovery, the Commission will follow the contradictory procedure with pre-information letter set out in Article 28.

26.3 Effects

If the Commission rejects costs at **the payment of the balance**, it will deduct them from the total eligible costs declared, for the action, in the final summary financial statement (see Article 15.3 and 15.4). It will then calculate payment of the balance as set out in Article 16.3 or 16.4.

If the Commission rejects costs **after the payment of the balance**, it will deduct the amount rejected from the total eligible costs declared, by the beneficiary, in the final summary financial statement. It will then calculate the revised final grant amount as set out in Article 5.4. If the revised final grant amount is lower than the final grant amount, the Commission will recover the difference (see Article 28).

ARTICLE 27 — REDUCTION OF THE GRANT

27.1 Conditions

The Commission may — **at the payment of the balance** or **afterwards** — reduce the grant, if:

- (a) a beneficiary (or a natural person who has the power to represent or take decisions on its behalf) has committed:
 - (i) substantial errors, irregularities or fraud or
 - (ii) serious breach of obligations under the Agreement or during the award procedure (including improper implementation of the action, submission of false information, failure to provide required information, breach of ethical principles) or
- (b) a beneficiary (or a natural person who has the power to represent or take decisions on its behalf) has committed — in other EU or Euratom grants awarded to it under similar conditions — systemic or recurrent errors, irregularities, fraud or serious breach of obligations that have a material impact on this grant (**extension of findings from other grants to this grant**; see Article 17.5.2).

27.2 Amount to be reduced — Calculation — Procedure

The amount of the reduction will be proportionate to the seriousness of the errors, irregularities or fraud or breach of obligations.

Before reduction of the grant, the Commission will formally notify a '**pre-information letter**' to the coordinator or beneficiary concerned:

- informing it of its intention to reduce the grant, the amount it intends to reduce and the reasons why and
- inviting it to submit observations within 30 days of receiving notification

If the Commission does not receive any observations or decides to pursue reduction despite the observations it has received, it will formally notify **confirmation** of the reduction (if applicable, together with the notification of amounts due; see Article 16).

27.3 Effects

If the Commission reduces the grant at the time of **the payment of the balance**, it will calculate the reduced grant amount for the action and then determine the amount due as payment of the balance (see Articles 5.3.4 and 16.4).

If the Commission reduces the grant **after the payment of the balance**, it will calculate the revised final grant amount for the action or for the beneficiary concerned (see Article 5.4). If the revised final

grant amount is lower than the final grant amount, the Commission will recover the difference (see Article 28).

ARTICLE 28 — RECOVERY OF UNDUE AMOUNTS

28.1 Amount to be recovered — Calculation — Procedure

The Commission will — **at the payment of the balance** or **afterwards** — claim back amount that was paid but is not due under the Agreement.

The coordinator is fully liable for repaying debts of the consortium (under the Agreement), even if it has not been the final recipient of those amounts.

In addition, the beneficiaries (including the coordinator) are jointly and severally liable for repaying any debts under the Agreement (including late-payment interest) — up to the maximum EU contribution indicated, for each beneficiary, in the estimated budget (as last amended; see Annex 2).

28.1.1 Recovery at payment of the balance

If the payment of the balance takes the form of a recovery (see Article 16.4), the Commission will formally notify a ‘**pre-information letter**’ to the coordinator:

- informing it of its intention to recover, the amount due as the balance and the reasons why and
- inviting the coordinator to submit observations within 30 days of receiving notification.

If no observations are submitted or the Commission decides to pursue recovery despite the observations it has received, it will **confirm** the amount to be recovered and formally notify to the coordinator a **debit note** with the terms and the date for payment (together with the notification of amounts due; see Article 16.5).

If payment is not made by the date specified in the debit note, the Commission will **recover** the amount:

- (a) by ‘**offsetting**’ it — without the coordinator’s consent — against any amounts owed to the coordinator by the Commission or an executive agency (from the EU or Euratom budget).

In exceptional circumstances, to safeguard the EU’s financial interests, the Commission may offset before the payment date specified in the debit note;

- (b) not applicable;

- (c) by **holding** the other beneficiaries jointly and severally **liable** — up to the maximum EU contribution indicated, for each beneficiary, in the estimated budget (as last amended; see Annex 2)

- (d) by **taking legal action** (see Article 41) or by **adopting an enforceable decision** under Article 299 of the Treaty on the Functioning of the EU (TFEU) and Article 79(2) of the Financial Regulation No 966/2012.

If payment is not made by the date in the debit note, the amount to be recovered (see above) will be increased by **late-payment interest** at the rate set out in Article 16.11, from the day following the

payment date in the debit note, up to and including the date the Commission receives full payment of the amount.

Partial payments will be first credited against expenses, charges and late-payment interest and then against the principal.

Bank charges incurred in the recovery process will be borne by the beneficiary, unless Directive 2007/64/EC applies.

28.1.2 Recovery of amounts after payment of the balance

If — after the payment of the balance — the Commission revised the final grant amount for the action or for the beneficiary concerned (see Article 5.4), due to a rejection of costs or reduction of the grant, and the revised final grant amount is lower than the final grant amount (see Article 5.3), the Commission will:

- if the rejection or reduction does *not* concern a specific beneficiary: claim back the difference from the coordinator (even if it has not been the final recipient of the amount in question)

or

- otherwise: claim back the difference from the beneficiary concerned.

The Commission will formally notify a **pre-information letter** to the coordinator or beneficiary concerned:

- informing it of its intention to recover, the amount to be repaid and the reasons why and
- inviting it to submit observations within 30 days of receiving notification.

If no observations are submitted or the Commission decides to pursue recovery despite the observations it has received, it will **confirm** the amount to be recovered and formally notify to the coordinator or beneficiary concerned a **debit note**. This note will also specify the terms and the date for payment.

If payment is not made by the date specified in the debit note, the Commission will **recover** the amount:

- (a) by '**offsetting**' it — without the coordinator's or beneficiary's consent — against any amounts owed to the coordinator or beneficiary by the Commission or an executive agency (from the EU or Euratom budget).

In exceptional circumstances, to safeguard the EU's financial interests, the Commission may offset before the payment date specified in the debit note;

- (b) by **holding** the other beneficiaries jointly and severally **liable**, up to the maximum EU contribution indicated, for each beneficiary, in the estimated budget (as last amended; see Annex 2);
- (c) by **taking legal action** (see Article 41) or by **adopting an enforceable decision** under Article 299 of the Treaty on the Functioning of the EU (TFEU) and Article 79(2) of the Financial Regulation No 966/2012.

If payment is not made by the date in the debit note, the amount to be recovered (see above) will be increased by **late-payment interest** at the rate set out in Article 16.11, from the day following the date for payment in the debit note, up to and including the date the Commission receives full payment of the amount.

Partial payments will be first credited against expenses, charges and late-payment interest and then against the principal.

Bank charges incurred in the recovery process will be borne by the beneficiary, unless Directive 2007/64/EC applies.

ARTICLE 29 — ADMINISTRATIVE SANCTIONS

In addition to contractual measures, the Commission may also adopt administrative sanctions under Articles 106 and 131(4) of the Financial Regulation No 966/2012 (i.e. exclusion from future procurement contracts, grants and expert contracts and/or financial penalties).

SECTION 2 LIABILITY FOR DAMAGES

ARTICLE 30 — LIABILITY FOR DAMAGES

30.1 Liability of the Commission

The Commission cannot be held liable for any damage caused to the beneficiaries or to third parties as a consequence of implementing the Agreement, including for gross negligence.

The Commission cannot be held liable for any damage caused by any of the beneficiaries or third parties involved in the action, as a consequence on implementing the Agreement.

30.2 Liability of the beneficiaries

Except in case of force majeure (see Article 35), the beneficiaries must compensate the Commission for any damage it sustains as a result of the implementation of the action or because the action was not implemented in full compliance with the Agreement.

SECTION 3 SUSPENSION AND TERMINATION

ARTICLE 31 — SUSPENSION OF PAYMENT DEADLINE

31.1 Conditions

The Commission may — at any moment — suspend the payment deadline (see Article 16.2 to 16.4) if a request for payment (see Article 15) cannot be approved because:

- (a) it does not comply with the provisions of the Agreement (see Article 15);
- (b) the technical or financial report(s) have not been submitted or are not complete or additional information is needed, or

- (c) there is doubt about the eligibility of the costs declared in the financial statements and additional checks, reviews, audits or investigations are necessary.

31.2 Procedure

The Commission will formally notify the coordinator of the suspension and the reasons why.

The suspension will **take effect** the day notification is sent by the Commission (see Article 36).

If the conditions for suspending the payment deadline are no longer met, the suspension will be **lifted** — and the remaining period will resume.

If the suspension exceeds two months, the coordinator may request the Commission if the suspension will continue.

If the payment deadline has been suspended due to the non-compliance of the technical or financial report(s) (see Article 15) and the revised report or statement is not submitted or was submitted but is also rejected, the Commission may also terminate the Agreement or the participation of the beneficiary (see Article 34.3.1(i)).

ARTICLE 32 — SUSPENSION OF PAYMENTS

32.1 Conditions

The Commission may — at any moment — suspend payments, in whole or in part for one or more beneficiaries, if:

- (a) a beneficiary (or a natural person who has the power to represent or take decisions on its behalf) has committed or is suspected of having committed:
 - (i) substantial errors, irregularities or fraud or
 - (ii) serious breach of obligations under this Agreement or during the award procedure (including improper implementation of the action, submission of false information, failure to provide required information, breach of ethical principles), or
- (b) a beneficiary (or a natural person who has the power to represent or take decisions on its behalf) has committed — in other EU or Euratom grants awarded to it under similar conditions — systemic or recurrent errors, irregularities, fraud or serious breach of obligations that have a material impact on this grant (**extension of findings from other grants to this grant**; see Article 17.5.2).

If payments are suspended for one or more beneficiaries, the Commission will make partial payment(s) for the part(s) not suspended. If suspension concerns the payment of the balance, the payment (or recovery) of the amount(s) concerned after suspension is lifted will be considered to be the payment that closes the action.

32.2 Procedure

Before suspending payments, the Commission will formally notify the coordinator or beneficiary concerned:

- informing it of its intention to suspend payments and the reasons why and
- inviting it to submit observations within 30 days of receiving notification.

If the Commission does not receive observations or decides to pursue the procedure despite the observations it has received, it will formally notify **confirmation** of the suspension. Otherwise, it will formally notify that the suspension procedure is not continued.

The suspension will **take effect** the day the confirmation notification is sent by the Commission.

If the conditions for resuming payments are met, the suspension will be **lifted**. The Commission will formally notify the coordinator or beneficiary concerned.

The beneficiaries may suspend implementation of the action (see Article 33.1) or terminate the Agreement or the participation of the beneficiary concerned (see Article 34.1 and 34.2).

ARTICLE 33 — SUSPENSION OF THE ACTION IMPLEMENTATION

33.1 Suspension of the action implementation, by the beneficiaries

33.1.1 Conditions

The beneficiaries may suspend implementation of the action or any part of it, if exceptional circumstances — in particular *force majeure* (see Article 35) — make implementation impossible or excessively difficult.

33.1.2 Procedure

The coordinator must immediately formally notify to the Commission the suspension (see Article 36), stating:

- the reasons why and
- the expected date of resumption.

The suspension will **take effect** the day this notification is received by the Commission.

Once circumstances allow for implementation to resume, the coordinator must immediately formally notify the Commission and request an **amendment** of the Agreement to set the date on which the action will be resumed, extend the duration of the action and make other changes necessary to adapt the action to the new situation (see Article 39) — unless the Agreement or the participation of a beneficiary has been terminated (see Article 34).

The suspension will be **lifted** with effect from the resumption date set out in the amendment. This date may be before the date on which the amendment enters into force.

Costs incurred during suspension of the action implementation are not eligible (see Article 6).

33.2 Suspension of the action implementation, by the Commission

33.2.1 Conditions

The Commission may suspend implementation of the action or any part of it, if:

- (a) a beneficiary (or a natural person who has the power to represent or take decisions on its behalf) has committed or is suspected of having committed:
 - (i) substantial errors, irregularities or fraud or
 - (ii) serious breach of obligations under this Agreement or during the award procedure (including improper implementation of the action, submission of false information, failure to provide required information, breach of ethical principles) or
- (b) a beneficiary (or a natural person who has the power to represent or take decisions on its behalf) has committed — in other EU or Euratom grants awarded to it under similar conditions — systemic or recurrent errors, irregularities, fraud or serious breach of obligations that have a material impact on this grant (**extension of findings from other grants to this grant**; see Article 17.5.2).

33.2.2 Procedure

Before suspending implementation of the action, the Commission will formally notify the coordinator or beneficiary concerned:

- informing it of its intention to suspend the implementation and the reasons why and
- inviting it to submit observations within 30 days of receiving notification.

If the Commission does not receive observations or decides to pursue the procedure despite the observations it has received, it will formally notify **confirmation** of the suspension. Otherwise, it will formally notify that the procedure is not continued.

The suspension will **take effect** five days after confirmation notification is received (or on a later date specified in the notification).

It will be **lifted** if the conditions for resuming implementation of the action are met.

The coordinator or beneficiary concerned will be formally notified of the lifting and the Agreement will be **amended** to set the date on which the action will be resumed, extend the duration of the action and make other changes necessary to adapt the action to the new situation (see Article 39) — unless the Agreement has already been terminated (see Article 34).

The suspension will be lifted with effect from the resumption date set out in the amendment. This date may be before the date on which the amendment enters into force.

Costs incurred during suspension are not eligible (see Article 6).

The beneficiaries may not claim damages due to suspension by the Commission (see Article 30).

Suspension of the action implementation does not affect the Commission's right to terminate the Agreement or participation of a beneficiary (see Article 34), reduce the grant or recover amounts unduly paid (see Articles 27 and 28).

ARTICLE 34 — TERMINATION OF THE AGREEMENT OR OF THE PARTICIPATION OF ONE OR MORE BENEFICIARIES

34.1 Termination of the Agreement by the beneficiaries

34.1.1 Conditions and procedure

The beneficiaries may terminate the Agreement.

The beneficiary must formally notify termination to the Commission (see Article 36), stating:

- the reasons why and
- the date the termination will take effect. This date must be after the notification.

If no reasons are given or if the Commission considers the reasons do not justify termination, the Agreement will be considered to have been '**terminated improperly**'.

The termination will **take effect** on the day specified in the notification.

34.1.2 Effects

The coordinator must — within 60 days from when termination takes effect — submit the final report (see Article 15.4).

If the Commission does not receive the report(s) within the deadline (see above), no costs will be taken into account.

The Commission will **calculate** the final grant amount (see Article 5.3) and the balance (see Article 16.4) on the basis of the report(s) submitted. Only costs incurred until termination are eligible (see Article 6). Costs relating to contracts due for execution only after termination are not eligible.

Improper termination may lead to a reduction of the grant (see Article 27).

After termination, the beneficiaries' obligations (in particular, Articles 15, 17, 18, 19, 21, 22, 24, 26, 27 and 28) continue to apply.

34.2 Termination of the participation of one or more beneficiaries, by the beneficiaries

34.2.1 Conditions and procedure

The participation of one or more beneficiaries may be terminated by the coordinator, on request of the beneficiary concerned or on behalf of the other beneficiaries.

The coordinator must formally notify termination to the Commission (see Article 36) and inform the beneficiary concerned.

If the coordinator's participation is terminated without its agreement, the formal notification must be done by another beneficiary (acting on behalf of the other beneficiaries).

The notification must include:

- the reasons why;

- the opinion of the beneficiary concerned (or proof that this opinion has been requested in writing);
- the date the termination takes effect. This date must be after the notification, and
- a request for amendment (see Article 39), with a proposal for reallocation of the tasks and the estimated budget of the beneficiary concerned (see Annexes 1 and 2) and, if necessary, the addition of one or more new beneficiaries (see Article 40). If termination takes effect after the period set out in Article 3, no request for amendment must be included, unless the beneficiary concerned is the coordinator. In this case, the request for amendment must propose a new coordinator.

If this information is not given or if the Commission considers that the reasons do not justify termination, the participation will be considered to have been **terminated improperly**.

The termination will **take effect** on the day specified in the notification.

34.2.2 Effects

The beneficiary concerned must submit to the coordinator:

- (i) a technical report and
- (ii) a financial statement covering the period to the date when termination takes effect.

This information must be included by the coordinator in the final report (see Article 15.4).

If the request for amendment is rejected by the Commission (because it calls into question the decision awarding the grant or breaches the principle of equal treatment of applicants), the Agreement may be terminated according to Article 34.3.1(c).

If the request for amendment is accepted by the Commission, the Agreement is **amended** to introduce the necessary changes (see Article 39).

Improper termination may lead to a reduction of the grant (see Article 27) or termination of the Agreement (see Article 34).

After termination, the concerned beneficiary's obligations (in particular Articles 15, 17, 18, 19, 21, 22, 24, 26, 27 and 28) continue to apply.

34.3 Termination of the Agreement or of the participation of one or more beneficiaries, by the Commission

34.3.1 Conditions

The Commission may terminate the Agreement or the participation of one or more beneficiaries, if:

- (a) one or more beneficiaries do not accede to the Agreement (see Article 40);
- (b) a change to their legal, financial, technical, organisational or ownership situation is likely to substantially affect or delay the implementation of the action or calls into question the decision to award the grant;



- (c) following termination of participation for one or more beneficiaries (see above), the necessary changes to the Agreement would call into question the decision awarding the grant or breach the principle of equal treatment of applicants (see Article 39);
- (d) implementation of the action is prevented by force majeure (see Article 35) or suspended by the coordinator (see Article 33.1) and either:
 - (i) resumption is impossible, or
 - (ii) the necessary changes to the Agreement would call into question the decision awarding the grant or breach the principle of equal treatment of applicants;
- (e) a beneficiary is declared bankrupt, being wound up, having its affairs administered by the courts, has entered into an arrangement with creditors, has suspended business activities, or is subject to any other similar proceedings or procedures under national law;
- (f) a beneficiary (or a natural person who has the power to represent or take decisions on its behalf) has been found guilty of professional misconduct, proven by any means;
- (g) a beneficiary does not comply with the applicable national law on taxes and social security;
- (h) a beneficiary (or a natural person who has the power to represent or take decisions on its behalf) has committed fraud, corruption, or is involved in a criminal organisation, money laundering or any other illegal activity;
- (i) a beneficiary (or a natural person who has the power to represent or take decisions on its behalf) has committed:
 - (i) substantial errors, irregularities or fraud or
 - (ii) serious breach of obligations under the Agreement or during the award procedure (including improper implementation of the action, submission of false information, failure to provide required information, breach of ethical principles);
- (j) a beneficiary (or a natural person who has the power to represent or take decisions on its behalf) has committed — in other EU or Euratom grants awarded to it under similar conditions — systemic or recurrent errors, irregularities, fraud or serious breach of obligations that have a material impact on this grant (**extension of findings from other grants to this grant**; see Article 17.5.2);
- (k) not applicable.

34.3.2 Procedure

Before terminating the Agreement or participation of one or more beneficiaries, the Commission will formally notify the coordinator or beneficiary concerned:

- informing it of its intention to terminate and the reasons why and
- inviting it, within 30 days of receiving notification, to submit observations and — in case of Point (i.ii) above — to inform the Commission of the measures to ensure compliance with the obligations under the Agreement.

If the Commission does not receive observations or decides to pursue the procedure despite the observations it has received, it will formally notify to the coordinator or beneficiary concerned **confirmation** of the termination and the date it will take effect. Otherwise, it will formally notify that the procedure is not continued.

The termination will **take effect**:

- for terminations under Points (b), (c), (e), (g), (i.ii) and (k) above: on the day specified in the notification of confirmation (see above);
- for terminations under Points (a), (d), (f), (h), (i.i) and (j) above: on the day after the notification of the confirmation is received.

34.3.3 Effects

(a) for **termination of the Agreement**:

The beneficiary must — within 60 days from when termination takes effect — submit a final report (see Article 15.4).

If the Agreement is terminated for breach of the obligation to submit report(s) (see Articles 15.8 and 34.3.1(i)), the coordinator may not submit any report(s) after termination.

If the Commission does not receive the report(s) within the deadline (see above), no costs will be taken into account.

The Commission will **calculate** the final grant amount (see Article 5.3) and the balance (see Article 16.4) on the basis of the report(s) submitted. Only costs incurred until termination takes effect are eligible (see Article 6). Costs relating to contracts due for execution only after termination are not eligible.

This does not affect the Commission's right to reduce the grant (see Article 27) or to impose administrative sanctions (Article 29).

The beneficiaries may not claim damages due to termination by the Commission (see Article 30).

After termination, the beneficiaries' obligations (in particular Articles 15, 17, 18, 19, 21, 22, 24, 26, 27 and 28) continue to apply.

(b) for **termination of the participation of one or more beneficiaries**:

The coordinator must — within 60 days from when termination takes effect — submit a request for amendment (see Article 39), with a proposal for reallocation of the tasks and estimated budget of the beneficiary concerned (see Annexes 1 and 2) and, if necessary, the addition of one or more new beneficiaries (see Article 40). If termination is notified after the period set out in Article 3, no request for amendment must be submitted unless the beneficiary concerned is the coordinator. In this case the request for amendment must propose a new coordinator.

The beneficiary concerned must submit to the coordinator:

- (i) a technical report and

- (ii) a financial statement covering the period to the date when termination takes effect.

This information must be included by the coordinator in the final report (see Article 15.4).

If the request for amendment is rejected by the Commission (because it calls into question the decision awarding the grant or breaches the principle of equal treatment of applicants), the Agreement may be terminated according to Article 34.3.1(c).

If the request for amendment is accepted by the Commission, the Agreement is **amended** to introduce the necessary changes (see Article 39).

After termination, the concerned beneficiary's obligations (in particular Articles 15, 17, 18, 19, 21, 22, 24, 26, 27 and 28) continue to apply.

SECTION 4 FORCE MAJEURE

ARTICLE 35 — FORCE MAJEURE

‘Force majeure’ means any situation or event that:

- prevents either party from fulfilling their obligations under the Agreement,
- was unforeseeable, exceptional situation and beyond the parties' control,
- was not due to error or negligence on their part (or on the part of third parties involved in the action), and
- proves to be inevitable in spite of exercising all due diligence.

The following cannot be invoked as force majeure:

- any default of a service, defect in equipment or material or delays in making them available, unless they stem directly from a relevant case of force majeure,
- labour disputes or strikes, or
- financial difficulties.

Any situation constituting force majeure must be formally notified to the other party without delay, stating the nature, likely duration and foreseeable effects.

The parties must immediately take all the necessary steps to limit any damage due to force majeure and do their best to resume implementation of the action as soon as possible.

The party prevented by force majeure from fulfilling its obligations under the Agreement cannot be considered in breach of them.

CHAPTER 7 FINAL PROVISIONS

ARTICLE 36 — COMMUNICATION BETWEEN THE PARTIES

36.1 Form and means of communication

Communication under the Agreement (information, requests, submissions, ‘formal notifications’, etc.) must:

- be made in writing and
- bear the number of the Agreement.

Until the payment of the balance: all communication must be made through the electronic exchange system and using the forms and templates provided there.

After the payment of the balance: formal notifications must be made by registered post with proof of delivery (‘formal notification on paper’).

Communications in the electronic exchange system must be made by persons authorised according to the Participant Portal Terms & Conditions. For naming the authorised persons, each beneficiary must have designated — before the signature of this Agreement — a ‘legal entity appointed representative (LEAR)’. The role and tasks of the LEAR are stipulated in his/her appointment letter (see Participant Portal Terms & Conditions).

If the electronic exchange system is temporarily unavailable, instructions will be given on the Commission websites.

36.2 Date of communication

Communications are considered to have been made when they are sent by the sending party (i.e. on the date and time they are sent through the electronic exchange system).

Formal notifications through the **electronic** exchange system are considered to have been made when they are received by the receiving party (i.e. on the date and time of acceptance by the receiving party, as indicated by the time stamp). A formal notification that has not been accepted within 10 days after sending is considered to have been accepted.

Formal notifications **on paper** sent by **registered post** with proof of delivery (only after the payment of the balance) are considered to have been made on either:

- the delivery date registered by the postal service or
- the deadline for collection at the post office.

If the electronic exchange system is temporarily unavailable, the sending party cannot be considered in breach of its obligation to send a communication within a specified deadline.

36.3 Addresses for communication

The **electronic** exchange system must be accessed via the following URL:

<https://ec.europa.eu/research/participants/portal/desktop/en/projects/>

The Commission will formally notify the coordinator and beneficiaries in advance of any changes to this URL.

Formal notifications on paper (only after the payment of the balance) addressed **to the Commission** must be sent to the following address:

European Commission
Directorate General Migration And Home Affairs
B-1049 Brussels
BELGIUM

Formal notifications on paper (only after the payment of the balance) addressed **to the beneficiaries** must be sent to their legal address as specified in the Participant Portal Beneficiary Register.

ARTICLE 37 — INTERPRETATION OF THE AGREEMENT

37.1 Precedence of the Terms and Conditions over the Annexes

The provisions in the Terms and Conditions of the Agreement take precedence over its Annexes.

Annex 2 takes precedence over Annex 1.

37.2 Privileges and immunities

Not applicable

ARTICLE 38 — CALCULATION OF PERIODS, DATES AND DEADLINES

In accordance with Regulation No 1182/71¹², periods expressed in days, months or years are calculated from the moment the triggering event occurs.

The day during which that event occurs is not considered as falling within the period.

ARTICLE 39 — AMENDMENTS TO THE AGREEMENT

39.1 Conditions

The Agreement may be amended, unless the amendment entails changes to the Agreement which would call into question the decision awarding the grant or breach the principle of equal treatment of applicants.

Amendments may be requested by any of the parties.

39.2 Procedure

The party requesting an amendment must submit a request for amendment signed in the electronic exchange system (see Article 36).

The coordinator submits and receives requests for amendment on behalf of the beneficiaries (see Annex 3).

¹² Regulation (EEC, Euratom) No 1182/71 of the Council of 3 June 1971 determining the rules applicable to periods, dates and time-limits (OJ L 124, 8/6/1971, p. 1).

If a change of coordinator is requested without its agreement, the submission must be done by another beneficiary (acting on behalf of the other beneficiaries).

The request for amendment must include:

- the reasons why;
- the appropriate supporting documents, and
- for a change of coordinator without its agreement: the opinion of the coordinator (or proof that this opinion has been requested in writing).

The Commission may request additional information.

If the party receiving the request agrees, it must sign the amendment in the electronic exchange system within 45 days of receiving notification (or any additional information the Commission has requested). If it does not agree, it must formally notify its disagreement within the same deadline. The deadline may be extended, if necessary for the assessment of the request. If no notification is received within the deadline, the request is considered to have been rejected.

An amendment **enters into force** on the day of the signature of the receiving party.

An amendment **takes effect** on the date agreed by the parties or, in the absence of such an agreement, on the date on which the amendment enters into force.

ARTICLE 40 — ACCESSION TO THE AGREEMENT

40.1 Accession of the beneficiaries mentioned in the Preamble

The other beneficiaries must accede to the Agreement by signing the Accession Form (see Annex 3) in the electronic exchange system (see Article 36) within 30 days after its entry into force (see Article 42).

They will assume the rights and obligations under the Agreement with effect from the date of its entry into force (see Article 42).

If a beneficiary does not accede to the Agreement within the above deadline, the coordinator must — within 30 days — request an amendment to make any changes necessary to ensure proper implementation of the action. This does not affect the Commission's right to terminate the Agreement (see Article 34).

40.2 Addition of new beneficiaries

In justified cases, the beneficiaries may request the addition of a new beneficiary.

For this purpose, the coordinator must submit a request for amendment in accordance with Article 39. It must include an Accession Form (see Annex 3) signed by the new beneficiary in the electronic exchange system (see Article 36).

New beneficiaries must assume the rights and obligations under the Agreement with effect from the date of their accession specified in the Accession Form (see Annex 3).

ARTICLE 41 — APPLICABLE LAW AND SETTLEMENT OF DISPUTES

41.1 Applicable law

The Agreement is governed by the applicable EU law, supplemented if necessary by the law of Belgium.

41.2 Dispute settlement

If a dispute concerning the interpretation, application or validity of the Agreement cannot be settled amicably, the General Court — or, on appeal, the Court of Justice of the European Union — has sole jurisdiction. Such actions must be brought under Article 272 of the Treaty on the Functioning of the EU (TFEU).

If a dispute concerns administrative sanctions, offsetting or an enforceable decision under Article 299 TFEU (see Articles 28, 29 and 30), the beneficiaries must bring action before the General Court — or, on appeal, the Court of Justice of the European Union — under Article 263 TFEU.

ARTICLE 42 — ENTRY INTO FORCE OF THE AGREEMENT

The Agreement will enter into force on the day of signature by the Commission or the coordinator, depending on which is later.

SIGNATURES

For the coordinator

Ognian SHENTOV with ECAS id nshenogn signed in the Participant Portal on 26/11/2018 at 15:33:58 (transaction id SigId-103795-uKOyDRBBD0KGH8i7hyzmYoYo36KvQ05muCIY2ab07TDUoMyIMKzcd0wEgwszzKbssLqw9Rb0U0Laf4xLw1YDzIzm-Jj71zxYb8yrqxY9A8VHNYG-VozxNs8wZBJzP5czzlLsb3Or5zYrdWSaFjkU28oP2PKH0). Timestamp by third party at Mon Nov 26 15:34:08 CET 2018

For the Commission

Signed by Stephanie CARILLON with ECAS id carilst as an authorised representative on 11-12-2018 08:58:52 (transaction id SigId-43642-qM2j2Oblrn78wzXa4dSJwVURXkOyrVsgowSkOo4HZUHuhWS712aNrLHhnLRIZcdAXjMzeD5TvSmzKAAXGdCRGG-PHslUMVSXYCzVB2usiRhDj-s0nNSwNQx5nyiBjOlzlewhHWzh0gjpaiVuqzPCJ366i). Tue Dec 11 08:58:56 CET 2018



EUROPEAN COMMISSION
Directorate-General Migration and Home Affairs
Union actions

ANNEX 1 (part A)

ISF-Police Action Grant

NUMBER — 823816 — SceMaps

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1.1. The project summary

Project Number ¹	823816	Project Acronym ²	SceMaps
One form per project			
General information			
Project title ³	State Capture Estimation and Monitoring of Anti-Corruption Policies at the Sectoral level		
Starting date ⁴	01/01/2019		
Duration in months ⁵	22		
Call (part) identifier ⁶	ISFP-2017-AG-CORRUPT		
Topic	ISFP-2017-AG-CORRUPT Corruption		
Fixed EC Keywords			
Free keywords	Anti-corruption; integrated risk assessment tool; state capture; sectoral level; AC policies at institutional level; public-access web-based platform; big data; civil society; training; best practices		
Abstract ⁷			
<p>SceMaps is designed to contribute to the fight against corruption in the EU by delivering impact on multiple levels and providing for long-term sustainability. The project aims at tackling anti-corruption deficiencies in high-risk EU member states (MSs) by developing and implementing an integrated risk assessment tool for estimating state capture and monitoring of anti-corruption policies at the sectoral level (SceMaps). SceMaps addresses the most serious corruption threat - state capture, by assessing high-risk economic sectors through a combination of qualitative and quantitative methods, big data analysis and media content alert system. Designed for easy replication and take-up by EU MSs' public administrations, SceMaps will allow EU authorities to build evolving, risk-responsive instruments to assess and tackle corruption and capture risks in regulatory heavy areas and industries, such as public procurement, pharmaceuticals (healthcare), and construction. The action will develop and implement an integrated tool based on:</p> <ul style="list-style-type: none"> (a) Assessment of state capture risks on sectoral level (b) Evaluation of the enforceability and impact of anti-corruption measures and policies on the level of individual public institutions, relevant to the identified high-risk economic sectors (c) Integration of cross-sectional big data analysis (d) Development of a publicly accessible web-based platform with interactive analytics and company/institutional profiling and media content alert system. <p>The consortium will develop blueprint for best practices implementation and data exchange for civil society oversight and will conduct a training module on methodological development and implementation for civil society organisations. Public-private dialogue on EU level will be ensured by effective project design, outreach and dissemination, targeting civil society, researchers, public institutions, business associations and EU and national decision-making bodies as main beneficiaries of the action.</p>			

1.2. List of Beneficiaries

 Associated with document Ref. Ares(2018)6027340 - 25/11/2018

Project Number ¹	823816	Project Acronym ²	SceMaps
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List of Beneficiaries

No	Name	Short name	Country	Project entry month ⁸	Project exit month
1	CENTER FOR THE STUDY OF DEMOCRACY	CSD	Bulgaria	1	22
2	FUNDACION CIUDADANA CIVIO	CIVIO	Spain	1	22
3	ASOCIATIA EXPERT FORUM	EFOR	Romania	1	22
4	UNIVERSITA DEGLI STUDI DI TRENTO	UNITN	Italy	1	22

1.3. Workplan Tables - Detailed Implementation

Associated with document Ref. Ares(2018)6027340 - 25/11/2018

1.3.1. WT1 List of work packages

WP Number ⁹	WP Title	Lead beneficiary ¹⁰	Start month ¹²	End month ¹³
WP1	Management and Coordination of the Action	1 - CSD	1	22
WP2	Developing an integrated risk assessment tool for estimating state capture and monitoring of anti-corruption policies at the sectoral level (SceMaps)	1 - CSD	1	13
WP3	SceMaps pilot implementation	1 - CSD	13	22
WP4	Engagement and dissemination of best practices	2 - CIVIO	1	22

1.3.2. WT2 list of deliverables

Deliverable Number ¹⁴	Deliverable Title	WP number ⁹	Lead beneficiary	Type ¹⁵	Dissemination level ¹⁶	Due Date (in months) ¹⁷
D1.1	1.1. Work Plan	WP1	1 - CSD	Report	Confidential, only for members of the consortium (including the Commission Services)	1
D1.2	1.2.1. Monitoring and evaluation workshop minutes (first workshop)	WP1	4 - UNITN	Report	Confidential, only for members of the consortium (including the Commission Services)	2
D1.3	1.2.2. Monitoring and evaluation workshop minutes (second workshop)	WP1	2 - CIVIO	Report	Confidential, only for members of the consortium (including the Commission Services)	7
D1.4	1.2.3. Monitoring and evaluation workshop minutes (third workshop)	WP1	3 - EFOR	Report	Confidential, only for members of the consortium (including the Commission Services)	17
D1.5	1.2.4. Monitoring and evaluation workshop minutes (fourth workshop)	WP1	4 - UNITN	Report	Confidential, only for members of the consortium (including the Commission Services)	22
D2.1	2.1. Mapping Report	WP2	1 - CSD	Report	Public	6
D2.2	2.2. Progress report on the draft methodology and pilot results from the integration of the big data tools	WP2	1 - CSD	Report	Confidential, only for members of the consortium (including the Commission Services)	12
D2.3	2.3. MACPI SC questionnaires	WP2	1 - CSD	Report	Confidential, only for members of the consortium (including the Commission Services)	7
D2.4	2.4. MACPI Institutions questionnaires	WP2	1 - CSD	Report	Confidential, only for members of the consortium (including the	11

Deliverable Number¹⁴	Deliverable Title	WP number⁹	Lead beneficiary	Type¹⁵	Dissemination level¹⁶	Due Date (in months)¹⁷
					Commission Services)	
D2.5	2.5. Publicly accessible web-based platform with interactive analytics and company/institutional profiling (PROTOTYPE/TEST)	WP2	1 - CSD	Websites, patents filling, etc.	Public	12
D2.6	2.6. State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level (DRAFT)	WP2	1 - CSD	Report	Confidential, only for members of the consortium (including the Commission Services)	12
D3.1	3.1 Three Policy and Regulatory Capture Reports	WP3	1 - CSD	Report	Public	21
D3.2	3.2 State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level	WP3	1 - CSD	Report	Public	20
D3.3	3.3 Publicly accessible web-based platform with interactive analytics and company/institutional profiling	WP3	1 - CSD	Websites, patents filling, etc.	Public	19
D3.4	3.4 Progress report on the draft integrated tool's implementation	WP3	1 - CSD	Report	Confidential, only for members of the consortium (including the Commission Services)	17
D4.1	4.1.1 Newsletter (issue 1)	WP4	4 - UNITN	Report	Public	10
D4.2	4.1.1 Newsletter (issue 2)	WP4	4 - UNITN	Report	Public	13
D4.3	4.1.1 Newsletter (issue 3)	WP4	4 - UNITN	Report	Public	16
D4.4	4.1.1 Newsletter (issue 4)	WP4	4 - UNITN	Report	Public	18
D4.5	4.1.1 Newsletter (issue 5)	WP4	4 - UNITN	Report	Public	22
D4.6	4.2 Engagement and dissemination strategy	WP4	2 - CIVIO	Report	Confidential, only for members of the consortium (including the	2

Deliverable Number¹⁴	Deliverable Title	WP number⁹	Lead beneficiary	Type¹⁵	Dissemination level¹⁶	Due Date (in months)¹⁷
					Commission Services)	
D4.7	4.3.1 Media Note (issue 1)	WP4	2 - CIVIO	Report	Public	7
D4.8	4.3.2 Media Note (issue 2)	WP4	2 - CIVIO	Report	Public	13
D4.9	4.3.3 Media Notes (issue 3 and 4)	WP4	2 - CIVIO	Report	Public	18
D4.10	4.3.4 Media Notes (issue 5 and 6)	WP4	2 - CIVIO	Report	Public	20
D4.11	4.3.5 Media Notes (issue 7 and 8)	WP4	2 - CIVIO	Report	Public	22
D4.12	4.4 Investigative journalism article	WP4	2 - CIVIO	Report	Public	20
D4.13	4.5 Academic publication	WP4	1 - CSD	Report	Public	21
D4.14	4.6 Social media accounts	WP4	2 - CIVIO	Websites, patents filling, etc.	Public	2
D4.15	4.7.1 Infographic (issue 1)	WP4	2 - CIVIO	Websites, patents filling, etc.	Public	6
D4.16	4.7.2 Infographic (issue 2)	WP4	2 - CIVIO	Websites, patents filling, etc.	Public	11
D4.17	4.7.3 Infographic (issue 3)	WP4	2 - CIVIO	Websites, patents filling, etc.	Public	14
D4.18	4.7.4 Infographic (issue 4)	WP4	2 - CIVIO	Websites, patents filling, etc.	Public	19
D4.19	4.7.5 Infographic (issue 5)	WP4	2 - CIVIO	Websites, patents filling, etc.	Public	21
D4.20	4.8 Project materials (logo, banners, presentation templates, design)	WP4	2 - CIVIO	Websites, patents filling, etc.	Public	5

1.3.3. WT3 Work package descriptions

Work package number ⁹	WP1	Lead beneficiary ¹⁰	1 - CSD
Work package title	Management and Coordination of the Action		
Start month	1	End month	22

Objectives

Work package 1 is intended for all activities related to the general management and coordination of the action (meetings, coordination, project monitoring and evaluation, financial management) and all the activities which are cross cutting and therefore difficult to assign just to one specific work package.

Description of work and role of partners

WP1 - Management and Coordination of the Action [Months: 1-22]

CSD

1.1. Advisory Board

An Advisory Board will be established with the specific purpose to review, assess and give feedback during the process of methodological development of the proposed set of tools. The Board will consist of seven external experts with proven track record and long-standing achievements in the fields of corruption assessment and anti-corruption research. The Members of the Advisory Board will participate actively in Work packages 2 and 3, providing feedback and input for the internal management (progress) reports (see Deliverables 2.2, 3.4) and attending project events.

1.2. Consortium Management Committee

The Consortium Management Committee (CMC) will consist of four members (one representative of the Applicant and one representative of each Co-applicant organisation). It will be responsible for providing managerial guidance in the course of the project and for exercising oversight over the implementation of the foreseen activities. The CMC's tasks will include the development and regular update of the project work plan (activity 1.3), distribution (and, where necessary, re-distribution) of tasks among the project team members, review and approval of project outputs, and solution of any substantive, administrative and financial issues that might occur during the implementation of the action. The CMC will have the prime responsibility to mitigate any potential risks that may arise. The CMC will be responsible for the monitoring of the action, as well as for final reporting and evaluation of the project.

1.3 Planning

The operational planning of the project will be incorporated into a work plan document for internal use. The CMC will develop the and approve it during the project's kick-off workshop (activity 1.4). The Work Plan will be adaptive tool to coordinate the overall effort, while ensuring the following of the pre-approved timeline. The Work Plan will be based on the description of the action and organised by work packages, activities and outputs. It will indicate the partner organisations and the project team members responsible for the execution of each task, the interim (internal) and final deadlines for its completion, and any other relevant information (e.g. notes indicating progress, modifications, etc.). The revisions and updates to the work plan will be done through consultations among the CMC members via e-mail and/or conference calls as well as during the Monitoring and evaluation workshops and other internal meetings.

1.4 Monitoring and evaluation workshops

A total of four monitoring and evaluation (internal) workshops will be organised throughout the project cycle. (1) A Kick-Off Workshop will be held in Sofia, in the very beginning of the action, so that the project partners can be briefed on and discuss the Commission's managerial, financial and accounting requirements. The kick-off workshop will also serve to identify and select the members of the Advisory Board. (2 & 3) Two Progress Workshops, organised in Madrid and Bucharest, will be held to discuss methodological issues and implementation will subsequently gather both partners and members of the Advisory Board under Work Packages 2 and 3. If necessary, additional internal meetings for follow up and discussions on the status of the project will be organised online, and/or held back to back with the public events under Work Package 4. (4) A Concluding Workshop for internal coordination will be organised together with the international conference under Work Package 4. It will bring together the members of the CMC who will review and assess the overall implementation of the project, discuss the reporting procedure, and outline follow-up activities for achieving better sustainability of the project results.

The discussions and decisions from each workshop will be summarised in written minutes, which will be approved by the CMC and sent to all project partners.

1.5 Reporting of the action

Coordinated by the Applicant and the CMC, all partner organisations will be responsible for the timely reporting of the project activities, in line with the Commission's requirements.

Participation per Partner

Partner number and short name ¹⁰
1 - CSD
2 - CIVIO
3 - EFOR
4 - UNITN

List of deliverables

Deliverable Number ¹⁴	Deliverable Title	Lead beneficiary	Type ¹⁵	Dissemination level ¹⁶	Due Date (in months) ¹⁷
D1.1	1.1. Work Plan	1 - CSD	Report	Confidential, only for members of the consortium (including the Commission Services)	1
D1.2	1.2.1. Monitoring and evaluation workshop minutes (first workshop)	4 - UNITN	Report	Confidential, only for members of the consortium (including the Commission Services)	2
D1.3	1.2.2. Monitoring and evaluation workshop minutes (second workshop)	2 - CIVIO	Report	Confidential, only for members of the consortium (including the Commission Services)	7
D1.4	1.2.3. Monitoring and evaluation workshop minutes (third workshop)	3 - EFOR	Report	Confidential, only for members of the consortium (including the Commission Services)	17
D1.5	1.2.4. Monitoring and evaluation workshop minutes (fourth workshop)	4 - UNITN	Report	Confidential, only for members of the consortium (including the Commission Services)	22

Description of deliverables

1.1. Work Plan, Electronic, up to 10 pages, in English
 1.2.1 Monitoring and evaluation workshop minutes (first workshop), Electronic, up to 5 pages, in English
 1.2.2 Monitoring and evaluation workshop minutes (second workshop) Electronic, up to 5 pages, in English
 1.2.3 Monitoring and evaluation workshop minutes (third workshop) Electronic, up to 5 pages, in English
 1.2.4 Monitoring and evaluation workshop minutes (fourth workshop) Electronic, up to 5 pages, in English
 D1.1 : 1.1. Work Plan [1]

Electronic document, up to 10 pages, in English. The operational planning of the project will be incorporated into a work plan document for internal use. The Consortium Management Committee (CMC) will develop the and approve it during the project's kick-off workshop (activity 1.4). The Work Plan will be adaptive tool to coordinate the overall effort, while ensuring the following of the pre-approved timeline. The Work Plan will be based on the description of the action and organised by work packages, activities and outputs. It will indicate the partner organisations and the project team members responsible for the execution of each task, the interim (internal) and final deadlines for its completion, and any other relevant information (e.g. notes indicating progress, modifications, etc.). The revisions and updates to the work plan will be done through consultations among the CMC members via e-mail and/or conference calls as well as during the Monitoring and evaluation workshops and other internal meetings.

D1.2 : 1.2.1. Monitoring and evaluation workshop minutes (first workshop) [2]

Monitoring and evaluation workshop minutes (of the first workshop), Electronic, up to 5 pages, in English. The Kick-Off Workshop will be held in Sofia, in the very beginning of the action, so that the project partners can be briefed on and discuss the Commission's managerial, financial and accounting requirements. The kick-off workshop will also serve to identify and select the members of the Advisory Board.

D1.3 : 1.2.2. Monitoring and evaluation workshop minutes (second workshop) [7]

Monitoring and evaluation workshop minutes (of the second workshop), Electronic, up to 5 pages, in English. The Progress Workshop, organised in Madrid will be held to discuss methodological issues and implementation will subsequently gather both partners and members of the Advisory Board under Work Packages 2 and 3.

D1.4 : 1.2.3. Monitoring and evaluation workshop minutes (third workshop) [17]

Monitoring and evaluation workshop minutes (of the third workshop), Electronic, up to 5 pages, in English. The Progress Workshop, organised in Bucharest, will be held to discuss methodological issues and implementation will subsequently gather both partners and members of the Advisory Board under Work Packages 2 and 3.

D1.5 : 1.2.4. Monitoring and evaluation workshop minutes (fourth workshop) [22]

Monitoring and evaluation workshop minutes (fourth workshop) Electronic, up to 5 pages, in English. A Concluding Workshop for internal coordination will be organised together with the international conference (in Brussels) under Work Package 4.

Schedule of relevant Milestones

Milestone number¹⁸	Milestone title	Lead beneficiary	Due Date (in months)	Means of verification
--------------------------------------	------------------------	-------------------------	-----------------------------	------------------------------

Work package number ⁹	WP2	Lead beneficiary ¹⁰	1 - CSD
Work package title	Developing an integrated risk assessment tool for estimating state capture and monitoring of anti-corruption policies at the sectoral level (SceMaps)		
Start month	1	End month	13

Objectives

Development of an integrated tool to estimate and provide diagnostics of state capture at the level of economic sectors and assess and monitor the anticorruption policies and policy tools related to the sector as well as those anticorruption policies which are implemented in the public organization relevant to the sector. The methodology is based upon tested, on EU-level, methodologies: MACPI State Capture developed for assessing the degree of state capture on national level (piloted in Italy, Bulgaria, Spain and Romania); and MACPI Institutions, evaluating the effectiveness and enforceability of anti-corruption measures at the level of individual public bodies (piloted in multiple institutions in Bulgaria and Italy). The action builds on this experience by adapting the abovementioned tools to quantitative assessment of the prevalence and spread of capture processes in particular high-risk economic sectors, exhibiting high monopolization and ineffective regulations, public procurement concentration, and lobbyist laws. This will be achieved by:

- adapting the MACPI State Capture experts' survey for sectoral level and integrating the results with the information obtained through the other instruments of the integrated tool;
- assessing the anticorruption setup of key institutions in the sectors with MACPI Institutions and integrating the results with the information obtained through the other instruments of the integrated tool;
- integrating cross-sectional data analysis and development of a publicly accessible web-based platform with interactive analytics and company/institutional profiling.

Description of work and role of partners

WP2 - Developing an integrated risk assessment tool for estimating state capture and monitoring of anti-corruption policies at the sectoral level (SceMaps) [Months: 1-13]

CSD

2.1. Mapping analysis

The project partners will initially map the policy, market, institutional, technical and data availability aspects, related to the three pre-selected NACE economic sectors: Wholesale of solid, liquid and gaseous fuels and related products; Wholesale of pharmaceutical goods; Construction. The three sectors are identified as high-risk during a previously performed national assessment of the risk of state capture in Bulgaria, Italy, Spain and Romania.

More specifically, the activity will produce a Mapping report based on desk research, analysing the following main aspects in the target 4 EU MSs: country-specific information about the three diagnosed sectors including public organizations playing important role in regulating or/and controlling the sectors in the particular countries, anti-corruption and anti-trust laws and policies relevant to the three sectors, European public registers as well as local registers with data relevant to the assessment of the sectors and the development of risk indicators.

2.2. Developing an integrated risk assessment tool for state capture estimation and monitoring of anti-corruption policies at the sectoral level (SceMaps).

The project partners will adapt for the specific sectoral level the existing methodologies from the MACPI family (developed through previous DG Home grants) for assessment of state capture and assessment and monitoring of anticorruption policies' implementation and enforcement. The methodology is based on the preparation of two types of expert surveys, tailored to public officials, working in institutions related to the selected economic sectors, and qualified independent experts. Separate expert questionnaires (a total of 3) will be developed for each of the three sectors, targeting a minimum of 60 respondents per sector in each country. The questionnaires will be translated into national languages and will be adapted for the particular country, including the relevant list of public organisations for their sectors which typically varies slightly from country to country. Alternatively, the questionnaire could be developed in such a way so as to assess government functions relevant to the three sectors instead of particular public organizations. This approach would allow for comparisons between the four countries and the development of quantitative indicators for each of the sectors. Besides assessment of the government control and regulation related to the sector, the questionnaire will include sector-specific questions and questions related to assessment of anti-corruption and anti-trust laws and policies in the sector.

In addition, separate MACPI Institutions questionnaires will be developed for 2 key public organizations for the three sectors for each of the countries (a total of 8 questionnaires). These questionnaires will assess the anticorruption setup in relevant public organizations critical for one or more of the assessed sectors. One questionnaire per institution will be

developed based on the available description of the anti-corruption setup, policies in place, measures, etc. The particular institutions will be selected based on the results from the MACPI State Capture survey.

2.3. Integration of cross-sectional data analysis and development of a publicly accessible web-based platform with interactive analytics and company/institutional profiling

SceMaps will be reinforced by the integration of big data analysis, market concentration and identification of red flags, based on data from procurement (mainly through the use of the TED database) and from media content red analysis. As a final outcome, the project partners will deliver a web-based publicly accessible interactive platform which will display both company (supplier) and institutional (buyer) rankings as per concentration of number and value of contracts, recent procurement activity red flags, media suspicious activity report alerts, in addition to specifically identified institutional profiling (performed by MACPI Institutions instrument). At the stage of development a prototype of the platform will be initially launched and tested.

The platform will work with contract award data from the period between 2010 and the end date of the project action and will focus on companies and institutions in the three targeted economic sectors in the 4 project countries. The platform will be interactive in the sense that it will display all relevant data encompassing the mentioned period with up-to-date analysis as per the end date of the project. It can then be dynamised, and regularly updated based on commercial subscription interest. It will offer interactive analytics and profiling of companies. Following its piloting in the four project countries it can then ideally be extended to cover all 28 countries with real-time coverage. For each ranked company and institution, the platform will feature interactive procurement activity related buyer/supplier profile, full project history, and media profile. All rankings (company and institution lists), project history (tender lists), relevant media history (articles lists) and individual company and institutional reports will be exportable in variety of formats (.csv, txt, PDF where relevant /for profiles/).

2.4 Monitoring and evaluation of methodology development

The project partners will ensure the quality of the methodological process through the preparation of an internal management (progress) reports (D2.3 and D3.4). The first report will contain draft of the methodology and pilot results from the integration of the big data tools. The Reports will be subject to discussions and feedback, provided by the Advisory Board. In parallel the consortium will be drafting of a comprehensive State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level (see activity 3.1)

2.5 Stakeholders round table

The round table will provide the opportunity for stakeholders to discuss and give additional feedback to the proposed integrated tool. The round table will be organised in Brussels, so as to gather representatives with interest and knowledge of the four participating countries, experts working in EU institutions, directly involved in the 3 sectors, and members of the Advisory Board. The project partners will discuss the status of the work and the internal management (progress) report, containing draft of the methodology and pilot results from the integration of the big data tools. The event is planned to host 25 participants and will be used for testing and streamlining the action's approach.

Participation per Partner

Partner number and short name¹⁰

- 1 - CSD
- 2 - CIVIO
- 3 - EFOR
- 4 - UNITN

List of deliverables

Deliverable Number ¹⁴	Deliverable Title	Lead beneficiary	Type ¹⁵	Dissemination level ¹⁶	Due Date (in months) ¹⁷
D2.1	2.1. Mapping Report	1 - CSD	Report	Public	6
D2.2	2.2. Progress report on the draft methodology	1 - CSD	Report	Confidential, only for members of the	12

List of deliverables

Deliverable Number ¹⁴	Deliverable Title	Lead beneficiary	Type ¹⁵	Dissemination level ¹⁶	Due Date (in months) ¹⁷
	and pilot results from the integration of the big data tools			consortium (including the Commission Services)	
D2.3	2.3. MACPI SC questionnaires	1 - CSD	Report	Confidential, only for members of the consortium (including the Commission Services)	7
D2.4	2.4. MACPI Institutions questionnaires	1 - CSD	Report	Confidential, only for members of the consortium (including the Commission Services)	11
D2.5	2.5. Publicly accessible web-based platform with interactive analytics and company/institutional profiling (PROTOTYPE/TEST)	1 - CSD	Websites, patents filling, etc.	Public	12
D2.6	2.6. State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level (DRAFT)	1 - CSD	Report	Confidential, only for members of the consortium (including the Commission Services)	12

Description of deliverables

The WP deliverables include:

- Mapping Report, Printed, 40 pages, 300 copies, in English, targeted at civil society, businesses, business associations, academics, public institutions, policy makers.
- Report on the draft methodology and pilot results from the integration of the big data tools, Electronic, 20 pages, in English.
- MACPI SC questionnaires, Electronic, 10 pages, 3 sectoral survey (to be tailored for each country) - in Bulgarian, Spanish, Italian, Romanian, English.
- MACPI Institutions questionnaires, Electronic, 10 pages, Electronic, 10 pages, 8 institutional surveys (to be tailored for each institution) - in Bulgarian, Spanish, Italian, Romanian, English.
- Electronic Publicly accessible web-based platform with interactive analytics and company/institutional profiling (PROTOTYPE/TEST). SeeMaps will be reinforced by the integration of big data analysis, market concentration and identification of red flags, based on data from procurement (mainly through the use of the TED database) and from media content red analysis. As a final outcome, the project partners will deliver a web-based publicly accessible interactive platform which will display both company (supplier) and institutional (buyer) rankings as per concentration of number and value of contracts, recent procurement activity red flags, media suspicious activity report alerts, in addition to specifically identified institutional profiling (performed by MACPI Institutions instrument). At the stage of development a prototype of the platform will be initially launched and tested.
- State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level (DRAFT), Electronic, 30 pages.

D2.1 : 2.1. Mapping Report [6]

Mapping Report, Printed, 40 pages, 300 copies, in English, targeted at civil society, businesses, business associations, academics, public institutions, policy makers. The project partners will initially map the policy, market, institutional, technical and data availability aspects, related to the three pre-selected NACE economic sectors: Wholesale of solid,

liquid and gaseous fuels and related products; Wholesale of pharmaceutical goods; Construction. The three sectors are identified as high-risk during a previously performed national assessment of the risk of state capture in Bulgaria, Italy, Spain and Romania. More specifically, the activity will produce a Mapping report based on desk research, analysing the following main aspects in the target 4 EU MSs: country-specific information about the three diagnosed sectors including public organizations playing important role in regulating or/and controlling the sectors in the particular countries, anti-corruption and anti-trust laws and policies relevant to the three sectors, European public registers as well as local registers with data relevant to the assessment of the sectors and the development of risk indicators.

D2.2 : 2.2. Progress report on the draft methodology and pilot results from the integration of the big data tools [12]
Report, Electronic, 20 pages, in English. The project partners will ensure the quality of the methodological process through the preparation of internal management (progress) reports. The first Report will contain draft of the methodology and pilot results from the integration of the big data tools. The Reports will be subject to discussions and feedback, provided by the Advisory Board. In parallel the consortium will be drafting of a comprehensive State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level (WP3).

D2.3 : 2.3. MACPI SC questionnaires [7]

MACPI SC questionnaires, Electronic, 10 pages, 3 sectoral survey (to be tailored for each country) - in Bulgarian, Spanish, Italian, Romanian, English. The project partners will adapt for the specific sectoral level the existing methodologies from the MACPI family (developed through previous DG Home grants) for assessment of state capture and assessment and monitoring of anticorruption policies' implementation and enforcement. The methodology is based on the preparation of two types of expert surveys, tailored to public officials, working in institutions related to the selected economic sectors, and qualified independent experts. Separate expert questionnaires (a total of 3) will be developed for each of the three sectors, targeting a minimum of 60 respondents per sector in each country. The questionnaires will be translated into national languages and will be adapted for the particular country, including the relevant list of public organisations for their sectors which typically varies slightly from country to country. Alternatively, the questionnaire could be developed in such a way so as to assess government functions relevant to the three sectors instead of particular public organizations. This approach would allow for comparisons between the four countries and the development of quantitative indicators for each of the sectors. Besides assessment of the government control and regulation related to the sector, the questionnaire will include sector-specific questions and questions related to assessment of anti-corruption and anti-trust laws and policies in the sector.

D2.4 : 2.4. MACPI Institutions questionnaires [11]

MACPI Institutions questionnaires, Electronic, 10 pages, Electronic, 10 pages, 8 institutional surveys (to be tailored for each institution) - in Bulgarian, Spanish, Italian, Romanian, English. MACPI Institutions questionnaires will be developed for 2 key public organizations for the three sectors for each of the countries (a total of 8 questionnaires). These questionnaires will assess the anticorruption setup in relevant public organizations critical for one or more of the assessed sectors. One questionnaire per institution will be developed based on the available description of the anti-corruption setup, policies in place, measures, etc. The particular institutions will be selected based on the results from the MACPI State Capture survey.

D2.5 : 2.5. Publicly accessible web-based platform with interactive analytics and company/institutional profiling (PROTOTYPE/TEST) [12]

Electronic Publicly accessible web-based platform with interactive analytics and company/institutional profiling (PROTOTYPE/TEST). SeeMaps will be reinforced by the integration of big data analysis, market concentration and identification of red flags, based on data from procurement (mainly through the use of the TED database) and from media content red analysis. As a final outcome, the project partners will deliver a web-based publicly accessible interactive platform which will display both company (supplier) and institutional (buyer) rankings as per concentration of number and value of contracts, recent procurement activity red flags, media suspicious activity report alerts, in addition to specifically identified institutional profiling (performed by MACPI Institutions instrument). At the stage of development a prototype of the platform will be initially launched and tested. The platform will work with contract award data from the period between 2010 and the end date of the project action and will focus on companies and institutions in the three targeted economic sectors in the 4 project countries. The platform will be interactive in the sense that it will display all relevant data encompassing the mentioned period with up-to-date analysis as per the end date of the project. It can then be dynamised, and regularly updated based on commercial subscription interest. It will offer interactive analytics and profiling of companies. Following its piloting in the four project countries it can then ideally be extended to cover all 28 countries with real-time coverage. For each ranked company and institution, the platform will feature interactive procurement activity related buyer/supplier profile, full project history, and media profile. All rankings (company and institution lists), project history (tender

lists), relevant media history (articles lists) and individual company and institutional reports will be exportable in variety of formats (.csv, txt, PDF where relevant /for profiles/).

D2.6 : 2.6. State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level (DRAFT) [12]

State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level (DRAFT), Electronic, 30 pages. The document will follow the cycle of methodological development and implementation and will provide specific guidance on adaptability to and replication in different EU countries and economic sectors. Demonstration and tutorial of the blueprint on state capture assessment on the level of individual economic sectors will held for civil society organisations (see Activity 4.2). Potentially, the Toolkit can be also used to train public administrations in adapting and implementing SceMaps.

Schedule of relevant Milestones

Milestone number ¹⁸	Milestone title	Lead beneficiary	Due Date (in months)	Means of verification
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Work package number ⁹	WP3	Lead beneficiary ¹⁰	1 - CSD
Work package title	SceMaps pilot implementation		
Start month	13	End month	22

Objectives

Work package 3 materialises the methodological efforts and pilots the newly developed integrated tool for assessing state capture on sectoral level in Bulgaria, Italy, Spain and Romania.

Description of work and role of partners

WP3 - SceMaps pilot implementation [Months: 13-22]

CSD

3.1. SceMaps pilot implementation

The actual implementation of the proposed methodology requires fieldwork and analytical efforts by the project partners. They will gather and analyse qualitative data from the expert surveys, while simultaneously performing analysis on the integration of objective, quantitative data from multiple sources. This process will result in the development and finalisation of two types of products;

a) Three cross-country Policy and Regulatory Capture Reports, assessing and comparing the selected 3 economic sectors in the 4 EU MSs, and delivering policy recommendations.

b) State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level. The document will follow the cycle of methodological development and implementation and will provide specific guidance on adaptability to and replication in different EU countries and economic sectors. Demonstration and tutorial of the blueprint on state capture assessment on the level of individual economic sectors will held for civil society organisations (see Activity 4.2). Potentially, the Toolkit can be also used to train public administrations in adapting and implementing SceMaps.

c) Full-scale launch of the publicly accessible web-based platform with interactive analytics and company/institutional profiling

The project partners will deliver a web-based publicly accessible interactive platform which will display both company (supplier) and institutional (buyer) rankings as per concentration of number and value of contracts, recent procurement activity red flags, media suspicious activity report alert, in addition to specifically identified institutional profiling (performed by MACPI Institutions instrument).

Preliminary end-user functionalities will include:

- Main Navigation Option 1 Toolbar A: Company ranking as per overall contract awards concentration filters (Total tender count; Value of contracts acquired; Average number of tenders per year; Average tender size; Number of tenders for last 12 months; Monetary value acquired for last 12 months)

- Main Navigation Option 1 Toolbar B: Company ranking as per recent activity alerts/red flags (Recent and suspicious activity alerts will be integrated providing users with the option to filter companies per sector/country/location based on their recent (last 12 months success compared to the previous overall performance).

- Main Navigation Option 1 Toolbar C: Company ranking as per suspicious activity mentioning in media - A specially designated media content red flags alert system based on pre-selected keywords related to corruption in procurement and/or political activity connections of companies and/or institutional key staff changes, etc. to be designed in order to flag companies. Project partners will monitor a pre-selected list of national and regional media outlets with online presence in the 4 targeted countries. Ranking will again include only companies with above 5 tenders in the targeted sectors in the last 5 years to be ranked as per the media mentions red flags systems.

- Main Navigation Option 2 Toolbar A: Institutional ranking as per overall contract awards concentration filters.

- Main Navigation Option 2 Toolbar B: Institutional ranking as per recent activity alerts/red flags.

- Main Navigation Option 2 Toolbar C (as per media analysis): Institutional ranking as per suspicious activity mentioning in media.

- Main Navigation Option 2 Toolbar D: Institutions highlighted by the MACPI Institutions analysis with specific project history, interactive institutional profiles, and media profiles.

- Four Main Navigation Option 3 Toolbar Options - Market Sizing (per industry/per country/per time unit/ per political elections cycle): Number of contract awards; Value of contracts; Level of competitiveness by number of awarded companies; and Level of competitiveness by number of awarded companies.

Ranking, based on government terms (political election cycle) will also be included. API access for any-third party machine access will additionally be allowed, so the public, media, market researchers and civil society organisations could access the raw data and run additional analytics.

3.2 Monitoring and evaluation of SceMaps implementation status

The project partners will ensure the quality of the integrated tool's implementation through the preparation of the internal management report, to be presented during the 'Second Progress Workshop' in Bucharest (month 17, see activity 1.4). This last of the internal management reports will be subject to discussions and feedback, provided by the Advisory Board, adding additional value to the development and finalisation of the action's main outputs.

Participation per Partner

Partner number and short name ¹⁰
1 - CSD
2 - CIVIO
3 - EFOR
4 - UNITN

List of deliverables

Deliverable Number ¹⁴	Deliverable Title	Lead beneficiary	Type ¹⁵	Dissemination level ¹⁶	Due Date (in months) ¹⁷
D3.1	3.1 Three Policy and Regulatory Capture Reports	1 - CSD	Report	Public	21
D3.2	3.2 State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level	1 - CSD	Report	Public	20
D3.3	3.3 Publicly accessible web-based platform with interactive analytics and company/institutional profiling	1 - CSD	Websites, patents filling, etc.	Public	19
D3.4	3.4 Progress report on the draft integrated tool's implementation	1 - CSD	Report	Confidential, only for members of the consortium (including the Commission Services)	17

Description of deliverables

The deliverables include:

- 3 Policy and Regulatory Capture Reports; Printed and electronic, 70 pages each, 400 copies each in English, with translated executive summaries in 4 languages (in English, Bulgarian, Spanish, Romanian, Italian). The cross-country Policy and Regulatory Capture Reports will assess and compare the selected 3 economic sectors in the 4 EU MSs, and deliver policy recommendations.
- State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level; Printed and electronic, 70 pages, 300 copies, in English. The document will follow the cycle of methodological development and implementation and will provide specific guidance on adaptability to and replication in different EU countries and economic sectors. Demonstration and tutorial of the blueprint on state capture assessment on the level of individual economic sectors will held for civil society organisations (see Activity 4.2). Potentially, the Toolkit can be also used to train public administrations in adapting and implementing SceMaps.

- Publicly accessible web-based platform with interactive analytics and company/institutional profiling; Electronic, in English. The current activity will include the full-scale launch of the publicly accessible web-based platform with interactive analytics and company/institutional profiling. The project partners will deliver a web-based publicly accessible interactive platform which will display both company (supplier) and institutional (buyer) rankings as per concentration of number and value of contracts, recent procurement activity red flags, media suspicious activity report alert, in addition to specifically identified institutional profiling (performed by MACPI Institutions instrument).

- Report; Electronic, 20 pages, in English. The project partners will ensure the quality of the integrated tool's implementation through the preparation of this internal management (progress) report, to be presented during the 'Second Progress Workshop' in Bucharest (month 17, see activity 1.4). This last of the internal management (progress) reports will be subject to discussions and feedback, provided by the Advisory Board, adding additional value to the development and finalisation of the action's main outputs.

D3.1 : 3.1 Three Policy and Regulatory Capture Reports [21]

3 Policy and Regulatory Capture Reports; Printed and electronic, 70 pages each, 400 copies each in English, with translated executive summaries in 4 languages (in English, Bulgarian, Spanish, Romanian, Italian). The cross-country Policy and Regulatory Capture Reports will assess and compare the selected 3 economic sectors in the 4 EU MSs, and deliver policy recommendations.

D3.2 : 3.2 State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level [20]

State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level; Printed and electronic, 70 pages, 300 copies, in English. The document will follow the cycle of methodological development and implementation and will provide specific guidance on adaptability to and replication in different EU countries and economic sectors. Demonstration and tutorial of the blueprint on state capture assessment on the level of individual economic sectors will held for civil society organisations (see Activity 4.2). Potentially, the Toolkit can be also used to train public administrations in adapting and implementing SeeMaps.

D3.3 : 3.3 Publicly accessible web-based platform with interactive analytics and company/institutional profiling [19]

Publicly accessible web-based platform with interactive analytics and company/institutional profiling; Electronic, in English. The current activity will include the full-scale launch of the publicly accessible web-based platform with interactive analytics and company/institutional profiling. The project partners will deliver a web-based publicly accessible interactive platform which will display both company (supplier) and institutional (buyer) rankings as per concentration of number and value of contracts, recent procurement activity red flags, media suspicious activity report alert, in addition to specifically identified institutional profiling (performed by MACPI Institutions instrument). Preliminary end-user functionalities will include: - Main Navigation Option 1 Toolbar A: Company ranking as per overall contract awards concentration filters (Total tender count; Value of contracts acquired; Average number of tenders per year; Average tender size; Number of tenders for last 12 months; Monetary value acquired for last 12 months) - Main Navigation Option 1 Toolbar B: Company ranking as per recent activity alerts/red flags (Recent and suspicious activity alerts will be integrated providing users with the option to filter companies per sector/country/location based on their recent (last 12 months success compared to the previous overall performance). - Main Navigation Option 1 Toolbar C: Company ranking as per suspicious activity mentioning in media - A specially designated media content red flags alert system based on pre-selected keywords related to corruption in procurement and/or political activity connections of companies and/or institutional key staff changes, etc. to be designed in order to flag companies. Project partners will monitor a pre-selected list of national and regional media outlets with online presence in the 4 targeted countries. Ranking will again include only companies with above 5 tenders in the targeted sectors in the last 5 years to be ranked as per the media mentions red flags systems. - Main Navigation Option 2 Toolbar A: Institutional ranking as per overall contract awards concentration filters. - Main Navigation Option 2 Toolbar B: Institutional ranking as per recent activity alerts/red flags. - Main Navigation Option 2 Toolbar C (as per media analysis): Institutional ranking as per suspicious activity mentioning in media. - Main Navigation Option 2 Toolbar D: Institutions highlighted by the MACPI Institutions analysis with specific project history, interactive institutional profiles, and media profiles. - Four Main Navigation Option 3 Toolbar Options - Market Sizing (per industry/per country/per time unit/ per political elections cycle): Number of contract awards; Value of contracts; Level of competitiveness by number of awarded companies; and Level of competitiveness by number of awarded companies. Ranking, based on government terms (political election cycle) will also be included. API access for any-third party machine access will additionally be allowed, so the public, media, market researchers and civil society organisations could access the raw data and run additional analytics.

D3.4 : 3.4 Progress report on the draft integrated tool's implementation [17]

Report; Electronic, 20 pages, in English. The project partners will ensure the quality of the integrated tool's implementation through the preparation of this internal management (progress) report to be presented during

the 'Second Progress Workshop' in Bucharest (month 17, see activity 1.4). This last of the internal management reports will be subject to discussions and feedback, provided by the Advisory Board, adding additional value to the development and finalisation of the action's main outputs.

Schedule of relevant Milestones

Milestone number¹⁸	Milestone title	Lead beneficiary	Due Date (in months)	Means of verification
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Work package number ⁹	WP4	Lead beneficiary ¹⁰	2 - CIVIO
Work package title	Engagement and dissemination of best practices		
Start month	1	End month	22

Objectives

The impact and added value of the project will be expanded through its electronic and offline engagement and dissemination activities. Work package 4 will be implemented throughout the entire life cycle of the action. The proposed set of engagement and dissemination activities will (a) raise awareness of the state capture phenomenon on EU-level and its impact in specific industries; (b) provide, as a best practice, and make available for civil society organisations an innovative tool, adaptive and fully customisable across countries and industries; (c) engage online and offline communities, with interest in specific economic sectors, to more openly discuss the existing governance challenges; (d) further highlight the threat and implications of state capture on EU level.

Description of work and role of partners

WP4 - Engagement and dissemination of best practices [Months: 1-22]

CIVIO

4.1. International policy conference

The project partners will present the action's main analytical and methodological products (3 Policy and Regulatory Capture Reports and the State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level (SceMaps Integrated Toolkit) (see activity 3.1) during a high level international policy conference, in Brussels. The target audience is policy, business and civil society stakeholders, and media. The forum will reflect the project's key messages, conclusions, recommendations and will help set future efforts in the field of complex corruption practices. The conference will be attended by 60 participants.

4.2 Demonstrations and tutorials for civil society oversight – training for assessing state capture on sectoral level

The day following the international policy conference, the consortium members will organise a civil society training (20 participants) on best practices for state capture assessment and anti-corruption policy evaluation on sectoral level. The core of the training will be a detailed review of the already piloted methodology, its conclusions, as well as specific guidelines to replication across multiple EU countries and economic sectors, based on the 'State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level' publication. The design of the integrated tool is specifically thought to be fully customizable to other EU MS. Being effectively country-neutral, the proposed methodology has the capacity and the necessary adaptability to be implemented in multiple EU countries and, more importantly, to be used for assessment of different economic sectors. Thus, it is potentially a great asset for every civil society organisation in pursuit of impact and effective oversight of public economic and institutional governance and performance.

4.3 Creating tailored content for awareness raising on multiple levels

The action will raise awareness of the risk of state capture on multiple levels by creating specific content, tailored for the different types of beneficiaries, target groups and more general stakeholders of the action. Apart from general promotion and dissemination activities, by adapting content from the overall project research and analysis, the partners will produce 8 Media Notes, timed according to current affairs dynamics.

CSD will publish one academic article (with the intention of being published in a referenced journal), with a focus on the developed SceMaps methodology. The academic article will be based on the research, analyses and results of the action. In addition, CIVIO will be responsible for delivering a cross-country sectoral investigative journalist article, focused on one of the three high risks sectors, targeted by the project, covering the 4 EU MSs. The process for developing the 10-page article will include journalistic investigation, getting letters of intent from media partners, drafting and designing and interface of the article, parsing, cleaning and structuring of data for extracting valuable and notable insights from the data sets, etc.

4.4 Interactive social media engagement

Social media platforms (including Facebook and Twitter accounts) will be used regularly not only to disseminate achievements, created by associated activities, but also to create and disseminate new content. Five infographics will be created to enhance engagement of relevant beneficiaries, target groups and stakeholders.

4.5. Internet publicity and engagement of partner networks

Due to the lengthy process of creating separate webpage and generating the necessary traffic on it, the consortium will instead make use of the webpages of its individual partners, as well as of partner networks across Europe. Experience shows that this approach, combined with strong social media presence is more effective. In addition, to keep the

stakeholders regularly updated on the progress of the project a Newsletter (total of 5 newsletter issues) will be compiled and distributed following the (a) realisation of major outputs or deliverables; (b) important developments in the 3 economic sectors in Italy, Spain, Bulgaria and Romania; (c) other relevant content, part of the action's engagement and dissemination strategy.

4.6 Creating visual identity

The project partners will design and implement a package of project materials to increase visibility and engagement of all relevant stakeholder and beneficiaries' groups. More specifically, the activity will include, among others, designing a project logo, used for the web-based platform, events, presentation templates, online communication and all project outputs and deliverables. Project banner and additional materials, if necessary, will be made available. This approach will help for familiarising all relevant stakeholders, target groups and beneficiaries with the action.

4.7 Engagement and dissemination strategy

All engagement and dissemination activities will be based on an Engagement and dissemination strategy. The Strategy is an internal document, adopted by the CMC, agreed upon and distributed among the project partners. It outlines the type of dissemination and engagement activities to be carried out during the project.

In addition, all relevant stakeholders, approach, identified and/or researched during the project will be gathered into an internal database of stakeholder contacts. It will be constantly updated by adding new contacts established in the course of the project activities. The database's profile will include public officials, policy makers, civil society and business representatives, academics, independent experts, etc. (150+ contacts).

Participation per Partner

Partner number and short name¹⁰

- | |
|-----------|
| 1 - CSD |
| 2 - CIVIO |
| 3 - EFOR |
| 4 - UNITN |

List of deliverables

Deliverable Number ¹⁴	Deliverable Title	Lead beneficiary	Type ¹⁵	Dissemination level ¹⁶	Due Date (in months) ¹⁷
D4.1	4.1.1 Newsletter (issue 1)	4 - UNITN	Report	Public	10
D4.2	4.1.1 Newsletter (issue 2)	4 - UNITN	Report	Public	13
D4.3	4.1.1 Newsletter (issue 3)	4 - UNITN	Report	Public	16
D4.4	4.1.1 Newsletter (issue 4)	4 - UNITN	Report	Public	18
D4.5	4.1.1 Newsletter (issue 5)	4 - UNITN	Report	Public	22
D4.6	4.2 Engagement and dissemination strategy	2 - CIVIO	Report	Confidential, only for members of the consortium (including the Commission Services)	2
D4.7	4.3.1 Media Note (issue 1)	2 - CIVIO	Report	Public	7
D4.8	4.3.2 Media Note (issue 2)	2 - CIVIO	Report	Public	13

List of deliverables

Deliverable Number ¹⁴	Deliverable Title	Lead beneficiary	Type ¹⁵	Dissemination level ¹⁶	Due Date (in months) ¹⁷
D4.9	4.3.3 Media Notes (issue 3 and 4)	2 - CIVIO	Report	Public	18
D4.10	4.3.4 Media Notes (issue 5 and 6)	2 - CIVIO	Report	Public	20
D4.11	4.3.5 Media Notes (issue 7 and 8)	2 - CIVIO	Report	Public	22
D4.12	4.4 Investigative journalism article	2 - CIVIO	Report	Public	20
D4.13	4.5 Academic publication	1 - CSD	Report	Public	21
D4.14	4.6 Social media accounts	2 - CIVIO	Websites, patents filling, etc.	Public	2
D4.15	4.7.1 Infographic (issue 1)	2 - CIVIO	Websites, patents filling, etc.	Public	6
D4.16	4.7.2 Infographic (issue 2)	2 - CIVIO	Websites, patents filling, etc.	Public	11
D4.17	4.7.3 Infographic (issue 3)	2 - CIVIO	Websites, patents filling, etc.	Public	14
D4.18	4.7.4 Infographic (issue 4)	2 - CIVIO	Websites, patents filling, etc.	Public	19
D4.19	4.7.5 Infographic (issue 5)	2 - CIVIO	Websites, patents filling, etc.	Public	21
D4.20	4.8 Project materials (logo, banners, presentation templates, design)	2 - CIVIO	Websites, patents filling, etc.	Public	5

Description of deliverables

The deliverables include:

- Newsletter, Electronic, 2 pages, in Bulgarian, Romania, Italian, Spanish, English (5 issues). To keep the stakeholders regularly updated on the progress of the project a Newsletter (total of 5 newsletter issues for the whole project) will be compiled and distributed following the (a) realisation of major outputs or deliverables; (b) important developments in the 3 economic sectors in Italy, Spain, Bulgaria and Romania; (c) other relevant content, part of the action's engagement and dissemination strategy.
- Engagement and dissemination strategy, Electronic, 5 pages, in English. All engagement and dissemination activities will be based on an Engagement and dissemination strategy. The Strategy is an internal document, adopted by the CMC, agreed upon and distributed among the project partners. It outlines the type of dissemination and engagement activities to be carried out during the project.

- Media Notes; Electronic, 5 pages, in Bulgarian, Spanish, Italian, Romanian, English. The Media Notes will be based on adapting content from the overall project research and analysis, and be timed according to current affairs dynamics.
- Investigative journalism article, Electronic, 2 pages, in English. CIVIO will be responsible for delivering a cross-country sectoral investigative journalist article, focused on one of the three high risks sectors, targeted by the project, covering the 4 EU MSs. The process for developing the article will include journalistic investigation, getting letters of intent from media partners, drafting and designing and interface of the article, parsing, cleaning and structuring of data for extracting valuable and notable insights from the data sets, etc.
- Academic publication, Electronic, 20 pages, 1 article, in English. CSD will publish one academic article (with the intention of being published in a referenced journal), with a focus on the developed SceMaps methodology. The academic article will be based on the research, analyses and results of the action.
- Social media accounts, Electronic, in Bulgarian, Spanish, Italian, Romanian, English, targeting the general public, online and offline business communities, policy makers, civil society, media, academics, researchers. Social media platforms (including Facebook and Twitter accounts) will be used regularly not only to disseminate achievements, created by associated activities, but also to create and disseminate new content.
- Infographics, Electronic, in English (5 issues). The infographics will be created to enhance engagement of relevant beneficiaries, target groups and stakeholders.
- Project materials (logo, banners, presentation templates, design), Electronic and printed, in English. The project partners will design and implement a package of project materials to increase visibility and engagement of all relevant stakeholder and beneficiaries' groups. More specifically, the activity will include, among others, designing a project logo, used for the web-based platform, events, presentation templates, online communication and all project outputs and deliverables. Project banner and additional materials, if necessary, will be made available. This approach will help for familiarising all relevant stakeholders, target groups and beneficiaries with the action.

D4.1 : 4.1.1 Newsletter (issue 1) [10]

Newsletter, Electronic, 2 pages, in Bulgarian, Romania, Italian, Spanish, English (issue 1). To keep the stakeholders regularly updated on the progress of the project a Newsletter (total of 5 newsletter issues for the whole project) will be compiled and distributed following the (a) realisation of major outputs or deliverables; (b) important developments in the 3 economic sectors in Italy, Spain, Bulgaria and Romania; (c) other relevant content, part of the action's engagement and dissemination strategy.

D4.2 : 4.1.1 Newsletter (issue 2) [13]

Newsletter, Electronic, 2 pages, in Bulgarian, Romania, Italian, Spanish, English (issue 2). To keep the stakeholders regularly updated on the progress of the project a Newsletter (total of 5 newsletter issues for the whole project) will be compiled and distributed following the (a) realisation of major outputs or deliverables; (b) important developments in the 3 economic sectors in Italy, Spain, Bulgaria and Romania; (c) other relevant content, part of the action's engagement and dissemination strategy.

D4.3 : 4.1.1 Newsletter (issue 3) [16]

Newsletter, Electronic, 2 pages, in Bulgarian, Romania, Italian, Spanish, English (issue 3). To keep the stakeholders regularly updated on the progress of the project a Newsletter (total of 5 newsletter issues for the whole project) will be compiled and distributed following the (a) realisation of major outputs or deliverables; (b) important developments in the 3 economic sectors in Italy, Spain, Bulgaria and Romania; (c) other relevant content, part of the action's engagement and dissemination strategy.

D4.4 : 4.1.1 Newsletter (issue 4) [18]

Newsletter, Electronic, 2 pages, in Bulgarian, Romania, Italian, Spanish, English (issue 4). To keep the stakeholders regularly updated on the progress of the project a Newsletter (total of 5 newsletter issues for the whole project) will be compiled and distributed following the (a) realisation of major outputs or deliverables; (b) important developments in the 3 economic sectors in Italy, Spain, Bulgaria and Romania; (c) other relevant content, part of the action's engagement and dissemination strategy.

D4.5 : 4.1.1 Newsletter (issue 5) [22]

Newsletter, Electronic, 2 pages, in Bulgarian, Romania, Italian, Spanish, English (issue 5). To keep the stakeholders regularly updated on the progress of the project a Newsletter (total of 5 newsletter issues for the whole project) will be compiled and distributed following the (a) realisation of major outputs or deliverables; (b) important developments in the 3 economic sectors in Italy, Spain, Bulgaria and Romania; (c) other relevant content, part of the action's engagement and dissemination strategy.

D4.6 : 4.2 Engagement and dissemination strategy [2]

Engagement and dissemination strategy, Electronic, 5 pages, in English. All engagement and dissemination activities will be based on an Engagement and dissemination strategy. The Strategy is an internal document, adopted by the CMC, agreed upon and distributed among the project partners. It outlines the type of dissemination and engagement activities to be carried out during the project.

D4.7 : 4.3.1 Media Note (issue 1) [7]

Media Note; Electronic, 5 pages, in Bulgarian, Spanish, Italian, Romanian, English - 1 issue. The Media Notes will be based on adapting content from the overall project research and analysis, and be timed according to current affairs dynamics.

D4.8 : 4.3.2 Media Note (issue 2) [13]

Media Note; Electronic, 5 pages, in Bulgarian, Spanish, Italian, Romanian, English - 1 issue. The Media Notes will be based on adapting content from the overall project research and analysis, and be timed according to current affairs dynamics.

D4.9 : 4.3.3 Media Notes (issue 3 and 4) [18]

Media Notes; Electronic, 5 pages, in Bulgarian, Spanish, Italian, Romanian, English - 2 issues. The Media Notes will be based on adapting content from the overall project research and analysis, and be timed according to current affairs dynamics.

D4.10 : 4.3.4 Media Notes (issue 5 and 6) [20]

Media Notes; Electronic, 5 pages, in Bulgarian, Spanish, Italian, Romanian, English - 2 issues. The Media Notes will be based on adapting content from the overall project research and analysis, and be timed according to current affairs dynamics.

D4.11 : 4.3.5 Media Notes (issue 7 and 8) [22]

Media Notes; Electronic, 5 pages, in Bulgarian, Spanish, Italian, Romanian, English - 2 issues. The Media Notes will be based on adapting content from the overall project research and analysis, and be timed according to current affairs dynamics.

D4.12 : 4.4 Investigative journalism article [20]

Investigative journalism article, Electronic, 2 pages, in English. CIVIO will be responsible for delivering a cross-country sectoral investigative journalist article, focused on one of the three high risks sectors, targeted by the project, covering the 4 EU MSs. The process for developing the 10-page article will include journalistic investigation, getting letters of intent from media partners, drafting and designing and interface of the article, parsing, cleaning and structuring of data for extracting valuable and notable insights from the data sets, etc.

D4.13 : 4.5 Academic publication [21]

Academic publication, Electronic, 20 pages, 1 article, in English. CSD will publish one academic article (with the intention of being published in a referenced journal), with a focus on the developed SceMaps methodology. The academic article will be based on the research, analyses and results of the action.

D4.14 : 4.6 Social media accounts [2]

Social media accounts, Electronic, in Bulgarian, Spanish, Italian, Romanian, English, targeting the general public, online and offline business communities, policy makers, civil society, media, academics, researchers. Social media platforms (including Facebook and Twitter accounts) will be used regularly not only to disseminate achievements, created by associated activities, but also to create and disseminate new content.

D4.15 : 4.7.1 Infographic (issue 1) [6]

Infographic, Electronic, in English (issue 1). The infographics will be created to enhance engagement of relevant beneficiaries, target groups and stakeholders.

D4.16 : 4.7.2 Infographic (issue 2) [11]

Infographic, Electronic, in English (issue 2). The infographics will be created to enhance engagement of relevant beneficiaries, target groups and stakeholders.

D4.17 : 4.7.3 Infographic (issue 3) [14]

Infographic, Electronic, in English (issue 3). The infographics will be created to enhance engagement of relevant beneficiaries, target groups and stakeholders.

D4.18 : 4.7.4 Infographic (issue 4) [19]

Infographic, Electronic, in English (issue 4). The infographics will be created to enhance engagement of relevant beneficiaries, target groups and stakeholders.

D4.19 : 4.7.5 Infographic (issue 5) [21]

Infographic, Electronic, in English (issue 5). The infographics will be created to enhance engagement of relevant beneficiaries, target groups and stakeholders.

D4.20 : 4.8 Project materials (logo, banners, presentation templates, design) [5]

Project materials (logo, banners, presentation templates, design), Electronic and printed, in English. The project partners will design and implement a package of project materials to increase visibility and engagement of all relevant stakeholder and beneficiaries' groups. More specifically, the activity will include, among others, designing a project logo, used for the web-based platform, events, presentation templates, online communication and all project outputs and deliverables. Project banner and additional materials, if necessary, will be made available. This approach will help for familiarising all relevant stakeholders, target groups and beneficiaries with the action.

Schedule of relevant Milestones

Milestone number ¹⁸	Milestone title	Lead beneficiary	Due Date (in months)	Means of verification
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1.3.4. WT4 List of milestones

No milestones indicated

1.3.5. WT5 Critical Implementation risks and mitigation actions

No risks indicated

1.3.6. WT6 Summary of project effort contribution

	WP1	WP2	WP3	WP4
1 - CSD	✓	✓	✓	✓
2 - CIVIO	✓	✓	✓	✓
3 - EFOR	✓	✓	✓	✓
4 - UNITN	✓	✓	✓	✓

1.3.7. WT7 Tentative schedule of project reviews

No project reviews indicated

1. Project number

The project number has been assigned by the Commission as the unique identifier for your project. It cannot be changed. The project number **should appear on each page of the grant agreement preparation documents (part A and part B)** to prevent errors during its handling.

2. Project acronym

Use the project acronym as given in the submitted proposal. It can generally not be changed. The same acronym **should appear on each page of the grant agreement preparation documents (part A and part B)** to prevent errors during its handling.

3. Project title

Use the title (preferably no longer than 200 characters) as indicated in the submitted proposal. Minor corrections are possible if agreed during the preparation of the grant agreement.

4. Starting date

Unless a specific (fixed) starting date is duly justified and agreed upon during the preparation of the Grant Agreement, the project will start on the first day of the month following the entry into force of the Grant Agreement (NB : entry into force = signature by the Commission). Please note that if a fixed starting date is used, you will be required to provide a written justification.

5. Duration

Insert the duration of the project in full months.

6. Call (part) identifier

The Call (part) identifier is the reference number given in the call or part of the call you were addressing, as indicated in the publication of the call in the Official Journal of the European Union. You have to use the identifier given by the Commission in the letter inviting to prepare the grant agreement.

7. Abstract

8. Project Entry Month

The month at which the participant joined the consortium, month 1 marking the start date of the project, and all other start dates being relative to this start date.

9. Work Package number

Work package number: WP1, WP2, WP3, ..., WPn

10. Lead beneficiary

This must be one of the beneficiaries in the grant (not a third party) - Number of the beneficiary leading the work in this work package

11. Person-months per work package

The total number of person-months allocated to each work package.

12. Start month

Relative start date for the work in the specific work packages, month 1 marking the start date of the project, and all other start dates being relative to this start date.

13. End month

Relative end date, month 1 marking the start date of the project, and all end dates being relative to this start date.

14. Deliverable number

Deliverable numbers: D1 - Dn

15. Type

Please indicate the type of the deliverable using one of the following codes:

- R Document, report
- DEM Demonstrator, pilot, prototype
- DEC Websites, patent filings, videos, etc.
- OTHER
- ETHICS Ethics requirement
- ORDP Open Research Data Pilot

16. Dissemination level

Please indicate the dissemination level using one of the following codes:

- PU Public
- CO Confidential, only for members of the consortium (including the Commission Services)
- EU-RES Classified Information: RESTREINT UE (Commission Decision 2005/444/EC)
- EU-CON Classified Information: CONFIDENTIEL UE (Commission Decision 2005/444/EC)
- EU-SEC Classified Information: SECRET UE (Commission Decision 2005/444/EC)

17. Delivery date for Deliverable

Month in which the deliverables will be available, month 1 marking the start date of the project, and all delivery dates being relative to this start date.

18. Milestone number

Milestone number: MS1, MS2, ..., MSn

19. Review number

Review number: RV1, RV2, ..., RVn

20. Installation Number

Number progressively the installations of a same infrastructure. An installation is a part of an infrastructure that could be used independently from the rest.

21. Installation country

Code of the country where the installation is located or IO if the access provider (the beneficiary or linked third party) is an international organization, an ERIC or a similar legal entity.

22. Type of access

- VA if virtual access,
- TA-uc if trans-national access with access costs declared on the basis of unit cost,
- TA-ac if trans-national access with access costs declared as actual costs, and
- TA-cb if trans-national access with access costs declared as a combination of actual costs and costs on the basis of unit cost.

23. Access costs

Cost of the access provided under the project. For virtual access fill only the second column. For trans-national access fill one of the two columns or both according to the way access costs are declared. Trans-national access costs on the basis of unit cost will result from the unit cost by the quantity of access to be provided.

ANNEX I - DESCRIPTION OF THE ACTION

PART B

PART 1 – SUMMARY OF THE ACTION

Provide an overall description of the action, including the expected impact, outcomes and outputs of the action, activities, number and type of (short, medium and long term) beneficiaries. This summary should give readers a clear idea of what the action is about. It should be structured but descriptive; it should not merely provide lists of objectives, activities, beneficiaries and outputs. **(max 2000 characters)**

The Commission reserves the right to publish the summary for publication/dissemination purposes.

SceMaps is designed to contribute to the fight against corruption in the EU by delivering impact on multiple levels and providing for long-term sustainability. The project aims at tackling anti-corruption deficiencies in high-risk EU member states (MSs) by developing and implementing an integrated risk assessment tool for estimating state capture and monitoring of anti-corruption policies at the sectoral level (SceMaps). SceMaps addresses the most serious corruption threat - state capture, by assessing high-risk economic sectors through a combination of qualitative and quantitative methods, big data analysis and media content alert system. Designed for easy replication and take-up by EU MSs' public administrations, SceMaps will allow EU authorities to build evolving, risk-responsive instruments to assess and tackle corruption and capture risks in regulatory heavy areas and industries, such as public procurement, pharmaceuticals (healthcare), and construction.

The action will develop and implement an integrated tool based on:

- (a) Assessment of state capture risks on sectoral level;
- (b) Evaluation of the enforceability and impact of anti-corruption measures and policies on the level of individual public institutions, relevant to the identified high-risk economic sectors;
- (c) Integration of cross-sectional big data analysis;
- (d) Development of a publicly accessible web-based platform with interactive analytics and company/institutional profiling and media content alert system.

The consortium will develop a blueprint for best practices implementation and data exchange for civil society oversight and will conduct a training module on methodological development and implementation for civil society organisations. Public-private dialogue on EU level will be ensured by effective project design, outreach and dissemination, targeting civil society, researchers, public institutions, business associations and EU and national decision-making bodies as main beneficiaries of the action.

PART 2 –CONTEXT OF THE ACTION AND NEEDS ANALYSIS

Describe the context of the action (including your understanding of the relevant EU policies and to what extent this action builds up on previous action results in the field) and analyse the European needs which will be addressed by the action. **(max 4000 characters)**

According to Eurobarometer, the majority of Europeans consider corruption behaviour as unacceptable, but two-thirds of them think it is widespread in their respective countries. The European Agenda on Security and the Renewed EU Internal Security Strategy 2015-2020 identifies corruption as a specific security risk for the EU. The EU Anti-Corruption Report demonstrated that while corruption problems vary in substance and intensity among MSs, the negative impact of corruption is felt across the EU. The Report showed that there is a lack of proper, prevention and detection tools in place to curb corruption effectively in its many forms and across the different jurisdictions in the EU. These are badly needed in order to realise the full potential of EU's open government and open data policies, and to make the European Semester a more effective anti-corruption policy instrument.

As corruption practices evolve, EU MSs need to respond by deepening their capacity to tackle new and more complex forms but also to better share existing knowledge. What is most worrying is that in some EU countries corruption reaches beyond individual acts and administrative and/or petty offences to negatively impact the quality of public governance and entire sectors of the economy. These systematic effects often remain hidden, closely linked to governance mechanisms. In such an environment, corruption reaches top level officials in the executive, judiciary and the legislative, resulting in the emergence of state capture (or its lesser occurrences policy and regulatory capture), thus creating vulnerabilities for the whole EU and its various policies. This also creates legitimacy issues for MSs' public institutions and by extension for the EU project as a whole.

Developing adequate risk assessment tools for national and European authorities and the public, including the media and civil society organisations to tackle state capture requires further study of its implications, specifically

on the level of high-risk economic sectors, where its negative impact is most strongly felt. The proposed action builds upon and further develops a comprehensive foundation of research and analysis of state capture risk assessment; monitoring and evaluation of the enforceability and effectiveness of anti-corruption policies on institutional level; corruption risks and concentration in the public procurement sector; provision of best practices for corruption monitoring and assessment in the EU. More specifically, the lead applicant has coordinated a national-level assessment of the degree of state capture in Bulgaria, Italy, Spain and Romania, identifying sectors where deeply hidden high level corruption has had systematic negative impact on the market. The identified high-risk sectors are: wholesale of solid, liquid and gaseous fuels; wholesale of pharmaceuticals; construction.

This foundation allows the consortium to be uniquely positioned to address the identified policy and instruments gaps in the EU in relation to the assessment of corruption and state capture in the identified high-risk sectors by developing an innovative tool integrating (a) state capture assessment on sectoral level and (b) evaluation of the enforceability and impact of anti-corruption measures in individual public institutions, reinforced by (c) integration of cross-sectional data and development of a publicly accessible web-based platform with interactive analytics and designated media content alert system. The proposed comprehensive approach corresponds to the call's specific objectives, related to data-driven assessment of corruption risks in critical economic and societal domains (e.g. health care, public procurement and the interaction between business and politics); promotion of integrated approach to assessing impact, enforcement and enforceability of anti-corruption measures; and the promotion of best practices and data exchange at EU level.

PART 3 – GENERAL AND SPECIFIC OBJECTIVES, METHODOLOGY

3.1. General objective of the action

3.1.1. To which priority(ies) of the Call for Proposals does this action refer?

- ☒ preventing corruption in high risk sectors, including by promoting tools for risk assessment and risk management and tools for civil oversight and investigative journalism and for assisting whistle-blowers with technical and legal aid;
- ☒ assessing the impact of implemented anti-corruption measures;
- ☐ enhancing the effectiveness of corruption prosecution, particularly as regards complex cross-border cases;
- ☒ implementing best practices across the EU

3.1.2. General objective (expected impact) of the action (max 2000 characters)

Define the general objective (correlated to the expected impact) of the action.

The general objective should correspond to the relevant priority(ies) defined in the Call for proposals.

The impact is defined as the long term effect produced by the Action.

The scope and methodology of the proposed action are designed to contribute to the fight against corruption in the EU by delivering impact on multiple levels and providing for long-term sustainability. The project aims at tackling anti-corruption gaps by developing and implementing an integrated risk assessment tool for estimating state capture and monitoring of anti-corruption policies at the sectoral level (SceMaps). SceMaps addresses the emerging state capture threat by assessing high-risk economic sectors through a combination of qualitative and quantitative, bid data analyses and media content alert system. SceMaps is based on a set of innovative methodologies, which aim to ensure easy replication, take-up by EU MSs' public administrations, and adaptability to the ever-changing risk environment of corruption and state capture.

The implementation of this approach on EU-level in a set of four high-risk countries - Bulgaria, Spain, Italy and Romania, will produce comprehensive, tangible and most importantly actionable results to be used by a wide spectrum of beneficiaries, reached through a number of engagement platforms (e.g. events, publications, offline and online community building and promotion). Once developed and tested, the design of the tool is specifically thought to deliver sustainability and long-term effect, by being fully customizable to other EU MSs and economic sectors. The proposed integrated tool has the capacity and the necessary adaptability to be implemented in multiple EU countries and, to be used for assessment of different economic sectors. Another critical aspect for the

long-term effect of the action is the ability of the civil society sector to use SceMaps as an evidence-based instrument for monitoring and for improving public governance. This process will be enabled by developing detailed methodological and step-by-step best practice guide, and by conducting a training for civil society oversight.

3.1.3. European dimension of the action / Impact on the EU scale (*max 4000 characters*)

Demonstrate the European dimension of the action and its importance and effect through EU. Which countries will directly and indirectly benefit from the action? Illustrate the European dimension of the planned activities. Which countries will be directly involved in the activities of the action? Where will the activities take place?

The proposed action is designed to address the objectives of the Renewed EU Internal Security Strategy 2015-2020, as well as European Agenda on Security (EAS). The action seeks to avoid "one-size-fits-all" solutions, by foreseeing the development of a sector-based analysis, detection, and prevention tool, which provides an adaptive, cross-country comparable and EU-wide applicable framework. The action will pilot-explore state capture, directly responding to the call of EAS to find ways to fight new and complex threats. The proposed tool's assessment of a country's degree of state capture on economic sector level, the possibility to both compare EU MSs' economic sectors and at the same time understand better the processes taking place in a particular country, and the policy responses which are needed in order to improve the situation, are of particular value for the EU single market.

The qualitative state capture diagnostic is reinforced by additional elements, in order to meet the specific objectives of the call. A core aspect of the action is its focus on using open data on public procurement for big data analysis of anti-corruption, as well as on linking procurement with company data, which allows for a comprehensive risk assessment at economic sector level. This addresses the priorities, identified by the 2018 Annual Growth Survey, specifically related to the challenge of corruption as a barrier to investments and a cause of economic uncertainty in the EU. On a more general level, in the context of the European Semester and the estimated EUR 120 billion annually lost to corruption, the action could serve to aid the implementation and compliance of EU countries to the two overall mechanisms of EU economic governance – the 2020 Strategy and the Growth and Stability Pact.

The proposed publicly accessible web-based platform with interactive analytics and company/institutional profiling, and the integration of media content alert and big data approaches for market concentration analysis and identification of state capture risks allow for designing a potent tool for assessing the risk of corruption and bad governance practices in the pre-selected high-risk economic sectors. These novel instruments will be bundled in the tool with already existing EU tools (e.g. MACPI Institutions) for monitoring and measuring of the effectiveness of anticorruption policies on the level of public organizations. This will provide for an integrated approach in detecting and preventing corruption in MSs and at the EU level. The impact of these core activities for developing an integrated anticorruption tool will be multiplied on EU level through the organisation of fora and other platforms for public-private dialogue, and the use of various communication and dissemination channels, etc.

Combined the action's activities will provide for a strong anticorruption approach, promoting the implementation of best practices and data exchange at EU level. It delivers the first comprehensive assessment of the degree of state capture on the level of individual economic sectors in vulnerable EU MSs. This experience will be consequently integrated into a training module for civil society oversight.

By introducing an adaptable and transferrable integrated tool, the action directly and indirectly benefits all EU Member States. The design of the tool is specifically thought to be fully customizable to other EU MSs. Being effectively country-neutral, the proposed methodology has the capacity and the necessary adaptability to be implemented in multiple EU countries and, more importantly, to be used for assessment of different economic sectors. The EU MSs benefiting directly from the proposed action are Bulgaria, Romania, Spain and Italy. The methodology will be tested and tailored independently in each of the four countries, substantively coordinated by CSD and operationally performed by the local co-applicants.

3.2. Specific objectives of the action

3.2.1. Specific objectives (expected outcomes) of the action (max 4000 characters)

Define the specific objectives (correlated to the expected outcomes) of the action. For each specific objective, define appropriate indicators for measuring the progress of achievement, including an unit of measurement, baseline value and target value. The outcome is defined as the likely or achieved short-term and medium-term effect of an Action's outputs. Please explain how the outcomes are expected to contribute to the general objective.

The proposed action will design and implement an integrated risk assessment tool for Estimating State Capture and Monitoring of Anticorruption Policies at the Sectoral level (SceMaps). SceMaps is built on the application of three distinct types of qualitative and big data quantitative research and analytical instruments, which combined will provide, for the first time, a detailed analysis of the state capture phenomenon on the level of individual economic sectors. Stepping on past research on the degree of state capture on national level, in Bulgaria, Italy, Romania and Spain, the project will focus on the following NACE economic sectors, already identified as high-risk: wholesale of solid, liquid and gaseous fuels and related products; wholesale of pharmaceutical goods; construction. The action will cover Bulgaria, Italy, Spain and Romania as direct country beneficiaries.

Specific objective 1: Develop and implement an integrated tool for state capture risk assessment on sectoral level, based on cross-sectional data analysis and assessment of the enforceability of anti-corruption measures on the level of individual public institutions. *Indicators:* Mapping report (40 pages in English, printed in 300 copies); 2 internal management (progress) reports (20 pages each, electronic format in English), assessing the process of methodological development and implementation; number of comments/feedback/input provided by the members of the Advisory Board; 3 Policy and Regulatory Capture Reports (70 pages, 400 copies each in English, with translated executive summaries in 4 languages), assessing and comparing the selected 3 economic sectors in 4 EU MSs, and delivering policy recommendations.

The development and implementation of SceMaps will have three specific outcomes:

- (a) Assessment of state capture risks on sectoral level. *Indicators:* 3 MACPI State Capture expert questionnaires (60 responses per sector in each of the 4 EU target countries).
- (b) Evaluation of the enforceability and impact of anti-corruption measures and policies on the level of individual public institutions, relevant to the identified economic sectors. *Indicators:* 8 MACPI Institutions questionnaires (2 relevant institutions per country, responses from experts and public officials).
- (c) Integration of cross-sectional data analysis and development of a publicly accessible web-based platform with interactive analytics and company/institutional profiling. *Indicators:* 1 web-based platform with main navigation functionalities - Companies (Suppliers) (to include only companies above a certain procurement activity threshold /e.g. more than 5 tenders in any of the target 3 industries in the last 5 years/); Contracting Entities/Public Bodies/Institutions (Buyers) (to include only contracting above a certain procurement activity threshold /e.g. more than 5 tenders awarded by the particular buyer in any of the target 3 industries in the last 5 years/); Market Sizing (Number of contract awards, Value of contracts and Level of competitiveness by number of awarded companies per industry/per time unit (year/quarter/month); specially designated media content alert system.

Specific objective 2: Introduce blueprint for best practices implementation and data exchange for civil oversight. *Indicators:* State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level (70 pages, printed in English, 300 copies), presenting a step-by-step approach to methodological development and implementation; civil society training (20 participants).

Specific objective 3: Initiate public-private dialogue on EU level through effective engagement and dissemination. *Indicators:* Newsletters (5 issues, electronic, 2 pages in English); Engagement and dissemination strategy (1 internal document in English, 10 pages); Media Notes (10 issues, 5 pages, electronic, in English); cross-country sectoral investigative journalism article (1, 10 pages, investigating 1 sector in 4 countries); Social media accounts; 5 infographics.

3.2.2. To which specific objective(s) specified in the Call for Proposals does this action refer?

- ☒ to develop data and risk management tools that enable civil oversight in areas with high corruption risks and significant economic and social impact (public procurement, healthcare corruption, interaction between business and politics);
- ☒ to develop tools promoting an integrated approach to measuring progress in preventing, detecting, prosecuting and sanctioning corruption and to assessing impact of corruption and of anti-corruption measures;
- ☐ to develop practical tools that enable the prevention and prosecution of the use of financial and professional services for corruption and for laundering proceeds of complex corruption crimes with a cross-border dimension;
- ☐ to enhance communication, coordination and cooperation between enforcement authorities in Member States with other relevant authorities at national (*inter alia* audit institutions, tax and competition authorities, FIUs, financial institutions) and international level (e.g. Europol);
- ☒ to promote the implementation of best practices and data exchange at EU level.

3.3 Methodology (max 2000 characters)

Outline the approach and methodology underpinning the activities of the action. Explain why they are the most suitable for achieving the action's objectives.

The SceMaps methodology combines expert-based survey methodology and big-data approaches to deliver an innovative integrated risk assessment tool for assessing the mechanisms and prevalence of state capture at the level of economic sectors in the EU (and more specifically in Bulgaria, Italy, Spain and Romania).

Following a comprehensive mapping exercise the project will design, tailor and implement SceMaps, by integrating experts' assessments and company data. The project partners will adapt for the specific sectoral level existing methodologies from the MACPI risk-assessment tools' family for assessment of State Capture ('MACPI State Capture') and assessment and monitoring of anticorruption policies' implementation and enforcement ('MACPI Institutions') – initially developed by CSD and tested on EU-level and region of Southeast Europe. The methodology is based on the preparation of two types of expert surveys, tailored to public officials, working in institutions related to the selected economic sectors, and qualified independent experts. The expert-based data will be combined with risk indicators based on integration of company-level big data. The latter will be complemented by delivery of publicly accessible web-based platform with interactive analytics and company/institutional profiling, and the integration of media content alert, based on data from procurement, company and other publicly accessible registers.

The performed sectoral analyses will be incorporated into three Policy and Regulatory Capture Reports, delivering policy recommendations. The cycle of SceMaps methodological development and implementation will be incorporated into a State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level, used for delivering training for civil society oversight, for NGOs. The project results and outputs will be used to enhance public-private dialogue on EU level through effective outreach and dissemination.

PART 4 – DESCRIPTION OF WORK PACKAGES AND ACTIVITIES

4.1. Description of work packages

Explanatory Notice

In Part 4 describe in detail the activities that you will undertake in order to achieve the objectives you described in Part 3 of this document. This section is divided into work packages, i.e.: sets of activities leading to a specific outcome that you wish to produce.

Any action will have a minimum of two work packages: Work package 1 with the management and coordination activities and Work package 2 with outputs/deliverables related to the objective(s) of the action. As many additional work packages as necessary can be introduced by copying Work package 2. The division should be logical and guided by the different identifiable output of an activity. Under each work package you should then enter an objective (expected outcome), list specific activities that you will undertake and list outputs and deliverables of the work package.

Work package 1

Work package: Management and Coordination of the Action

What is "Work package 1"?

Work package 1 is intended for all activities related to the general management and coordination of the action (meetings, coordination, project monitoring and evaluation, financial management) and all the activities which are cross cutting and therefore difficult to assign just to one specific work package. In such case, instead of splitting them across many work packages please enter and describe them in Work package 1. For this reason it has a different layout where you do not have to enter objectives and duration. Nevertheless this work package will have its own deliverables (e.g. final report, work plan, evaluation report) and outputs (e.g. meetings).

I. Description of the work (activities)

Please present a concise overview of the work in this work package in terms of planned activities. Please be specific, give a short name for each activity and number them (the same activities will have to be reproduced in the section III).

1.1. Advisory Board

An Advisory Board will be established with the specific purpose to review, assess and give feedback during the process of methodological development of the proposed set of tools. The Board will consist of seven external experts with proven track record and long-standing achievements in the fields of corruption assessment and anti-corruption research. The Members of the Advisory Board will participate actively in Work packages 2 and 3, providing feedback and input for the internal management (progress) reports (see Deliverables 2.2, 3.4) and attending project events.

1.2. Consortium Management Committee

The Consortium Management Committee (CMC) will consist of four members (one representative of the Applicant and one representative of each Co-applicant organisation). It will be responsible for providing managerial guidance in the course of the project and for exercising oversight over the implementation of the foreseen activities. The CMC's tasks will include the development and regular update of the project work plan (activity 1.3), distribution (and, where necessary, re-distribution) of tasks among the project team members, review and approval of project outputs, and solution of any substantive, administrative and financial issues that might occur during the implementation of the action. The CMC will have the prime responsibility to mitigate any potential risks that may arise. The CMC will be responsible for the monitoring of the action, as well as for final reporting and evaluation of the project.

1.3 Planning

The operational planning of the project will be incorporated into a work plan document for internal use. The CMC will develop the and approve it during the project's kick-off workshop (activity 1.4). The Work Plan will be adaptive tool to coordinate the overall effort, while ensuring the following of the pre-approved timeline. The Work Plan will be based on the description of the action and organised by work packages, activities and outputs. It will indicate the partner organisations and the project team members responsible for the execution of each task, the

interim (internal) and final deadlines for its completion, and any other relevant information (e.g. notes indicating progress, modifications, etc.). The revisions and updates to the work plan will be done through consultations among the CMC members via e-mail and/or conference calls as well as during the Monitoring and evaluation workshops and other internal meetings.

1.4 Monitoring and evaluation workshops

A total of **four monitoring and evaluation (internal) workshops** will be organised throughout the project cycle. (1) A Kick-Off Workshop will be held in Sofia, in the very beginning of the action, so that the project partners can be briefed on and discuss the Commission's managerial, financial and accounting requirements. The kick-off workshop will also serve to identify and select the members of the Advisory Board. (2 & 3) Two Progress Workshops, organised in Madrid and Bucharest, will be held to discuss methodological issues and implementation will subsequently gather both partners and members of the Advisory Board under Work Packages 2 and 3. If necessary, additional internal meetings for follow up and discussions on the status of the project will be organised online, and/or held back to back with the public events under Work Package 4. (4) A Concluding Workshop for internal coordination will be organised together with the international conference under Work Package 4. It will bring together the members of the CMC who will review and assess the overall implementation of the project, discuss the reporting procedure, and outline follow-up activities for achieving better sustainability of the project results.

The discussions and decisions from each workshop will be summarised in written minutes, which will be approved by the CMC and sent to all project partners.

1.5 Reporting of the action

Coordinated by the Applicant and the CMC, all partner organisations will be responsible for the timely reporting of the project activities, in line with the Commission's requirements.

II. Expected outputs (incl. deliverables)

Outputs are the products, capital goods and services which result from an Action's activities.

Deliverables are outputs which can be delivered to the Commission printed on paper or in a digital format.

Limit the number of outputs and deliverables, do not include minor sub-items or internal working papers.

Examples of outputs (excl. deliverables) and deliverables for work package 1:

- **Outputs** (excl. deliverables) – kick-off meetings, coordination meetings, steering committees
- **Deliverables** – Mid-term progress report in case of project duration ≥24 months, any other report; minutes, agreements.

II.a. Expected output(s) (excl. deliverables) of this work package

Output No.	Output (a)	Explanation (b)
1.1	Advisory Board	Established to review, assess and give feedback during the project implementation; 7 members; Participation of some members in First and Second Progress Workshops, Stakeholder roundtable and International Policy Conference.
1.2	Consortium Management Committee	Established to coordinate, manage and oversee the project; 4 members (1 for each organisation in the consortium).
1.3	Monitoring and evaluation workshops	Events (four internal workshops, total of 50 participants), 2 representatives of the coordinator and two representatives of each partner; representatives of the Advisory Board and the CMC, where applicable.

Please list outputs produced under this work package:

(a) be specific as to the scope and level of ambition, therefore use a quantitative description where applicable, (e.g. X meetings organised with X participants each)

(b) please add here additional information which would help the evaluator to understand the characteristics/scope/level of ambition of the output(s).

II.b. Expected deliverable(s) of this work package

Deliverable	Deliverable name/type (a)	Format (b)	Language (c)	Months of
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No.				implementation (d)
1.1	Work Plan	Electronic, up to 10 pages	English	1
1.2	Monitoring and evaluation workshops minutes (four documents)	Electronic, up to 5 pages	English	2, 7, 17, 22

Please list the deliverables produced under this work package.

(a) the type/name of deliverable should be self-explanatory

(b) the format could be: printed and/or electronic (downloadable), the approx. number of pages

(c) please specify each language in which the deliverable will be available

(d) specify the month in which the deliverables will be actually completed. Month 1 marks the start of the action, and all deadlines should be relative to this starting date.

III. Distribution of activities to each Applicant/Co-applicant in this work package

- ✓ Establish a clear list of the activities described above indicating which activity is performed by which Applicant/Co-applicant.

Activity No.	Name of the activity	Applicant/Co-applicant	Effort in person month
1.1	Advisory Board	Applicant and Co-applicants	0,3 Months
1.2	Consortium management committee	Applicant and Co-applicants	0,9 Months
1.3	Planning	Applicant and Co-applicants	2,8 Months
1.4	Monitoring and evaluation workshops	Applicant and Co-applicants	3,5 Months
1.5	Reporting of the action	Applicant and Co-applicants	2,1 Months

IV. Sub-contracting

Indicate which activities will be sub-contracted and explain the reasons for sub-contracting (as opposed to the direct implementation by the applicant / co-applicant) (if any). Purchase of goods or services necessary for the implementation of activities by the applicant / co-applicant should not be considered sub-contracting. In principle, the applicant and co-applicant should have the capacity to carry out the activities of the action. Nevertheless, in some cases sub-contracting of the implementation of certain activities might be justified. The core action management functions cannot be sub-contracted under any circumstances.

Not Applicable

➤ Work package 2

Work package: Developing an integrated risk assessment tool for estimating state capture and monitoring of anti-corruption policies at the sectoral level (SceMaps)

Duration in months: 13

Name of the Applicant/Co-applicant leading this work package (if applicable): CSD

I. Objective(s) of this work package (expected outcome)

Development of an integrated tool to estimate and provide diagnostics of state capture at the level of economic sectors and assess and monitor the anticorruption policies and policy tools related to the sector as well as those anticorruption policies which are implemented in the public organization relevant to the sector. The methodology is based upon tested, on EU-level, methodologies: MACPI State Capture developed for assessing the degree of state capture on national level (piloted in Italy, Bulgaria, Spain and Romania); and MACPI Institutions, evaluating the effectiveness and enforceability of anti-corruption measures at the level of individual public bodies (piloted in multiple institutions in Bulgaria and Italy).

The action builds on this experience by adapting the abovementioned tools to quantitative assessment of the prevalence and spread of capture processes in particular high-risk economic sectors, exhibiting high monopolization and ineffective regulations, public procurement concentration, and lobbyist laws. This will be achieved by:

- adapting the MACPI State Capture experts' survey for sectoral level and integrating the results with the information obtained through the other instruments of the integrated tool;
- assessing the anticorruption setup of key institutions in the sectors with MACPI Institutions and integrating the results with the information obtained through the other instruments of the integrated tool;
- integrating cross-sectional data analysis and development of a publicly accessible web-based platform with interactive analytics and company/institutional profiling.

II. Description of the work (activities)

Please present a concise overview of the work in this work package in terms of planned activities to achieve the objectives of this work package. Please be specific, give a short name for each activity and number them (the same activities will have to be reproduced in the section IV).

2.1. Mapping analysis

The project partners will initially map the policy, market, institutional, technical and data availability aspects, related to the three pre-selected NACE economic sectors: Wholesale of solid, liquid and gaseous fuels and related products; Wholesale of pharmaceutical goods; Construction. The three sectors are identified as high-risk during a previously performed national assessment of the risk of state capture in Bulgaria, Italy, Spain and Romania.

More specifically, the activity will produce a Mapping report based on desk research, analysing the following main aspects in the target 4 EU MSs: country-specific information about the three diagnosed sectors including public organizations playing important role in regulating or/and controlling the sectors in the particular countries, anti-corruption and anti-trust laws and policies relevant to the three sectors, European public registers as well as local registers with data relevant to the assessment of the sectors and the development of risk indicators.

2.2. Developing an integrated risk assessment tool for state capture estimation and monitoring of anti-corruption policies at the sectoral level (SceMaps).

The project partners will adapt for the specific sectoral level the existing methodologies from the MACPI family (developed through previous DG Home grants) for assessment of state capture and assessment and monitoring of anticorruption policies' implementation and enforcement. The methodology is based on the preparation of two types of expert surveys, tailored to public officials, working in institutions related to the selected economic sectors, and qualified independent experts. Separate expert questionnaires (a total of 3) will be developed for each of the three sectors, targeting a minimum of 60 respondents per sector in each country. The questionnaires will be translated into national languages and will be adapted for the particular country, including the relevant list of public organisations for their sectors which typically varies slightly from country to country. Alternatively, the

questionnaire could be developed in such a way so as to assess government functions relevant to the three sectors instead of particular public organizations. This approach would allow for comparisons between the four countries and the development of quantitative indicators for each of the sectors. Besides assessment of the government control and regulation related to the sector, the questionnaire will include sector-specific questions and questions related to assessment of anti-corruption and anti-trust laws and policies in the sector.

In addition, separate MACPI Institutions questionnaires will be developed for 2 key public organizations for the three sectors for each of the countries (a total of 8 questionnaires). These questionnaires will assess the anticorruption setup in relevant public organizations critical for one or more of the assessed sectors. One questionnaire per institution will be developed based on the available description of the anti-corruption setup, policies in place, measures, etc. The particular institutions will be selected based on the results from the MACPI State Capture survey.

2.3. Integration of cross-sectional data analysis and development of a publicly accessible web-based platform with interactive analytics and company/institutional profiling

SceMaps will be reinforced by the integration of big data analysis, market concentration and identification of red flags, based on data from procurement (mainly through the use of the TED database) and from media content red analysis. As a final outcome, the project partners will deliver a web-based publicly accessible interactive platform which will display both company (supplier) and institutional (buyer) rankings as per concentration of number and value of contracts, recent procurement activity red flags, media suspicious activity report alerts, in addition to specifically identified institutional profiling (performed by MACPI Institutions instrument). At the stage of development a prototype of the platform will be initially launched and tested.

The platform will work with contract award data from the period between 2010 and the end date of the project action and will focus on companies and institutions in the three targeted economic sectors in the 4 project countries. The platform will be interactive in the sense that it will display all relevant data encompassing the mentioned period with up-to-date analysis as per the end date of the project. It can then be dynamised, and regularly updated based on commercial subscription interest. It will offer interactive analytics and profiling of companies. Following its piloting in the four project countries it can then ideally be extended to cover all 28 countries with real-time coverage. For each ranked company and institution, the platform will feature interactive procurement activity related buyer/supplier profile, full project history, and media profile. All rankings (company and institution lists), project history (tender lists), relevant media history (articles lists) and individual company and institutional reports will be exportable in variety of formats (.csv, txt, PDF where relevant /for profiles/).

2.4 Monitoring and evaluation of methodology development

The project partners will ensure the quality of the methodological process through the preparation of an internal management (progress) reports (D2.3 and D3.4). The first report will contain draft of the methodology and pilot results from the integration of the big data tools. The Reports will be subject to discussions and feedback, provided by the Advisory Board. In parallel the consortium will be drafting of a comprehensive State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level (see activity 3.1)

2.5 Stakeholders round table

The round table will provide the opportunity for stakeholders to discuss and give additional feedback to the proposed integrated tool. The round table will be organised in Brussels, so as to gather representatives with interest and knowledge of the four participating countries, experts working in EU institutions, directly involved in the 3 sectors, and members of the Advisory Board. The project partners will discuss the status of the work and the internal management (progress) report, containing draft of the methodology and pilot results from the integration of the big data tools. The event is planned to host 25 participants and will be used for testing and streamlining the action's approach.

III. Expected outputs (incl. deliverables)

Outputs are the products, capital goods and services which result from an Action's activities.
Deliverables are outputs which can be delivered to the Commission printed on paper or in a digital format.
Limit the number of outputs and deliverables, do not include minor sub-items or internal working papers.
Examples of outputs (excl. deliverables) and deliverables for work package 0:

- **Outputs** (excl. deliverables) – kick-off meetings, coordination meetings, steering committees
- **Deliverables** – report, minutes, agreements

III.a. Expected output(s) (excl. deliverables) of this work package

Output No.	Output (a)	Explanation (b)
2.1	Stakeholders round table	Relevant stakeholders will discuss and provide additional feedback to the proposed integrated tool for assessing state capture on sectoral level.

Please list outputs produced under this work package:

(a) be specific as to the scope and level of ambition, therefore use a quantitative description where applicable (e.g. X regional seminars organised with X participants each, X hours of training (who was trained, where))

(b) please add here additional information which would help the evaluator to understand the characteristics/scope/level of ambition of the output(s)

III.b. Expected deliverable(s) of this work package

Deliverable No.	Deliverable name/type (a)	Format (b)	Language (c)	Beneficiaries (d)	Months of implementation (e)
2.1	Mapping Report	Printed, 40 pages, 300 copies	English	Civil society, businesses, business associations, academics, public institutions, policy makers	6
2.2	Progress report on the draft methodology and pilot results from the integration of the big data tools	Electronic 20 pages	English	Project partners	12
2.3	MACPI SC questionnaires	Electronic, 10 pages, 3 sectoral survey (to be tailored for each country)	Bulgarian, Spanish, Italian, Romanian, English	Project partners, public administrations, civil society	7
2.4	MACPI Institutions questionnaires	Electronic, 10 pages, Electronic, 10 pages, 8 institutional surveys (to be tailored for each institution)	Bulgarian, Spanish, Italian, Romanian, English	Project partners, public administrations, civil society	11
2.5	Publicly accessible web-based platform with interactive analytics and company/institutional profiling (PROTOTYPE/TEST)	Electronic	English	Project partners, public administrations, civil society	12
2.6	State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level (DRAFT)	Electronic, 30 pages	English	Project partners, public administrations, civil society	12

Please list the deliverables produced under this work package.

- (a) the type/name of deliverable should be self-explanatory and could be: a publication (flyer / brochure / working paper / article / press release / slides / CD), website / web-tool, etc.
- (b) indicate the format (printed / electronic), the approximate number of pages and copies of a publication
- (c) specify each language in which the deliverable will be available
- (d) indicate the specific short / medium / long term beneficiaries for each deliverable
- (e) specify the month in which the deliverables will be actually completed. Month 1 marks the start of the action, and all deadlines should be relative to this starting date.

IV. Distribution of activities to each Applicant/Co-applicant in this work package

Establish a clear list of the activities described above indicating which activity is performed by which Applicant/Co-applicant.

Activity No.	Name of the activity	Applicant/Co-applicant	Effort in person months
2.1	Mapping analysis	Applicant and Co-Applicants	5 Months
2.2	Developing an integrated risk assessment tool for state capture estimation and monitoring of anti-corruption policies at the sectoral level (SceMaps)	Applicant and Co-applicants	6,2 Months
2.3	Integration of cross-sectional data analysis and development of a publicly accessible web-based platform with interactive analytics and company/institutional profiling	Applicant	11,5 Months
2.4	Monitoring and evaluation of methodology development	Applicant and Co-Applicants	2 Months
2.5	Stakeholders round table	Applicant and Co-Applicants	1 Month

V. Travels

If the costs for travel and substance (B.1+B.2) as presented in Part A, point 3 *Budget* of the application exceed 15% of the total costs, you should provide detailed information on the nature and objectives of each trip, its relevance to the project, location (EU/non-EU), number of participants.

Trip No.	Objective, nature and relevance to the project	Applicant/Co-applicant;	Number of participants;	Location (EU/non-EU)	Days/DSAs
T.2.1					

VI. Sub-contracting

Indicate which activities will be sub-contracted and explain the reasons for sub-contracting (as opposed to the direct implementation by the applicant / co-applicant) (if any). Purchase of goods or services necessary for the implementation of activities by the applicant / co-applicant should not be considered sub-contracting. In principle, the applicant and co-applicant should have the capacity to carry out the activities of the action. Nevertheless, in some cases sub-contracting of the implementation of certain activities might be justified.

VII. Equipment

Describe and list the equipment¹ to be purchased under this WP.

One business class Notebook, with technical performance parameters for big data analysis.

¹ Under this action the full cost of purchase of equipment is not eligible. The costs for the equipment envisaged to be purchased shall only cover the project duration depreciation costs. The latter shall be written off in accordance with international accounting standards and the beneficiary's usual accounting practices. See also the provisions of Article 6.2 E.1 of the Model Grant Agreement.

► Work package 3

Work package: SceMaps pilot implementation	
Duration in months: 10	Name of the Applicant/Co-applicant leading this work package (if applicable): CSD
I. Objective(s) of this work package (expected outcome)	
Work package 3 materialises the methodological efforts and pilots the newly developed integrated tool for assessing state capture on sectoral level in Bulgaria, Italy, Spain and Romania.	
II. Description of the work (activities)	
Please present a concise overview of the work in this work package in terms of planned activities to achieve the objectives of this work package. Please be specific, give a short name for each activity and number them (the same activities will have to be reproduced in the section IV).	
<p>3.1. SceMaps pilot implementation</p> <p>The actual implementation of the proposed methodology requires fieldwork and analytical efforts by the project partners. They will gather and analyse qualitative data from the expert surveys, while simultaneously performing analysis on the integration of objective, quantitative data from multiple sources. This process will result in the development and finalisation of two types of products;</p> <p>a) Three cross-country Policy and Regulatory Capture Reports, assessing and comparing the selected 3 economic sectors in the 4 EU MSs, and delivering policy recommendations.</p> <p>b) State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level. The document will follow the cycle of methodological development and implementation and will provide specific guidance on adaptability to and replication in different EU countries and economic sectors. Demonstration and tutorial of the blueprint on state capture assessment on the level of individual economic sectors will held for civil society organisations (see Activity 4.2). Potentially, the Toolkit can be also used to train public administrations in adapting and implementing SceMaps.</p> <p>c) Full-scale launch of the publicly accessible web-based platform with interactive analytics and company/institutional profiling</p> <p>The project partners will deliver a web-based publicly accessible interactive platform which will display both company (supplier) and institutional (buyer) rankings as per concentration of number and value of contracts, recent procurement activity red flags, media suspicious activity report alert, in addition to specifically identified institutional profiling (performed by MACPI Institutions instrument).</p> <p>Preliminary end-user functionalities will include:</p> <ul style="list-style-type: none"> • <i>Main Navigation Option 1 Toolbar A:</i> Company ranking as per overall contract awards concentration filters (Total tender count; Value of contracts acquired; Average number of tenders per year; Average tender size; Number of tenders for last 12 months; Monetary value acquired for last 12 months) • <i>Main Navigation Option 1 Toolbar B:</i> Company ranking as per recent activity alerts/red flags (Recent and suspicious activity alerts will be integrated providing users with the option to filter companies per sector/country/location based on their recent (last 12 months success compared to the previous overall performance). • <i>Main Navigation Option 1 Toolbar C:</i> Company ranking as per suspicious activity mentioning in media - A specially designated media content red flags alert system based on pre-selected keywords related to corruption in procurement and/or political activity connections of companies and/or institutional key staff changes, etc. to be designed in order to flag companies. Project partners will monitor a pre-selected list of national and regional media outlets with online presence in the 4 targeted countries. Ranking will again include only companies with above 5 tenders in the targeted sectors in the last 5 years to be ranked as per the media mentions red flags systems. • <i>Main Navigation Option 2 Toolbar A:</i> Institutional ranking as per overall contract awards concentration filters. 	

- *Main Navigation Option 2 Toolbar B*: Institutional ranking as per recent activity alerts/red flags.
- *Main Navigation Option 2 Toolbar C* (as per media analysis): Institutional ranking as per suspicious activity mentioning in media.
- *Main Navigation Option 2 Toolbar D*: Institutions highlighted by the MACPI Institutions analysis with specific project history, interactive institutional profiles, and media profiles.
- *Four Main Navigation Option 3 Toolbar Options* - Market Sizing (per industry/per country/per time unit/ per political elections cycle): Number of contract awards; Value of contracts; Level of competitiveness by number of awarded companies; and Level of competitiveness by number of awarded companies.

Ranking, based on government terms (political election cycle) will also be included. API access for any-third party machine access will additionally be allowed, so the public, media, market researchers and civil society organisations could access the raw data and run additional analytics.

3.2 Monitoring and evaluation of SceMaps implementation status

The project partners will ensure the quality of the integrated tool's implementation through the preparation of the internal management (progress) report, to be presented during the 'Second Progress Workshop' in Bucharest (month 17, see activity 1.4). This last of the internal management (progress) reports will be subject to discussions and feedback, provided by the Advisory Board, adding additional value to the development and finalisation of the action's main outputs.

III. Expected outputs (incl. deliverables)

Outputs are the products, capital goods and services which result from an Action's activities.

Deliverables are outputs which can be delivered to the Commission printed on paper or in a digital format.

Limit the number of outputs and deliverables, do not include minor sub-items or internal working papers.

Examples of outputs (excl. deliverables) and deliverables for work package 0:

- **Outputs** (excl. deliverables) – kick-off meetings, coordination meetings, steering committees
- **Deliverables** – report, minutes, agreements

III.a. Expected output(s) (excl. deliverables) of this work package

Output No.	Output (a)	Explanation (b)
3.1		
3.2		
...		

Please list outputs produced under this work package:

(a) be specific as to the scope and level of ambition, therefore use a quantitative description where applicable (e.g. X regional seminars organised with X participants each, X hours of training (who was trained, where))

(b) please add here additional information which would help the evaluator to understand the characteristics/scope/level of ambition of the output(s)

III.b. Expected deliverable(s) of this work package

Deliverable No.	Deliverable name/type (a)	Format (b)	Language (c)	Beneficiaries (d)	Months of implementation (e)
3.1	3 Policy and Regulatory Capture Reports	Printed and electronic, 70 pages each, 400 copies each in English, with translated executive summaries in 4 languages.	English, Bulgarian, Spanish, Romanian, Italian	Civil society, businesses, business associations, academics, public institutions, policy makers, media	21
3.2	State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level	Printed and electronic, 70 pages, 300 copies	English	Civil society, business associations, academics, public	20

3.3	Publicly accessible web-based platform with interactive analytics and company/institutional profiling	Electronic	English	institutions, policy makers Civil society, businesses, business associations, academics, public institutions, policy makers, media, general public, researchers	19
3.4	Progress report on the draft integrated tool`s implementation	Electronic, 20 pages	English	Project partners	17

Please list the deliverables produced under this work package.

- (a) the type/name of deliverable should be self-explanatory and could be: a publication (flyer / brochure / working paper / article / press release / slides / CD), website / web-tool, etc.
 (b) indicate the format (printed / electronic), the approximate number of pages and copies of a publication
 (c) specify each language in which the deliverable will be available
 (d) indicate the specific short / medium / long term beneficiaries for each deliverable
 (e) specify the month in which the deliverables will be actually completed. Month 1 marks the start of the action, and all deadlines should be relative to this starting date.

IV. Distribution of activities to each Applicant/Co-applicant in this work package

Establish a clear list of the activities described above indicating which activity is performed by which Applicant/Co-applicant.

Activity No.	Name of the activity	Applicant/Co-applicant	Effort in person months
3.1	SceMaps pilot implementation	Applicant and Co-applicants	19,1 Months
3.2	Monitoring and evaluation of SceMaps implementation status	Applicant and Co-applicants	2,7 Months

V. Travels

If the costs for travel and substance (B.1+B.2) as presented in Part A, point 3 *Budget* of the application exceed 15% of the total costs, you should provide detailed information on the nature and objectives of each trip, its relevance to the project, location (EU/non-EU), number of participants.

Trip No.	Objective, nature and relevance to the project	Applicant/Co-applicant;	Number of participants;	Location (EU/non-EU)	Days/DSAs
T.3.1					

VI. Sub-contracting

Indicate which activities will be sub-contracted and explain the reasons for sub-contracting (as opposed to the direct implementation by the applicant / co-applicant) (if any). Purchase of goods or services necessary for the implementation of activities by the applicant / co-applicant should not be considered sub-contracting. In principle, the applicant and co-applicant should have the capacity to carry out the activities of the action. Nevertheless, in some cases sub-contracting of the implementation of certain activities might be justified.

Not applicable

VII. Equipment

Describe and list the equipment² to be purchased under this WP.

Not applicable

² Under this action the full cost of purchase of equipment is not eligible. The costs for the equipment envisaged to be purchased shall only cover the project duration depreciation costs. The latter shall be written off in accordance with international accounting standards and the beneficiary's usual accounting practices. See also the provisions of Article 6.2 E.1 of the Model Grant Agreement.

► Work package 4

Work package: Engagement and dissemination of best practices

Duration in months: 22

Name of the Applicant/Co-applicant leading this work package (if applicable): CIVIO

I. Objective(s) of this work package (expected outcome)

The impact and added value of the project will be expanded through its electronic and offline engagement and dissemination activities. Work package 4 will be implemented throughout the entire life cycle of the action. The proposed set of engagement and dissemination activities will (a) raise awareness of the state capture phenomenon on EU-level and its impact in specific industries; (b) provide, as a best practice, and make available for civil society organisations an innovative tool, adaptive and fully customisable across countries and industries; (c) engage online and offline communities, with interest in specific economic sectors, to more openly discuss the existing governance challenges; (d) further highlight the threat and implications of state capture on EU level.

II. Description of the work (activities)

Please present a concise overview of the work in this work package in terms of planned activities to achieve the objectives of this work package. Please be specific, give a short name for each activity and number them (the same activities will have to be reproduced in the section IV).

4.1. International policy conference

The project partners will present the action's main analytical and methodological products (3 Policy and Regulatory Capture Reports and the State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level (SceMaps Integrated Toolkit) (see activity 3.1) during a high level international policy conference, in Brussels. The target audience is policy, business and civil society stakeholders, and media. The forum will reflect the project's key messages, conclusions, recommendations and will help set future efforts in the field of complex corruption practices. The conference will be attended by 60 participants.

4.2 Demonstrations and tutorials for civil society oversight – training for assessing state capture on sectoral level

The day following the international policy conference, the consortium members will organise a civil society training (20 participants) on best practices for state capture assessment and anti-corruption policy evaluation on sectoral level. The core of the training will be a detailed review of the already piloted methodology, its conclusions, as well as specific guidelines to replication across multiple EU countries and economic sectors, based on the 'State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level' publication. The design of the integrated tool is specifically thought to be fully customizable to other EU MS. Being effectively country-neutral, the proposed methodology has the capacity and the necessary adaptability to be implemented in multiple EU countries and, more importantly, to be used for assessment of different economic sectors. Thus, it is potentially a great asset for every civil society organisation in pursuit of impact and effective oversight of public economic and institutional governance and performance.

4.3 Creating tailored content for awareness raising on multiple levels

The action will raise awareness of the risk of state capture on multiple levels by creating specific content, tailored for the different types of beneficiaries, target groups and more general stakeholders of the action. Apart from general promotion and dissemination activities, by adapting content from the overall project research and analysis, the partners will produce 8 Media Notes, timed according to current affairs dynamics.

CSD will publish one academic article (with the intention of being published in a referenced journal), with a focus on the developed SceMaps methodology. The academic article will be based on the research, analyses and results of the action.

In addition, CIVIO will be responsible for delivering a cross-country sectoral investigative journalist article, focused on one of the three high risks sectors, targeted by the project, covering the 4 EU MSs. The process for developing the 10-page article will include journalistic investigation, getting letters of intent from media partners, drafting and designing and interface of the article, parsing, cleaning and structuring of data for extracting valuable and notable insights from the data sets, etc.

4.4 Interactive social media engagement

Social media platforms (including Facebook and Twitter accounts) will be used regularly not only to disseminate achievements, created by associated activities, but also to create and disseminate new content. Five infographics will be created to enhance engagement of relevant beneficiaries, target groups and stakeholders.

4.5. Internet publicity and engagement of partner networks

Due to the lengthy process of creating separate webpage and generating the necessary traffic on it, the consortium will instead make use of the webpages of its individual partners, as well as of partner networks across Europe. Experience shows that this approach, combined with strong social media presence is more effective. In addition, to keep the stakeholders regularly updated on the progress of the project a Newsletter (total of 5 newsletter issues) will be compiled and distributed following the (a) realisation of major outputs or deliverables; (b) important developments in the 3 economic sectors in Italy, Spain, Bulgaria and Romania; (c) other relevant content, part of the action's engagement and dissemination strategy.

4.6 Creating visual identity

The project partners will design and implement a package of project materials to increase visibility and engagement of all relevant stakeholder and beneficiaries' groups. More specifically, the activity will include, among others, designing a project logo, used for the web-based platform, events, presentation templates, online communication and all project outputs and deliverables. Project banner and additional materials, if necessary, will be made available. This approach will help for familiarising all relevant stakeholders, target groups and beneficiaries with the action.

4.7 Engagement and dissemination strategy

All engagement and dissemination activities will be based on an Engagement and dissemination strategy. The Strategy is an internal document, adopted by the CMC, agreed upon and distributed among the project partners. It outlines the type of dissemination and engagement activities to be carried out during the project.

In addition, all relevant stakeholders, approach, identified and/or researched during the project will be gathered into an internal database of stakeholder contacts. It will be constantly updated by adding new contacts established in the course of the project activities. The database's profile will include public officials, policy makers, civil society and business representatives, academics, independent experts, etc. (150+ contacts).

III. Expected outputs (incl. deliverables)

Outputs are the products, capital goods and services which result from an Action's activities.

Deliverables are outputs which can be delivered to the Commission printed on paper or in a digital format.

Limit the number of outputs and deliverables, do not include minor sub-items or internal working papers.

Examples of outputs (excl. deliverables) and deliverables for work package 0:

- **Outputs** (excl. deliverables) – kick-off meetings, coordination meetings, steering committees
- **Deliverables** – report, minutes, agreements

III.a. Expected output(s) (excl. deliverables) of this work package

Output No.	Output (a)	Explanation (b)
4.1	International policy conference	Presentation of the action's main analytical and methodological products (3 Policy and Regulatory Capture Reports and the State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level (60 participants).
4.2	Demonstrations and tutorials for civil society oversight – training for assessing state capture on sectoral level	Civil society training (20 participants) on best practices for state capture assessment and anti-corruption policy evaluation on sectoral level.
4.3.	Internal database of stakeholder contacts	Relevant stakeholders will be gathered into an internal database of stakeholder contacts. The database will include public officials, policy makers, civil society and business representatives, academics, independent experts, etc. (150+ contacts).

Please list outputs produced under this work package:

(a) be specific as to the scope and level of ambition, therefore use a quantitative description where applicable (e.g. X regional seminars organised with X participants each, X hours of training (who was trained, where))

(b) please add here additional information which would help the evaluator to understand the characteristics/scope/level of ambition of the output(s)

III.b. Expected deliverable(s) of this work package

Deliverable No.	Deliverable name/type (a)	Format (b)	Language (c)	Beneficiaries (d)	Months of implementation (e)
4.1	Newsletter	Electronic, 2 pages, 5 issues	Bulgarian, Romania, Italian, Spanish, English	General public, online and offline business communities, civil society, media	Month 10, 13 16, 18, 22
4.2	Engagement and dissemination strategy	Electronic, 5 pages	English	Project partners	Month 2
4.3	Media Notes	Electronic, 5 pages, 8 issues	Bulgarian, Spanish, Italian, Romanian, English	General public, online and offline business communities, civil society, policy makers, media	Month 7, 13, 18, 20, 22
4.4	Investigative journalism article	Electronic, 2 pages, 1 issue	English	General public, online and offline business communities, policy makers, civil society, media Academics, researchers	Month 20
4.5	Academic publication	Electronic, 20 pages, 1 article	English	General public, online and offline business communities, civil society, media	Month 21
4.6	Social media accounts	Electronic	Bulgarian, Spanish, Italian, Romanian, English	General public, online and offline business communities, policy makers, civil society, media Academics, researchers	Month 2
4.7	Infographics	Electronic, 5	English	General public, online and offline business communities, policy makers, civil society,	Month 6, 11, 14, 19, 21

4.8	Project materials (logo, banners, presentation templates, design)	Electronic and printed	English	media Academics, researchers General public, online and offline business communities, policy makers, civil society, media, researchers	Month 5
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Please list the deliverables produced under this work package.

- (a) the type/name of deliverable should be self-explanatory and could be: a publication (flyer / brochure / working paper / article / press release / slides / CD), website / web-tool, etc.
 (b) indicate the format (printed / electronic), the approximate number of pages and copies of a publication
 (c) specify each language in which the deliverable will be available
 (d) indicate the specific short / medium / long term beneficiaries for each deliverable
 (e) specify the month in which the deliverables will be actually completed. Month 1 marks the start of the action, and all deadlines should be relative to this starting date.

IV. Distribution of activities to each Applicant/Co-applicant in this work package

Establish a clear list of the activities described above indicating which activity is performed by which Applicant/Co-applicant.

Activity No.	Name of the activity	Applicant/Co-applicant	Effort in person months
4.1	International policy conference	Applicant and Co-applicants	1,3 Months
4.2	Demonstrations and tutorials for civil society oversight – best practices for assessing state capture on sectoral level	Applicant and Co-applicants	1,3 Months
4.3	Creating tailored content for awareness raising on multiple levels	Applicant and Co-applicants	2,7 Months
4.4	Interactive social media engagement	CIVIO	1,3 Months
4.5	Internet publicity and engagement of partner networks	Applicant and Co-applicants	1,5 Months
4.6	Creating visual identity	CIVIO	1,4 Months
4.7	Engagement and dissemination strategy	Applicant and Co-applicants	0,3 Months

V. Travels

If the costs for travel and substance (B.1+B.2) as presented in Part A, point 3 *Budget* of the application exceed 15% of the total costs, you should provide detailed information on the nature and objectives of each trip, its relevance to the project, location (EU/non-EU), number of participants.

Trip No.	Objective, nature and relevance to the project	Applicant/Co-applicant;	Number of participants;	Location (EU/non-EU)	Days/DSAs
T.4.1					

VI. Sub-contracting

Indicate which activities will be sub-contracted and explain the reasons for sub-contracting (as opposed to the direct implementation by the applicant / co-applicant) (if any). Purchase of goods or services necessary for the implementation of activities by the applicant / co-applicant should not be considered sub-contracting. In principle, the applicant and co-applicant should have the capacity to carry out the activities of the action. Nevertheless, in some cases sub-contracting of the implementation of certain activities might be justified.

Not applicable.

VII. Equipment

Describe and list the equipment³ to be purchased under this WP.

Not applicable.

³ Under this action the full cost of purchase of equipment is not eligible. The costs for the equipment envisaged to be purchased shall only cover the project duration depreciation costs. The latter shall be written off in accordance with international accounting standards and the beneficiary's usual accounting practices. See also the provisions of Article 6.2 E.1 of the Model Grant Agreement.

4.2. Implementation timetable

Action Title: State Capture Estimation and Monitoring of Anticorruption Policies at the Sectoral level (SceMaps)

	MONTHS																					
Number and name of the activity	M 1	M 2	M 3	M 4	M 5	M 6	M 7	M 8	M 9	M 10	M 11	M 12	M 13	M 14	M 15	M 16	M 17	M 18	M 19	M 20	M 21	M 22
Activity 1.1 - Advisory Board																						
Activity 1.2 - Consortium management committee																						
Activity 1.3 – Planning	ID																					
Activity 1.4 – Monitoring and evaluation workshops		IE, ID					IE, ID										IE, ID					IE, ID
Activity 1.5 - Reporting of the action																						R
Activity 2.1 - Mapping analysis						MD																
Activity 2.2 - Developing an integrated risk assessment tool for state capture estimation and monitoring of anti-corruption policies at the							ID				ID	DR										

sectoral level (SceMaps)																				
Activity 2.3 - Integration of cross-sectional data analysis and development of a publicly accessible web-based platform with interactive analytics and company/institutional profiling												DR								
Activity 2.4 - Monitoring and evaluation of methodology development												PR								
Activity 2.5 - Stakeholders round table												MO								
Activity 3.1 - SceMaps pilot																	MD	MD	MD	
Activity 3.2 - Monitoring and evaluation of SceMaps implementation status																PR				
Activity 4.1 - International policy conference																				MO
Activity 4.2 - Demonstrations and tutorials for civil society oversight – training for assessing state capture																				MO

on sectoral level																						
Activity 4.3 - Creating tailored content for awareness raising on multiple levels							ME						ME					ME		JA, ME	JA	ME
Activity 4.4 - Interactive social media engagement		ME				ME					ME			ME					ME		ME	
Activity 4.5 - Internet publicity and engagement of partner networks										ME			ME			ME		ME				ME
Activity 4.6 - Creating visual identity					ME																	
Activity 4.7 - Engagement and dissemination strategy	ME	ID																				

Legend:

DR – Drafts/prototype of main deliverables

ID – Internal documents (e.g. Work Plan, Engagement and dissemination strategy, workshop minutes etc., expert questionnaires)

IE – Internal events (e.g. Monitoring and evaluation workshops)

JA – Investigative journalist and academic articles

MD – Major deliverable (Policy and Regulatory Capture Reports, State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level, Mapping Report, web-based platform, etc.)

ME – Major engagement/dissemination products/activities (e.g. Media Notes, infographics, Newsletters, etc.)

MO – Major output (Public events, Training for civil society oversight)

PR – Progress reports

R – Reporting

4.3. Types of eligible activities, complementarity and ethics

4.3.1. Which type(s) of eligible activity specified in the Call for Proposals will the action involve?

- ☒ projects promoting networking, public-private partnerships, implementation of best practices and innovative approaches at Union level, training and exchange programmes;
- ☒ projects supporting the development of methodological, notably statistical, tools and methods and common indicators;
- ☐ the acquisition, maintenance and/or further upgrading of technical equipment, expertise, as well as ICT systems and their components at the Union level;
- ☒ innovative projects developing new methods and/or deploying new technologies with a potential for transferability to other Member States, especially projects aiming at testing and validating the outcome of Union funded security research projects;
- ☒ studies.

4.3.2. Complementarity and synergies with other actions (*max 4000 characters*)

Indicate actions with similar objectives funded from other sources (in particular all similar actions funded from the budget of the EU) which might overlap with the activities of the action (if applicable) and explain what measures will be taken to avoid overlaps and ensure synergies.

The proposed action builds upon a comprehensive foundation of research in the areas of corruption; monitoring, evaluation and assessment of the enforceability and effectiveness of anti-corruption policies on institutional level; corruption risks and vulnerabilities in the public procurement sector; provision of best practices for corruption monitoring and assessment in the EU and Southeast Europe. State capture is a complex and systematic corruption phenomenon. The ability to study it in more detail and to produce specific integrated tool for its assessment thus requires a long-term commitment and experience in multiple subject areas. One of the major added values of the proposed action is the fact that it is a continuation and more importantly, culmination, of multiple efforts, both on part of the Applicant and all of the individual partners, with experience in successful mutual collaborations. The main synergy element will be the expanding of the (1) quantitative measurement of the levels of administrative corruption and State Capture (a particularly prevalent type of high-level corruption which is deeply hidden behind the façade of democracy and market economy) and (2) quantitative assessment and monitoring of the implementation, enforcement and effectiveness of anti-corruption policies at the level of institutions

In 2016, in attempt for higher analytical precision in the definition of state capture, which enables the construction of measures that would help evaluate, assess and eventually quantify the phenomenon, CSD published the [Working Paper: State Capture Diagnostics Roadmap](#). The publication was a cornerstone for the pilot implementation, in cooperation with CIVIO, of state capture assessment (MACPI State Capture) on national level, carried out in 5 EU MSs (Bulgaria, Italy, Romania, Spain and the Czech Republic). The pilot national-level implementation of MACPI SC revealed critical risks in three specific economic sectors, to be assessed in the proposed action: Wholesale of solid, liquid and gaseous fuels and related products; Wholesale of pharmaceutical goods; Construction.

Departing from this insight, the proposed action will further substantiate the analytical efforts by developing an integrated tool, which would allow assessing state capture on sectoral level in the EU. To be able to do this more precisely and comprehensively, the consortium will integrate the [MACPI Institutions](#) instrument, developed by CSD and eCrime, at the University of Trento, with EU support, to access the enforcement of anti-corruption measure and policies on the level of individual public bodies. MACPI Institutions has already proven successful by evaluating a number of public organisations and six municipalities in Italy and Bulgaria (Border Police and Slatina Municipality, Ministry of Defense, General Labour Inspectorate Executive Agency, Bourgas Municipality, Ministry of Interior, Traffic Police in Bulgaria and the Health Service of Trento and Municipality of Riva del Garda in Italy). The proposed action will build upon this strong foundation to assess specific institutions, relevant to and responsible for regulating the three economic sectors. The integration of integration of market concentration analysis and identification of state capture risks, based on data from procurement (mainly through the use of the TED database), company and other publicly accessible registers, is the other important aspect to be integrated into the SceMaps integrated tool. CSD will build upon and expand its analysis and [methodology for corruption risks and public procurement concentration](#), developed in the framework of the [ANTICORRP](#) project and tested into the public procurement market for construction works. ANTICORRP (2012-2017) constituted the biggest anti-

corruption research effort in social sciences and humanities in the history of EU's framework program for research. The action will also substantiate and build upon the expertise of all project partners, with vast experience in data analytics on national and EU levels.

4.3.3. Does your action involve any or more of the following:

- Do your activities involve human participants? ☒ Yes ☐ No
 - Are they vulnerable individuals or groups? ☐ Yes ☒ No
 - Are they children/minors? ☐ Yes ☒ No
- Do your activities involve physical interventions on the study participants? ☐ Yes ☒ No
 - Do they involve invasive techniques? ☐ Yes ☒ No
 - Do they involve collection of biological samples? ☐ Yes ☒ No
- Do your activities involve personal data collection and/or processing? ☒ Yes ☐ No
 - Do they involve the collection and/or processing of sensitive personal data (e.g.: health, sexual lifestyle, ethnicity, political opinion, religious or philosophical conviction)? ☐ Yes ☒ No
 - Do they involve processing of genetic information? ☐ Yes ☒ No
 - Do they involve tracking or observation of participants? ☐ Yes ☒ No
 - Do they involve further processing of previously collected personal data (secondary use)? ☐ Yes ☒ No
- Do your activities involve dual-use items in the sense of Regulation 428/2009, or other items for which an authorisation is required? ☐ Yes ☒ No
- Are there any other ethics issues that should be taken into consideration? ☐ Yes ☒ No

If yes, please specify which:

Not applicable.

4.3.4. Addressing ethical issues (max 2000 characters)

If you have indicated 'Yes' for one or more of the questions indicated under 4.3.3, please describe your strategy on how to deal with the ethical issues during the implementation of your action (which ethical principles you will take into account, which applicable international, EU and national law you will comply with, etc.).

The project does not foresee work with sensitive ethical issues, per se. The expert survey questionnaires, part of the methodological approach of the action, will be anonymous and will be used for qualitative analysis after obtaining the explicit consent of the respondent, concerning the subsequent use of the information obtained. Names of respondents will not be referred to in the project outputs unless an explicit agreement is obtained in advance from the interviewed persons.

Where applicable (e.g. participants' lists from project events) personal data, such as names and contact information will be exclusively used for work-related matters and will not be publicly distributed. Informed consent will be applied in any cases personal data is used otherwise.

Given the sensitive topic, ethical issues will be considered across all engagement (online and offline) and dissemination activities, and key project messages, in particular as relates to the physical safety of staff involved in the action, or to people disclosing sensitive corruption information. To this end all project partners have substantive experience, and the coordinator has developed internal ethical rules. The coordinator also has a history of receiving and handling corruption complaints and signals. The project team will be instructed to use balanced, fact-based language when producing the project outputs. The use of biased and unsubstantiated statements will not be tolerated and duly avoided.

PART 5 – PRESENTATION OF APPLICANTS AND ACTION MANAGEMENT

5.1. Applicants

5.1.1. Partnership (max 2000 characters)

Explain why the individual Applicant and Co-applicants are the best suited to participate in this action. When building your partnership you should think of organisations that can help you reaching an objective/solving a problem.

The proposed project will benefit from the established cooperation between the applicant organisation and each of the partners developed in the course of previous actions. Founded in late 1989, the Applicant, the **Center for the Study of Democracy (CSD)** is an European public policy institute, known for combining research excellence with policy advocacy for piloting social innovation and institutional reforms in multiple areas, including good governance and anti-corruption, hidden economy, energy governance and security. The **Civio Foundation (CIVIO)** is an independent, non-profit organization, specialised in data-driven monitoring of public governance, transparency and advocacy. **Expert Forum (EFOR)** is one of the most prominent Romanian think tanks, specialised in administration reform and public sector integrity; public procurement and public spending; justice and anticorruption reform; energy and transport; healthcare. eCrime is the Research Group on eCriminology at the Faculty of Law of the University of Trento, Italy (**UNITN**), specialising in the integration of ICT and criminology to deliver anti-corruption solutions and analysis.

The Applicant has selected the individual Co-applicants based on collaboration in previous actions, making possible the development and implementation of the SceMaps integrated tool. The preliminary concept for state capture assessment on national level was first piloted in Bulgaria and Spain, thanks to the cooperation between CSD and CIVIO. The original MACPI Institutions methodology was successfully developed by CSD and University of Trento, producing quality evaluations of the enforceability and effectiveness of anti-corruption measures in a number of Bulgarian and Italian public bodies. The expertise of CSD and EFOR in the area of risk analysis in the public procurement sector was further enhanced by implementing a EU-supported action on developing a multidisciplinary approach in the fight against public procurement criminality.

5.1.2. Roles of Applicants (max 2000 characters)

Explain what the Applicant and each Co-applicant will do in the action. Each Co-applicant should have a specific and well-clarified role and should actively participate in the activities of the action.

All project partners will contribute to the implementation of the action by providing unique local knowledge and input, specific to the national and sectoral context of each of the 4 EU MSs. As **Applicant**, CSD will:

- manage the project and coordinate the overall design and implementation of the SceMaps tool;
- set up the publicly accessible web-based platform with interactive analytics and company/institutional profiling;
- coordinate the main analytical (e.g. the 3 Policy and Regulatory Capture Reports) and methodological (State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level) products, and the organisation of project events (held outside MSs, directly participant in the action).

The three **Co-applicants** will actively participate in the overall coordination of the action through the Consortium Management Committee instrument (Work package 1). CSD, CIVIO, EFOR and eCrime will be equally responsible for:

- gathering and analysing the necessary sector-specific qualitative and quantitative data in each respective MS, in order to contribute for the tailoring of the SceMaps concept (Work package 2);
- implementing the project methodology in each of the four target countries respectively (Work package 3);
- organising nationally-held project events (e.g. EFOR and CIVIO will organise Progress workshops in Bucharest and Madrid, Work packages 2 and 3).

In addition, **all project partners will be horizontally involved** in all project events, mapping and sectoral research, drafting of the internal management (progress) reports, the 3 Policy and Regulatory Capture Reports, etc.

CIVIO will lead the consortium's overall dissemination and engagement efforts (Work package 4), including the delivery of infographics and an investigative journalist article, focused on specific sector across the target 4 EU MSs. All organisations will be responsible for exploiting and disseminating the planned products (newsletters, media notes, etc.).

5.1.3. Staff involved

List all staff included in the budget (under Budget heading A) by function (e.g. project manager, financial manager, researcher etc.) and describe shortly their tasks.

The proposed action is considered knowledge and technology intensive due to the central importance of methodology development and implementation, work with data analytics, creation of content for engagement and dissemination activities, and coordination of the effort in 4 EU Member States.

1. Center for the Study of Democracy (CSD)

1.1 Project Director – Ognian Shentov, PhD: The Project Director will be responsible for the overall monitoring and evaluation of the action, ensuring the high-quality standards of all project deliverables and high-level engagement of the main beneficiaries.

Dr. Ognian Shentov (PhD in Political Science, Bulgarian Academy of Sciences; MA in International Relations, Higher Institute of Economics, Sofia) is the Chairman of the Center for the Study of Democracy, since the organisation was founded in late 1989, He is also co-founder and Chairman of the Applied Research and Communications Fund, the leading Bulgarian innovation policy and research institute established in 1991. Dr. Shentov has worked on a variety of international projects on institutional reforms in Bulgaria and other European countries. He has written and edited a number of publications on the issues of democracy, good governance, institutional reforms and soft security threats. Dr. Shentov is also a Member of the Board of Trustees of the New Bulgarian University in Sofia and a Member of the Board of Directors of the Bulgarian Green Building Council. He has served on the Steering Committees and boards of a range of NGOs and public policy initiatives, such as the Center for Economic Development, Center for Social Practices, Coalition 2000 anti-corruption initiative, National Crime Prevention Commission with the Council of Ministers, etc.

1.2. Project Manager – Ruslan Stefanov: The Project Manager will manage the coordination of the of entire project cycle, including overseeing the implementation of SceMaps in the 4 target EU MSs. He will be a member of the Consortium management committee and will have the main responsibility for successful attainment of the general and specific objectives, timely delivery of all outputs, risk mitigation, engagement and compliance with the formal project implementation requirements. The Project Manager will also monitor the implementation of the Work Plan and the Engagement and Dissemination Strategy.

Ruslan Stefanov is the Director of the Economic Program at CSD. He has more than 15 years of experience in delivering high quality research and civil society solutions to governance and corruption problems in Southeast Europe and in Bulgaria, including substantial work on anti-corruption, public procurement, informal economy and good governance. Ruslan is a member of the Local Research Correspondents Network on anticorruption of DG Home of the European Commission, and is one of the local contact points for the Commission's missions on Bulgaria's progress on fighting corruption and organized crime under the Cooperation and Verification Mechanism. He managed the Bulgarian participation in the largest ever social-sciences and humanities FP 7 project – ANTICORRP. He is also coordinating the SELDI anti-corruption and good governance network, comprising of over 40 CSOs and anti-corruption agencies from the region of Southeast Europe. Ruslan Stefanov holds a Master's degree in Economics and Business Administration, University of National and World Economy, Sofia and University of Economics and Business Administration, Vienna.

1.3 Senior Analyst – Alexander Gerganov, PhD: The first Senior Analyst will be responsible for the methodological development and implementation of the integrated risk assessment tool for estimating state capture and monitoring of anti-corruption policies at the sectoral level (SceMaps). This will include, among others, developing the expert survey questionnaires, coordinating the implementation for Bulgaria and overseeing the piloting in Italy, Romania, and Spain. The Senior Analyst will also be responsible for identifying, selecting and designing the approach to data collection and aggregation for the proposed integration of cross-sectional big data analysis and the development of the publicly accessible web-based platform with interactive analytics and company/institutional profiling and media content alert system. The Senior Analyst will also be involved in the preparation and conduct of the training module for civil society oversight.

Dr. Alexander Gerganov holds a PhD in psychology (cognitive science), New Bulgarian University; MA in cognitive science, New Bulgarian University; and a BA in computer science, Technical University – Sofia. Dr. Gerganov's main fields of specialization are corruption research, victimization studies (conventional crime), and grey economy. He focuses on methodology, statistical analysis in social research and mathematical modelling of big data and complex processes in the social sciences. He has participated in many multidisciplinary international research

projects since 2005. He has contributed methodologically to the update and implementation of the CSD's Corruption Monitoring System (developed and implemented by CSD and applied for more than 25 years in Bulgaria and the region), the Conventional crime indicators, the Grey economy indicators, and to the development of the latest CSD methodologies for assessment of state capture on national level (MACPI State Capture) and evaluation of the effectiveness and enforceability of anti-corruption policies on institutional level (MACPI Institutions). He was responsible for data quality, and methodological control for the 2014 and 2016 SELDI corruption surveys, conducted in nine counties. Alexander Gerganov is also an assistant professor at the Social Survey Research Center, part of the Institute for the Study of Societies and Knowledge at the Bulgarian Academy of Sciences.

1.4 Senior Analyst – Todor Galev, PhD: The second Senior Analyst will be mainly responsible for the research and analysis (based on the implementation of the SceMaps methodology) needed for the preparation of the project's main deliverables – the 3 Policy and Regulatory Capture Reports (70 pages, 400 copies each in English, with translated executive summaries in 4 languages) and the and the State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level (70 pages, printed in English, 300 copies). The main scope of the Senior Analyst's work will be focused on the Bulgarian parts of the research, as well as for the comparative analysis, based on the 4 country analyses. The Senior Analyst will also revise and finalise the internal documents (e.g. internal management/progress reports, workshop minutes, input from the Advisory Board on methodological excellence, etc.). The Senior Analyst will also be involved in the preparation and conduct of the training module for civil society oversight.

Dr. Todor Galev (PhD in Sociology, Institute of sociology – Bulgarian Academy of Sciences; MA in Sociology, Sofia University "St. Kl. Ohridski) is a senior expert in good governance, socio-economic, sociological studies and analyses of innovation and energy policy in Bulgaria, Central and Eastern Europe and the European Union. In these areas, he deals with topics such as security and anti-corruption sector reforms, innovations in private and public sectors, energy governance, public procurement, ICT use and penetration as innovation driver in the economic and social spheres. Todor is experienced in using research methods for integrated analysis of sociological surveys' results and large statistical databases. He has worked on multiple EU-supported projects, including under the Seventh Framework Programme and GD Research, and Innovations at the European Commission, as well as on actions funded from the World Bank, Bulgarian ministries and other national and international organisations.

1.5 Analyst: The Analyst will be involved in all project activities, assisting the Manager and Senior Analysts with desk research and analysis, data gathering. The Analyst main research focus will be the action's activities for Bulgaria but will also be responsible for compiling the Mapping Report, integrating the input from other project partners. The Analyst will be involved in all relevant for Bulgaria activities in work package 4 on engagement and dissemination. The Analyst will also be involved in the internal communication of the project and will assist the administrative coordination of the action.

1.6 Financial Director: The Financial Director will be responsible for the financial and technical coordination of the action, including for the sound and complete reporting of the project, according to EC guidelines.

1.7 Administrative Support: The Administrative Support will be responsible for the organization of all project events, held either in Bulgaria or outside the target countries (i.e. Brussels), as well as for coordinating the printing of deliverables, communication involving administrative/financial matters, updating the Database of stakeholder contacts, other administrative tasks.

1.8 Full stack/back-end developer; 1.9 Data scientist 1.10 Front-end developer; 1.11 Media content analyst: CSD will be coordinating the integration of cross-sectional big data analysis, the development and launch of the publicly accessible web-based platform with interactive analytics and company/institutional profiling and media content alert system. Part of the technical expertise for the implementation of these set of activities will require the hiring of specialists with strong background in IT programming, including a Stack/back-end developer, proficient in Ruby (dynamic, reflective, object-oriented, general-purpose programming language) and Python (interpreted high-level programming language for general-purpose programming); Data scientist with background in statistics/SQL to support the Senior Analysts; Front-end developer with Angular/PHP experience for graphic web-design; and Media content analyst for the purposes of the media content alert system.

2. Civio Foundation (CIVIO), Spain

2.1 Senior Analyst, coordinator for Spain – David Cabo: The Senior Analyst, coordinator will ensure the high-quality standards of CIVIO project deliverables and input, manage the coordination of the implementation of SceMaps in Spain. He will be a member of the Consortium management committee and will also monitor the

implementation of the Work Plan and the Engagement and Dissemination Strategy. He will provide feedback on all project deliverables, coordinate the drafting of the sectoral analyses for Spain, part of the 3 Policy and Regulatory Capture Reports. As coordinator for Spain, the Senior Analyst will be responsible for communication involving administrative/financial matters and reporting.

David Cabo holds an MSc in Software Engineering and BA in Psychology. David founded CIVIO in 2012, and currently serves as Executive Director and lead developer. For 12 years he worked as a consultant, developer, and software architect for companies such as British Telecom, HM Revenues and Customs, Accenture, Ericsson and BBVA Global Markets. He is an expert in open data, public data analysis and budget data, among other fields. David also lectures on the post-graduate courses offered by Spain's leading media (El Mundo and El Pais), as well as in numerous workshops and conferences related to civic technology and data journalism. Before founding CIVIO, David co-organized the largest open data hackathon in Spain, Desafio Abredatos, launched the pro-transparency initiative #adoptaundiputado and collaborated with investigative journalists on the extraction and analysis of public data (Looting the Seas, ICIJ). He has also worked with Access Info Europe and mySociety in the development of the European web portal AsktheEU.org.

2.2 Analyst: Eva Belmonte: The Analyst will coordinate the development of the investigative journalistic article; will participate in the creation of content under Work package 4 (Media Notes, Newsletters, etc.); will be involved in the drafting of the relevant for Spain chapters for the 3 Policy and Regulatory Capture Reports. The Analyst will also be responsible for the administrative coordination and organisation of the planned local workshop, and other project-related travel.

Eva Belmonte is a Graduate of Journalism from the Universitat Autònoma de Barcelona. She joined CIVIO after eight years in the editorial department of El Mundo in Barcelona (2004-2012). Eva Belmonte designs, develops, and monitors all of CIVIO's journalist investigations. An expert in the analysis and treatment of public information, she is author of the project El BOE nuestro de cada día, in which she synthesises, on a daily basis, the Official State Gazette, informing us about how the decisions made therein affect us; she has published the book Españaopoly (Ariel, 2015) in which she describes and documents how Spain's power structures function. She is also Professor of Investigative Journalism and Data on various official Masters.

2.3 Analyst: The Analyst will work on tailoring the SceMaps methodology for the three economic sectors in Spain. Will be predominantly involved in the implementation of the SceMaps tool in Spain through selection and contacting of experts/public officials; gathering and analysing the qualitative data from the expert survey questionnaires. The Analyst will participate in the mapping analysis, the selection of relevant data for the web-based platform; drafting of the relevant for Spain chapters for the 3 Policy and Regulatory Capture Reports, the creation of content under Work package 4 (Media Notes, Newsletters, etc.), etc.

2.4 Data Visualisation expert: The Data and Visualisation expert will be responsible for the creating content under Work package 4 (Media Notes, Newsletters, etc.), dissemination and engagement, including the design and promotion of the project materials (templates, logos, etc.) and deliverables, as well as other related activities.

3. eCrime Research Group, University of Trento, (UNITN), Italy

3.1 Senior Analyst, coordinator for Italy – Andrea Di Nicola, PhD: The Senior Analyst, coordinator will ensure the high-quality standards of UNITN project deliverables and input, manage the coordination of the implementation of SceMaps in Italy. He will be a member of the Consortium management committee and will also monitor the implementation of the Work Plan and the Engagement and Dissemination Strategy. He will provide feedback on all project deliverables, coordinate the drafting of the sectoral analyses for Italy, part of the 3 Policy and Regulatory Capture Reports.

Andre Di Nicola, Ph.D. is an assistant professor in criminology at the Faculty of Law of the University of Trento, teaching criminology and applied criminology, as well as the optional course eCriminology: ICT, law and criminology. For over 15 years, he has been dealing with economic and organised criminality, corruption, urban security, environmental criminology and with the links between crime and the Internet. Since 1998, he has taken part in and supervised more than 40 international studies (for the European Commission and the European Parliament, for the Italian Ministry of Interior, of Justice and for Equal Opportunities). This experience enabled him to acquire specific competences in the realisation and management of complex studies employing quantitative and qualitative criminological research techniques. He has authored many publications, including articles, chapters and books.

3.2 Senior Analyst: The Senior Analyst will work on tailoring the SceMaps methodology for the three economic

sectors in Italy. Will be predominantly involved in the implementation of the SceMaps tool in Italy through selection and contacting of experts/public officials; gathering and analysing the qualitative data from the expert survey questionnaires. The Senior Analyst will participate in the mapping analysis, the selection of relevant data for the web-based platform; drafting of the relevant for Italy chapters for the 3 Policy and Regulatory Capture Reports, etc. The Senior Analyst will be involved in administrative/financial matters and the reporting.

3.3 Analyst: The Analyst will be involved in the research for the Mapping Report and research for tailoring the SceMaps methodology for the three economic sectors in Italy. Will be predominantly involved in the implementation of the SceMaps tool in Italy through contacting of experts/public officials; gathering and analysing the qualitative data from the expert survey questionnaires. The Analyst will participate in the selection of relevant data for the web-based platform; research and analysis for the relevant for Italy chapters for the 3 Policy and Regulatory Capture Reports, etc. The Analyst will also be responsible administrative tasks related to project travel and communication involving administrative matters.

4. Expert Forum (EFOR), Romania

4.1 Senior Analyst, coordinator for Romania - Sorin Ionita, PhD: The Senior Analyst, coordinator will ensure the high-quality standards of EFOR's project deliverables and input, manage the coordination of the implementation of SceMaps in Romania. He will be a member of the Consortium management committee and will also monitor the implementation of the Work Plan and the Engagement and Dissemination Strategy. He will provide feedback on all project deliverables, coordinate the drafting of the sectoral analyses for Romania, part of the 3 Policy and Regulatory Capture Reports.

Sorin Ionita, PhD, is an expert in public administration reform and development; consultant with the European Commission, Council of Europe, World Bank, UNDP on Eastern Europe and the Balkans; former civil society representative in the European Economic and Social Committee (EESC, on Transport-Energy and Environment); associate lecturer at Maastricht School of Management (MSM) and Babeş-Bolyai University (Cluj). Frequent guest in current affairs news programs on TV and radio; blogger. Graduate of the Bucharest Polytechnic School (IPB); Bucharest University (UB); Central European University (CEU); PhD in Political Science, Fulbright fellow at Georgetown University, Washington DC.

4.2 Senior Analyst: The Senior Analyst will work on tailoring the SceMaps methodology for the three economic sectors in Romania. Will be predominantly involved in the implementation of the SceMaps tool in Romania through selection and contacting of experts/public officials; gathering and analysing the qualitative data from the expert survey questionnaires.

4.3 Senior Analyst: The Senior Analyst will participate in the mapping analysis, the selection of relevant data for the web-based platform; drafting of the relevant for Italy chapters for the 3 Policy and Regulatory Capture Reports, etc.

4.4 Administrative/Financial Officer: The Administrative/Financial Officer will be responsible for the organization of the project workshop, held in Bucharest, as well as for other related project travel, communication involving administrative/financial matters, reporting, other administrative tasks.

5.2. Project management

5.2.1. Project management (max 2000 characters)

Explain the overall project management concept, in particular how decisions will be taken and how permanent and effective communication will be ensured.

The management of the action will be ensured on multiples levels through the consortium structure and the management work package activities, in terms of overall coordination, quality assurance and work planning, and adherence to formal project requirements.

As Applicant, CSD is primarily responsible for the overall coordination and quality and timely delivery of the project, including implementing the key management decisions and liaising with the European Commission. To ensure horizontal approach and effective collaboration, a Consortium management committee (CMC) will be established. The CMC, consisting of four members (one representative of the coordinator and one representative of each partner organisation), will be the principle project body for taking management decisions.

The CMC will coordinate and be guided by a Work Plan, developed in the beginning of the project. The CMC will provide for the overall managerial guidance in the course of the project and for exercising oversight over the

implementation of the foreseen activities. The revisions and updates to the work plan will be done through consultations among the CMC members via regular e-mail and/or conference calls.

The planned Monitoring and evaluation workshops (in particular the Kick-off Workshop and the Concluding Workshop), attended by members of the CMC will provide additional platform for management and communication. The advisory board will add to project excellence.

The Kick-off Workshop will be used to discuss the Commission's managerial, financial and accounting requirements. The Concluding Workshop will review and assess the overall implementation of the project, discuss the reporting procedure, and outline follow-up activities for achieving better sustainability of the project results.

Coordinated by the Applicant and the CMC, all partner organisations will be responsible for the timely monitoring and accurate reporting of the project activities, in line with Commission's requirements.

5.2.2. Risks and measures to mitigate them (*max 2000 characters*)

Describe possible risks, uncertainties, difficulties related to the implementation and the measures/strategy of the action that you plan to undertake to mitigate them.

The main management, evaluation and monitoring tools, applied by the consortium will be used to mitigate project risks/uncertainties. The Monitoring and evaluation workshops, the Work Plan and Consortium management committee, in particular, will serve as a platform to discuss and mitigate any potential risks. The set of tools at the disposal of the consortium allows for increased flexibility and adaptability, in case significant risks are to materialise. The Applicant and Co-applicants have identified two main potential threats and have set appropriate measures to mitigate them.

1. *Lack and/or variability of public data across the target EU MSs.* The SceMaps methodology combines expert-based questionnaires methodology and big-data approaches to deliver an integrated risk assessment tool, thus overcoming a critical risk, not only in the proposed action, but in corruption risk assessment in general – the lack of reliable data. The integrated media analysis will also compensate cases where quantitative data is missing. In addition, despite the risk that data varies across countries, the project partners have deliberately selected the EU-wide TED procurement database to ensure the minimum standard of data availability.

2. *Responsiveness and willingness of public officials to participate in the MACPI Institutions tool.* A highlighted risk is any potential unwillingness of public officials, from identified institutions, related to the three economic sectors, to be unresponsive and/or unwilling to participate in the action's qualitative assessments. Since the methodology foresees balanced involvement of both public officials and independent experts, in the event of such occurrence, the partners will make use of expert responses. However, the Applicant, as well as the project partners have a long track record of public-private cooperation. In addition, since the action builds upon past work, cooperation channels and trust relations have already been well established.

5.2.3. Monitoring and evaluation (*max 2000 characters*)

Describe how you intend to monitor and evaluate the advancement of the action.

Explain which quantitative and qualitative indicators you propose to use for the evaluation of the reach and coverage of activities of the action and results of the action.

The main tools for regular monitoring and evaluation of the action's progress will be:

- Work Plan (deliverable 1.1);
- Consortium management committee (CMC) (4 members, 1 per organisation, output 1.2);
- Advisory Board on methodological excellence (3 external experts, output 1.1);
- Two internal management (progress) reports (20 pages each, deliverables 2.2, 3.4);
- Two Progress Workshops (15 participants each, under activity 1.4);
- Stakeholder round table (25 participants, output 2.1).

The Work Plan is an adaptive instrument (designed by work packages, activities and outputs), developed to indicate the partner organisations and the project team members responsible for the execution of each task, the deadlines for its completion, and any other relevant information (e.g. notes indicating progress, number of modifications).

The CMC will provide for the update of the Work Plan, distribution (and potential re-distribution) of tasks among the project team members, review and approval of project outputs, solution of any potential substantive,

administrative and financial issues. The CMC will be responsible for the monitoring of the action, as well as for overseeing the final reporting and evaluation of the project, in line with Commission's requirements.

The two internal management (progress) reports will provide a snapshot the action's progress towards the set general and specific objectives. The reports will be discussed during internal monitoring and evaluation workshops and the Stakeholders round table and will give update on methodological development and implementation. The discussions and decisions from each workshop will be summarised in written minutes.

The Members of the Advisory Board will participate actively in Work packages 2 and 3, with some attending the progress workshops and providing feedback and input for the internal management (progress) reports.

Additionally, CSD will apply specific internal rules for publishing (language standard, quality assurance and peer-review) to all project deliverables.

5.2.4. Dissemination strategy and visibility (*max 2000 characters*)

Describe the dissemination strategy: how will you reach the short, medium and long term beneficiaries? Explain what will be disseminated (key message, deliverables), to whom (short, medium and long term beneficiaries), why (purpose), how (method and tools) and when (timing). Please note rules on visibility of the EU funding in the Grant Agreement.

The project results and key messages will be demonstrated through effective outreach and dissemination (via a multi-layered combination of 2 public events, offline and online community building, awareness raising, investigative journalism and academic articles, media notes, interactive content and design) and through targeting of different groups of beneficiaries. The main project deliverables - the analytical and methodological publications, and notably the publicly accessible web-based platform will contribute to the engagement of multiple beneficiary groups.

Work package 4 will deliver key dissemination and visibility activities. It will be implemented throughout the entire life cycle of the action. The proposed set of engagement and dissemination activities will target well defined beneficiaries: civil society organisations, specializing in good governance and anti-corruption; public regulators, relevant for the three high-risk sectors; researchers; academics; media; public institutions; business associations; policy makers, public administration, EU decision-making bodies and institutions. The International policy conference and the Stakeholders Workshop in Brussels, combined with the delivery of the methodological training for civil society organisations are the action's key tools for engaging the main groups of beneficiaries.

The action's dissemination activities will be planned on the basis of an Engagement and Dissemination Strategy, adopted and monitored by the Consortium Management Committee. The project will aim to ensure high visibility and recognisability by carefully designing the project's visual identity (implementing a package of project materials, incl. logos, templates, banners, etc. to be carried by all outputs/deliverables). This will be enforced by internet publicity and engagement of partner networks. All visibility actions will be designed accordingly to ensure Commission's rules on visibility of the EU funding.

5.2.5 Sustainability and long-term impact of the results of the action (*max. 2000 characters*)

Describe the planned follow-up of the action after the financial support of the European Union has ended. How will the sustainability of the results of the action be assured? Are the results of the action likely to have a long-term impact? How?

Designed for easy replication and take-up by EU MSs' public administrations, SceMaps will allow EU authorities to build evolving, risk-responsive instruments to assess and tackle corruption and capture risks in regulatory heavy areas and industries, such as public procurement, pharmaceuticals (healthcare), and construction. The training module, based on the developed 'State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level' can be used by as blueprint for advocacy and oversight by civil society organisations, allowing them to replicate and build upon a solid research and analytical foundation.

Though static, the publicly accessible web-based platform with interactive analytics, company/institutional profiling and media content alert system will allow third party machine access. This will make possible for market researchers, civil society, journalists and the general public to access the raw data and run additional analytics.

The action will provide objective and traceable alerts for the presence of partial distribution of public funds, clientelistic networks and indicators for state capture, serving as invaluable guiding instruments for independent public organizations and/or investigative journalists or NGOs. The platform can be commercialised to sustain its updates

Additional sustainability aspect of the action is its potential impact in EU neighbouring regions. CSD coordinates the *Southeast Europe Leadership for Development and Integrity (SELDI)* – the biggest anti-corruption coalition of over 40 CSOs in South East Europe. The SELDI network could also prove significant for facilitating future application of the developed methodology. The Western Balkans countries are strategically important for EU external security and in light of potential future enlargement. The SELDI network will serve as a dissemination platform for raising awareness and providing good practices across the region.

PART 6 - INFORMATION CONCERNING OTHER EU GRANTS/PROCUREMENT

6.1. Grant applications or offers submitted by the Applicant and Co-applicants to EU institutions or agencies under grants/procurement for which the evaluation process is not yet finalised:

Year	Name of EU Programme	Reference number and title	Name of Applicant/Co-applicant	Role	Amount (Euro)
2018	AMIF	AMIF-2017-AG-INTE-03 Proposal number: 821646 Civic Education for Resettled Migrants (MIGREDU-CIVIC)	CSD	Applicant	504,331 (overall budget) 212,327 (CSD Budget)
2018	AMIF	AMIF-2017-AG-INTE-01 Proposal number: 821685 Enhancing Awareness of the Positive Impacts of Migration to Help Build Stronger Professional Communities and Tolerant New Generations of EU Citizens (BUILD-by-AWARENESS)	CSD	Applicant	541,981 (overall budget) 166,075 (CSD Budget)
2018	ISFP	ISFP-2017-AG-THBX Proposal number: 815137 Victim or Perpetrator? - Preventing victim-to-perpetrator switch in the trafficking chain through specific and multiagency measures of identification and protection, investigation and prosecution (IN-SIDE)	CSD	Partner	367,771 (overall budget) 35,052 (CSD Budget)
2018	ISFP	ISFP-2017-AG-FIRE Proposal number: 815037 Countering the illicit trafficking of converted and reactivated firearms (CITRA)	CSD	Applicant	590,401 (overall budget) 129,754 (CSD Budget)
2018	ISFP	ISFP-2017-AG-CSEP Proposal number: 812589 Resilient Youth against Far-Right Extremist	CSD	Applicant	309,309 (overall budget)

		Messaging Online (YouthRightOn)			139,003 (CSD Budget)
2018	ISFP	ISFP-2017-AG-CSEP Proposal number: 812609 Cp-Rep. Changing perspectives to Repair Reputations (CP-REP)	CSD	Co-applicant	999,520 (overall budget) 149,195 (CSD Budget)
2017	REC	REC-RDIS-DISC-AG-2017 Proposal number: 809834 Launch and implementation of Diversity Charter in Bulgaria (DIVERSE.BG)	CSD	Applicant	109,520 (overall budget) 71,805 (CSD Budget)
2017	REC	REC-RDIS-DISC-AG-2017 Proposal number: 809815 Addressing anti-Roma stereotyping in the Greek media (ROMA_MEDIA)	CSD	Co-applicant	236,671 (overall budget) 71,816 (CSD Budget)
2017	REC	REC-RDIS-DISC-AG-2017 Proposal number: 809779 Towards inclusive and sustainable European Societies by breaking stereotypes for Roma people (Sense the ROMA)	CSD	Co-applicant	278,423 (overall budget) 48,714 (CSD Budget)
2017	REC	REC-RRAC-RACI-AG-2017 Proposal number: 808143 Central and Eastern European Network for the Prevention of Intolerance and Group Hatred (CEE Prevent Net)	CSD	Co-applicant	455,521 (overall budget) 11,227 (CSD Budget)
2017	REC	REC-RRAC-RACI-AG-2017 Proposal number: 808127 Networking initiative to Defeat Islamofobia, Violence, Extremism and terrorist Radicalization for Social cohesion in Europe (DIVERSE)	CSD	Co-applicant	636,204 (overall budget) 52,772 (CSD Budget)
2017	REC	REC-RRAC-RACI-AG-2017 Proposal number: 807859 Preventing Forms of Intolerance by Enhancing Community and Youth Resilience to Far- Right Violent Extremism (PREVINT-YOURES)	CSD	Co-applicant	565,867 (overall budget) 76,671 (CSD Budget)
2017	REC	REC-RRAC-RACI-AG-2017 Proposal number: 807176 Consolidate and renew justice and law enforcement effort against hate crime in a changing Europe (COUNTERHATE-ANew)	CSD	Applicant	281,898 (overall budget) 92,079

					(CSD Budget)
2017	JUST	JUST-2017-AG-DRUG Proposal number: 807053 HOPE, NOT DOPE: Enhancing and Supporting the role of the Civil Society Organizations in the area of EU Drugs policy (HnD)	CSD	Co-applicant	519,577 (overall budget) 39,445 (CSD Budget)
2017	JUST	JUST-JTRA-EJTR-AG-2017 Proposal number: 807032 Judical and Police Cooperation Preventing Radicalisation Towards Terrorism (JP-COOPS)	CSD	Co-applicant	767,302 (overall budget) 38,819 (CSD Budget)
2017	JUST	JUST-JTRA-EJTR-AG-2017 Proposal number: 803322 LAW-TRAIN-NET -Lawyers Training and Networking to enhance victims of human trafficking rights protection in accordance with EU Law	CSD	Co-applicant	176,784 (overall budget) 38,306 (CSD Budget)
2017	JUST	JUST-JACC-AG-2017 Proposal number: 802073 PROactive VERification of the IMPLEMENTATION of the Right's Roadmap (PRO.VE)	CSD	Co-applicant	726,072 (overall budget) 50,760 (CSD Budget)
2017	JUST	JUST-JACC-AG-2017 Proposal number: 802034 Offenders with Psycho-Social and Intellectual Disabilities: Identification, Assessment of Needs and Equal Treatment (OPSIDIANET)	CSD	Applicant	280,770 (overall budget) 92,259 (CSD Budget)
2017	JUST	JUST-JACC-AG-2017 Proposal number: 801848 Victims of Organised and International Crime in Europe (V.O.I.C.E.)	CSD	Co-applicant	597,279 (overall budget) 62,995 (CSD Budget)
2017	JUST	JUST-JACC-AG-2017 Proposal number: 800905 JUSTICE FOR WOMEN - Towards a more effective rights protection and access to judicial procedures for victims of crimes (JUSTICE FOR WOMEN)	CSD	Co-applicant	186,855 (overall budget) 30,002 (CSD Budget)
2017	JUST	JUST-JCOO-AG-2017 Proposal number: 800816 Strategic Assessment for LAW and Police Cooperation (SAT-LAW)	CSD	Co-applicant	726,072 (overall budget) 50,760 (CSD Budget)

2017	EACEA, Europe for Citizens	Submission number: 589050-CITIZ-1-2017-1-BG-CITIZ-CIV Balkan Perspectives for Future Europe (BFutEur)	CSD	Applicant	147,500 (overall budget)
2017	IPA	Budget line: 22.020100 Proposal number: EuropeAid/138660/ID/ACT/MK On the road of EU integration: transforming informal or undeclared work into regular employment through collaboration between civil society, the state and the private sector (Lot 2: Improved efficiency in civil society responses to the priority sector reform processes as well as improved political participatory process of high importance for fundamental rights, democracy and rule of law including for the EU integration reforms)	CSD	Co-applicant	145,000 (overall budget)
2017	DG Migration and Home Affairs AMIF	AMIF-2016-AG-INTE-01 Proposal number: 776066 Effective Integration of Third-Country Nationals through Civic Education (INTE-CIVIC)	CSD	Applicant	456,083 (overall budget) 195,268 (CSD budget)
2018	Europe for Citizens	601807-CITIZ-1-2018-1-RS-CITIZ-CIV You4EU-Citizen Participation 2.0	Fundación Ciudadana Civio	Partner	148,680 (whole project)

6.2. EU actions of the Applicant and Co-applicants: please indicate any action/project of the Applicant and Co-applicants that has been awarded funding from an EU institution or agency in the last 4 years should be listed. This includes awards under grant/procurement

Name of EU programme	Reference number and title of the action/project	Name of Applicant/ Co-applicant	Role	Amount awarded (Euro) (*)	Action/project webpage
H2020	In preparation of Grant Agreement Radicalisation, Secularism and the Governance of Religion: Bringing together European and Asian Perspectives (GREASE)	CSD	Partner	193,750	n/a
H2020	784960 — SCORE Supporting Consumer Co-Ownership in Renewable Energies	CSD	Partner	198,000	n/a
Erasmus +	2017-3208 / 001 - 001 Adapting Learning in Inclusive Communities and Environment (ALICE)	CSD	Partner	83,017	n/a

Hercule Program	786268 — HERCAGRO Innovative Methods to Investigate Fraud and Corruption in EU funding for agriculture	CSD	Coordinator	52,702	n/a
DG Justice and Consumers	763714 — J-Safe — JUST-AG-2016/JUST-AG-2016-03 Judicial Strategy Against all Forms of Violent Extremism in Prison (J-Safe)	CSD	Partner	83,203	n/a
Rights, Equality and Citizenship Programme	777320 — LoveMoves — REC-DISC-AG-2016/REC-DISC-AG-2016-02 The rights of recognized same-sex partners moving across the EU (LoveMoves)	CSD	Coordinator	49,254	n/a
Erasmus+ KA2 – Cooperation for Innovation and Exchange of Good Practices	2017-1-FR01-KA204-037446 INTEGRA Integrated Community, Probation, and Prison Services Radicalisation Prevention Approach	CSD	Partner	63,666	n/a
DG Justice and Consumers	760112 — PRO VICTIMS JUSTICE — JUST-AG-2016/JUST-AG-2016-07 Pro Victims Justice through an Enhanced Rights Protection and Stakeholders Cooperation (PRO VICTIMS JUSTICE)	CSD	Partner	36,794	n/a
Rights, Equality and Citizenship Programme	REC-RRAC-RACI-AG-2016 764664 — RACCOMBAT — REC-DISC-AG-2016/REC-DISC-AG-2016-04 Preventing and Combatting Racism and Xenophobia through Social Orientation of Non-Nationals (RACCOMBAT)	CSD	Coordinator	73,925	http://raccombat-project.eu/
DG Justice and Consumers	760244 — ARISA — JUST-AG-2016/JUST-AG-2016-06 Assessing the risk of isolation of suspects and accused (ARISA)	CSD	Coordinator	96,616	http://arisa-project.eu/
DG Justice and Consumers	JUST/2014/JACC/AG/VICT/9221 Strengthening multidisciplinary cooperation to ensure an effective referral, assistance, rights protection	CSD	Partner	33,916	n/a

	for victims of human trafficking				
DG Migration and Home Affairs	HOME/2015/ISFP/AG/THBX/400000875 1 Financing Organised Crime Activities – focus on Human Trafficking (FINOCA 2.0)	CSD	Coordinator	210,016	n/a
Asylum, Migration and Integration Fund (2014-2020)	HOME/2015/AMIF/AG/INTE/9113 Enhancing the Integration of Women, Beneficiaries of International Protection by Development and Implementation of Multifaceted Integration Trainings (INTEGRA-TRAIN)	CSD	Coordinator	121,808	n/a
H2020	H2020-LCE-2016-RES-CCS-RIA Enabling the Energy Union through understanding the drivers of individual and collective energy choices in Europe (Enable.EU)	CSD	Partner	301,781	http://www.enable-eu.com/
H2020	700688 – TAKEDOWN – H2020-FCT-2014-2015/H2020-FCT-2015 Understand the Dimensions of Organised Crime and Terrorist Networks for Developing Effective and Efficient Security Solutions for First-line-practitioners and Professionals (TAKEDOWN)	CSD	Partner	117,438	https://www.takedownproject.eu/
MoI, Asylum, Migration and Integration Fund, co-financed by the EU	Reg Nr 812108-77 Development and Implementation of Unified Standards for Forced Return Monitoring (RETURN 2)	CSD	Coordinator	45,684	n/a
Rights, Equality and Citizenship Programme (2014-2020) and of the Justice Programme (2014-2020)	JUST/2014/JACC/AG/VICT/7406 Developing Directive-Compatible Practices for the Identification, Assessment and Referral of Victims	CSD	Partner	16,612	n/a
DG Migration and Home Affairs	HOME/2014/ISFP/AG/EFCE/7221 30-CE-0753854/00-30 PayBack: Towards a EU Data	CSD	Partner	151,873	n/a

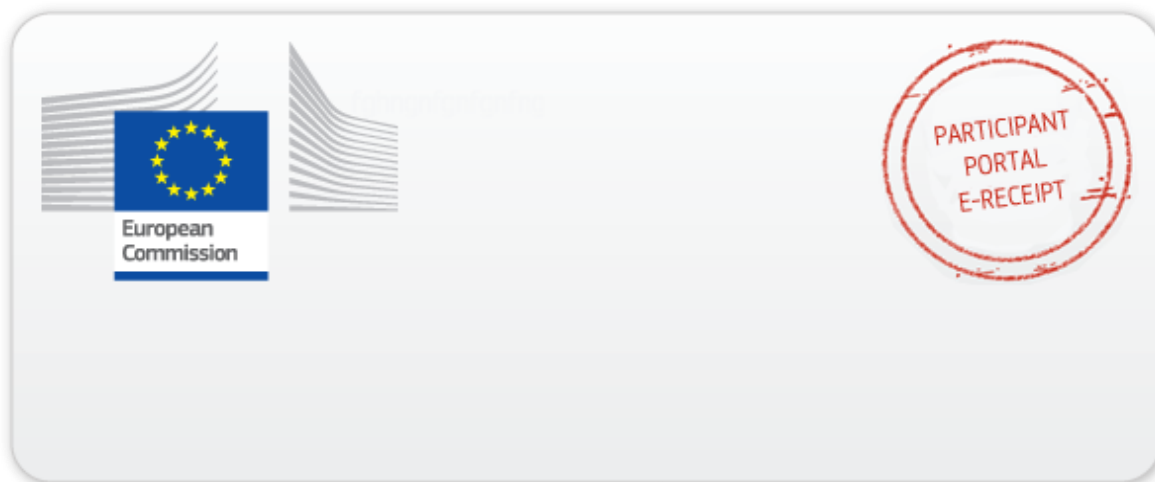
ISFP	Management System for Seized Assets				
DG Migration and Home Affairs	HOME/2014/ISFP/AG/EFCE/7222 The Private Corruption Barometer – Drafting and piloting a model for a comparative business victimization survey on private corruption in the EU	CSD	Partner	105,648	http://www.project-pcb.eu/
DG Justice and Consumers	JUST/2014/RCIT/AG/CITI/7269 The reality of free movement for young European citizens migrating in times of crisis (ON-THE-MOVE)	CSD	Partner	18,623	http://euonthemove.eu/
EuropeAid	CFCU/TR2011/0135.15-02 EuropeAid/136802/ID/ACT/TR Strengthening the EU – Turkey Energy Dialogue in the Context of Persistent Energy Security and Governance Risks in the Black Sea region	CSD	Coordinator	149,839	n/a
Youth Citizens	Grant Decision No: 2015-1476/001-001 Ask the Locals! Promoting Resource Accessibility through Local Empowerment Europe for Citizens Programme 2014-2020 Measure: Civil Society Projects	CSD	Partner	5,400	n/a
European Return Fund, Annual Programme 2013	Reg Nr 812108-27 Monitoring of forced return	CSD	Coordinator	26,770	n/a
DG NEAR	Contract No: 2014/351-414 Civil Society for Good Governance and Anti-Corruption in Southeast Europe: Capacity Building for Monitoring, Advocacy and Awareness Raising (SELDI 2015-2016)	CSD	Coordinator	195,978	http://www.seldi.net
DG HOME – ISEC	HOME/2013/ISEC/AG/FINEC/4000005248 Law, Economy, Competition, and Administration - Developing a Multidisciplinary Approach in the Fight against Public Procurement Criminality (LECA)	CSD	Partner	83,510	n/a
DG HOME – ISEC	HOME/2013/ISEC/AG/FINEC/400000521	CSD	Coordinator	202,768	n/a

	3 Financial and Economic Crime Countering Extortion and Racketeering in EU (CEREU)		r		
DG HOME – ISEC	HOME/2013/ISEC/AG/RAD/4000005264 Countering Radicalisation In Southeast And Central Europe Through Development Of (Counter-) Radicalisation Monitoring Tool (MONITOR)	CSD	Coordinator	191,627	n/a
DG HOME – ISEC	HOME/2013/ISEC/AG/THB/4000005855 Trafficking in Human Beings Improving and sharing knowledge on the Internet role in the human trafficking process (Surfandsound)	CSD	Partner	78,647	www.surfandsound.eu
Delegation of the European Union to the former Yugoslav Republic of Macedonia	EuropeAid/134-015/L/ACT/MK-1 Promoting Good Governance and Economic Rights through Empowering Macedonian Civil Society to Monitor and Tackle the Hidden Economy in the FYR of Macedonia	CSD	Coordinator	46,164	n/a
European Commission	HOME/2013/ISEC/AG/FINEC/400000524 8 "Law, Economy, Competition and Administration - Developing a Multidisciplinary Approach in the Fight against Public Procurement Criminality"	Expert Forum Association	Partner	53,081.72	n/a
European Commission	2013 / 332-758 Civil response to clientelism in Media - Media Circle	Expert Forum Association	Partner	74,258.15	n/a
European Commission	HOME/2014/ISFP/AG/EFCE/7211 State-Owned Companies – Preventing Corruption and State Capture	Expert Forum Association	Partner	70696,39	n/a
European Commission, DG Home	HOME/2011/ISEC/AG FIGHTING PUBLIC PROCUREMENT CRIMINALITY. AN OPERATIONAL APPROACH	Expert Forum Association	Partner	17663,8	n/a
Partner European Commission, DG Home	HOME/2011/ISEC/AG/4000002579 DEVELOPMENT OF THE CIVIL SOCIETY INVOLVEMENT IN DRAFTING IMPLEMENTING AND ASSESSING ANTICORRUPTION POLICIES	Expert Forum Association	Partner	7589,49	n/a
European Commission – DG Home	HOME/2012/ISEC/AG/FINEC/400000388 0 SUPPORTING THE CONFISCATION AND RECOVERY OF PROCEEDS OF CRIME IN ROMANIA	Expert Forum Association	Partner	31,587.58	n/a
Hercule III Programme	OLAF/2016/D1/096 Money and politics – linking EU funds and political parties	Expert Forum Association	Coordinator	56,800	http://expertforum.ro/conferinta-banii-si-politica/

European Commission, EaP CSF Secretariat	ENPI/2014/347-121 Support to the activities of the Eastern Partnership Civil Society Forum	Expert Forum Association	Coordinator	7,024.23	n/a
European Commission, the Education, Audiovisual and Culture Agency	588671-CITIZ-1-2017-HU-CITIZ-CIV Active Local Citizens for an Accountable Europe	Expert Forum Association	Partner	32, 240	n/a
Justice Programme & Rights, Equality and Citizenship Programme - 2016	(Grant Agreement No: 764583) Hatemeter - Hate speech tool for monitoring, analysing and tackling Anti-Muslim hatred	UNITN	Coordinator	214,015.41	n/a
Trafficking in Human Beings - 2015	(HOME/2015/ISFP/AG/THBX/4000008751) Financing Organised Crime Activities – focus on Human Trafficking/FINOCA 2.0”	UNITN	Partner	152,620.09	n/a
Economic and financial crime, corruption, environmental crime – 2014	(HOME/2014/ISFP/AG/EFCE/7222) The Private Corruption Barometer - Drafting and piloting a model for a comparative business victimization survey on private corruption in the EU	UNITN	Applicant	187,087.79	www.project-pcb.eu/
Economic and financial crime, corruption, environmental crime – 2014	(HOME/2014/ISFP/AG/EFCE/7221) PAYBACK - Towards a EU Data Management System for Seized Assets	UNITN	Applicant	276,407.28	www.project-payback.eu/
Prevention of and Fight against crime THB - 2014	(HOME/2013/ISEC/AG/THB/4000005855) www.surfandsound.eu - Improving and sharing knowledge on the Internet role in the human trafficking process	UNITN	Applicant	116,308.50	www.surfandsound.eu/
Prevention of and Fight against crime FINEC - 2014	(HOME/2013/ISEC/AG/FINEC/4000005205) STOPFAKE - An ICT tool to collect, monitor and analyse data on counterfeiting and organised crime to support investigation and prevention	UNITN	Partner	173,654.82	n/a
Prevention of and Fight against crime FINEC - 2014	HOME/2013/ISEC/AG/FINEC/4000005192) Fakeshare II – Sharing intelligence and science at EU level about pharma crime and its promotion through web and social networks	UNITN	Partner	64,646.48	www.fakeshare.eu/
FP7-ICT-2013-10 - CT-2013	611333 FP7 CAPS Project - CHEST (Collective Enhanced Awareness for Social Tasks) (Onodo.org)	Fundación Ciudadana Civio	Partner	131,266.67	https://onodo.org/
H2020 INSO 2014-2015	645833 Openbudgets.eu	Fundación Ciudadana	Partner	136,923	http://openbudgets.eu/

		Cívio			
H2020-ICT-2017-1 ICT-14-2	780355 FANDANGO (FAke News discovery and propagation from big Data ANalysis and artificial intelliGence Operations)	Fundación Ciudadana Cívio	Partner	245,625	http://fandango-project.eu/

(*) If the funding was awarded to a partnership, only the amount awarded to the Applicant / Co-applicant should be noted



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ESTIMATED BUDGET FOR THE ACTION (page 1 of 1)

 Associated with document Ref. Ares(2018)6027340 - 25/11/2018

	Estimated eligible ¹ costs (per budget category)									EU contribution ²			Action's estimated receipts		
	A. Direct personnel costs	B. Direct travel and subsistence costs				C. Direct costs of subcontracting	E. Other direct costs	F. Indirect costs	Total costs	Reimbursement rate % ¹	Maximum EU Contribution ³	Maximum grant amount ⁴	Income generated by the action	Financial contributions given by third parties to the beneficiaries	Action's total receipts
	A.1 Employees (or equivalent) A.2 Natural persons under direct contract and seconded persons	B.1 Travel	B. Subsistence				E.1 Equipment E.2 Other goods and services								
Cost form ⁷	Actual	Actual	Actual	Unit ⁸		Actual	Actual	Flat-rate ¹⁰							
	a	b1	[b2]	No	Total [b2]	c	e1	f = flat-rate * (a + b1 + [b2] + c + [d] + e1	g = a + b1 + [b2] + c + [d] + e1 + f	h	i = g * h	j	k	l	m = k + l
1. CSD	109,625.00	3,600.00	4,752.00			0.00	56,702.00	12,227.53	186,906.53	90	168,215.88	168,215.88	0.00	0.00	0.00
2. CIVIO	47,063.00	3,200.00	4,116.00			0.00	11,702.00	4,625.67	70,706.67	90	63,636.00	63,636.00	0.00	0.00	0.00
3. EFOR	49,760.00	3,200.00	4,076.00			0.00	6,932.00	4,477.76	68,445.76	90	61,601.18	61,601.18	0.00	0.00	0.00
4. UNITN	48,960.00	4,000.00	4,964.00			0.00	3,000.00	4,264.68	65,188.68	90	58,669.81	58,669.81	0.00	0.00	0.00
Total consortium	255,408.00	14,000.00	17,908.00			0.00	78,336.00	25,595.64	391,247.64	90	352,122.87	352,122.87	0.00	0.00	0.00

(1) See Article 6 for the eligibility conditions.

(2) The consortium remains free to decide on a different internal distribution of the EU funding (via the consortium agreement; see Article 25.3)

(3) The indirect costs claimed must be free of any amounts covered by an operating grant (received under any EU or Euratom funding programme). A beneficiary that receives an operating grant during the duration of the action cannot claim any indirect costs for the year(s) covered by the operating grant (see Article 6.2.F)

(4) For the reimbursement rate, see Article 5.2

(5) This is the *theoretical* amount of the EU contribution, if the reimbursement rate is applied to all the budgeted costs. This *theoretical* amount is capped by the 'maximum grant amount'

(6) The 'maximum grant amount' is the maximum grant amount decided by the Commission. It normally corresponds to the requested grant, but may be lower

(7) See Article 5 for the cost forms

(8) See Annex 2a 'Additional information on the estimated budget' for the details (units, cost per unit)

(9) See Annex 2a 'Additional information on the estimated budget' for the details (units, cost per unit, estimated number of units, etc).

(10) For the flat rate, see Article 6.2.F

ACCESSION FORM FOR BENEFICIARIES

FUNDACION CIUDADANA CIVIO (CIVIO), established in PASEO SAN FRANCISCO DE SALES 29 PLANTA 7 PUERTA B, MADRID 28003, Spain, ('the beneficiary'), represented for the purpose of signing this Accession Form by the undersigned,

hereby agrees

to become beneficiary No ('2')

in Grant Agreement No 823816 ('the Grant Agreement')

between CENTER FOR THE STUDY OF DEMOCRACY **and** the European Union ('the EU'), represented by the European Commission ('the Commission'),

for the action entitled State Capture Estimation and Monitoring of Anti-Corruption Policies at the Sectoral level (SceMaps).

and mandates

the coordinator to submit and sign in its name and on its behalf any amendments to the Agreement, in accordance with Article 39.

By signing this Accession Form, the beneficiary accepts the grant and agrees to implement it in accordance with the Agreement, with all the obligations and conditions it sets out.

SIGNATURE

For the beneficiary/new beneficiary/new coordinator

ANNEX 3

ACCESSION FORM FOR BENEFICIARIES

ASOCIATIA EXPERT FORUM (EFOR), established in STR. SEMILUNEI 7, SC.B, ET.1, AP.1, SECTOR 2, BUCURESTI 020797, Romania, ('the beneficiary'), represented for the purpose of signing this Accession Form by the undersigned,

hereby agrees

to become beneficiary No ('3')

in Grant Agreement No 823816 ('the Grant Agreement')

between CENTER FOR THE STUDY OF DEMOCRACY **and** the European Union ('the EU'), represented by the European Commission ('the Commission'),

for the action entitled State Capture Estimation and Monitoring of Anti-Corruption Policies at the Sectoral level (SceMaps).

and mandates

the coordinator to submit and sign in its name and on its behalf any amendments to the Agreement, in accordance with Article 39.

By signing this Accession Form, the beneficiary accepts the grant and agrees to implement it in accordance with the Agreement, with all the obligations and conditions it sets out.

SIGNATURE

For the beneficiary/new beneficiary/new coordinator

ACCESSION FORM FOR BENEFICIARIES

UNIVERSITA DEGLI STUDI DI TRENTO (UNITN), established in VIA CALEPINA 14, TRENTO 38122, Italy, VAT number: IT00340520220, ('the beneficiary'), represented for the purpose of signing this Accession Form by the undersigned,

hereby agrees

to become beneficiary No ('4')

in Grant Agreement No 823816 ('the Grant Agreement')

between CENTER FOR THE STUDY OF DEMOCRACY **and** the European Union ('the EU'), represented by the European Commission ('the Commission'),

for the action entitled State Capture Estimation and Monitoring of Anti-Corruption Policies at the Sectoral level (SceMaps).

and mandates

the coordinator to submit and sign in its name and on its behalf any amendments to the Agreement, in accordance with Article 39.

By signing this Accession Form, the beneficiary accepts the grant and agrees to implement it in accordance with the Agreement, with all the obligations and conditions it sets out.

SIGNATURE

For the beneficiary/new beneficiary/new coordinator

① print format A4
landscape

MODEL ANNEX 4 ISFB/ISFP/AMIF/JUST-DRUGS MGA — MULTI

FINANCIAL STATEMENT FOR [BENEFICIARY [name]] / AFFILIATED ENTITY [name]] FOR REPORTING PERIOD [reporting period]

	Eligible ¹ costs (per budget category)										Receipts			EU contribution				
	A. Direct personnel costs	B. Direct travel and subsistence costs			C. Direct costs of subcontracting	<i>[D. Direct costs of fin. support]</i>	E. Other direct costs		F. Indirect costs ²	Total costs	Income generated by the action	Financial contributions given by third parties to the beneficiaries	Total receipts	Reimbursement rate % ³	Maximum EU contribution ⁴	Requested EU contribution		
	A.1 Employees (or equivalent) A.2 Natural persons under direct contract and seconded persons	B.1 Travel	B.2 Subsistence			<i>[D.1 Financial support]</i> <i>[D.2 Prizes]</i>	E.1 Equipment E.2 Other goods and services	<i>[E.3.1 Costs for ad hoc queries]</i>	<i>[E.3.2 Costs for translation of ad hoc queries]</i>									
Cost form ⁵	Actual	Actual	Actual	Unit ⁶		Actual	Actual	Actual	Unit ⁷	Unit ⁷	Flat-rate ⁸							
	a	b1	[b2]	No	Total [b2]	c	[d]	e1	Total [e2]	Total [e3]	f = flat-rate * (a + b1 + [b2] + c [d] + e1 + [e2] + [e3])	g = a+ b1 +[b2] + c + [d] + e1 + [e2] + [e3] + f	h	i	j= h+ i	k	l	m
[short name beneficiary / affiliated entity]																		

The beneficiary/affiliated entity hereby confirms that:

The information provided is complete, reliable and true.

The costs declared are eligible (see Article 6).

The costs can be substantiated by adequate records and supporting documentation that will be produced upon request or in the context of checks, reviews, audits and investigations (see Articles 12, 13 and 17).

For the last reporting period: that all the receipts have been declared (see Article 5.3.3).

① Please declare all eligible costs, even if they exceed the amounts indicated in the estimated budget (see Annex 2). Only amounts that were declared in your individual financial statements can be taken into account later on, in order to replace other costs that are found to be ineligible.

¹ See Article 6 for the eligibility conditions.

² The indirect costs claimed must be free of any amounts covered by an operating grant (received under any EU or Euratom funding programme). A beneficiary that receives an operating grant during the duration of the action cannot claim any indirect costs for the year(s) covered by the operating grant (see Article 6.2.F).

³ See Article 5.2 for the reimbursement rate.

⁴ This is the theoretical amount of EU contribution that the system calculates automatically (by multiplying the reimbursement rate by the total costs declared). The amount you request (in the column 'requested EU contribution') may be less.

⁵ See Article 5.2 for the cost forms.

⁶ See Annex 2a 'Additional information on the estimated budget' for the details (units, cost per unit).

⁷ See Annex 2a 'Additional information on the estimated budget' for the details (units, cost per unit, **estimated number of units**, etc).

⁸ See Article 6.2.F for the flat-rate.



ANNEX 5

MODEL FOR THE CERTIFICATE ON THE FINANCIAL STATEMENT (CFS)

This document sets out:

- the objectives and scope of the independent report of factual findings on costs declared under a EU grant agreement financed under the Internal Security Fund — Borders and Visa, the Internal Security Fund — Police, the Asylum, Migration and Integration Fund or the Justice Programme — Drugs Policy Initiatives and
- a model for the certificate on the financial statement (CFS).

1. Background and subject matter

[OPTION 1 for actions with one RP and NO interim payments: Within 60 days of the end of the reporting period, the coordinator must submit to the Commission a **final report**, which should include (among other documents and unless otherwise specified in Article 15 of the Grant Agreement) a **certified financial statement** (CFS; see proposed model below) for each beneficiary and (if applicable) each affiliated entity, if it requests an EU contribution of EUR 100 000 or more as reimbursement of actual costs..]

[OPTION 2 for actions with several RPs and interim payments: Within 60 days of the end of each reporting period, the coordinator must submit to the Commission a **periodic report**, which should include (among other documents and unless otherwise specified in Article 15 of the Grant Agreement) a **certified financial statement** (CFS; see proposed model below) for each beneficiary and (if applicable) each affiliated entity, if the cumulative amount of EU contribution the beneficiary/affiliated entity requests as reimbursement of actual costs is EUR 100 000 or more.

The CFS must be submitted every time the cumulative amount of payments requested (i.e. including in previous financial statements) reaches the threshold (i.e. a first certificate once the cumulative amount reaches 100 000, a second certificate once it reaches 200 000, a third certificate once it reaches 300 000, etc.).

Once the threshold is reached, the CFS must cover all reporting periods for which no certificate has yet been submitted.]

The beneficiary must provide the CFS for itself and, if applicable, for its affiliated entity(ies).

The **purpose** of the audit on which the CFS is based is to give the Commission ‘reasonable assurance’¹ that costs declared as eligible costs under the grant (and, if relevant, receipts generated in the course of the action) are being claimed by the beneficiary in accordance with the relevant legal and financial provisions of the Grant Agreement.

The **scope** of the audit is limited to the verification of eligible costs included in the CFS. The audit must be conducted in line with point 3 below.

¹ This means a high degree of confidence.

Certifying auditors must carry out the audits in compliance with generally accepted **audit standards** and indicate which standards they have applied. They must bear in mind that, to establish a CFS, they must carry out a compliance audit and not a normal statutory audit. The eligibility criteria in the Grant Agreement always override normal accounting practices.

The beneficiary and the auditor are expected to address any **questions on factual data or detailed calculations** before the financial statement and the accompanying certificate are submitted. It is also recommended that the beneficiary take into account the auditor's preliminary comments and suggestions in order to avoid a qualified opinion or reduce the scope of the qualifications.

Since the certificate is the main source of assurance for cost claims and payments, it will be easier to consider amounts as eligible if a **non-qualified certificate** is provided.

The submission of a certificate does not affect the Commission's right to carry out its **own assessment or audits**. Neither does the reimbursement of costs covered by a certificate preclude the Commission, the European Anti-Fraud Office or the European Court of Auditors from carrying out checks, reviews, audits and investigations in accordance with Article 17 of the Grant Agreement.

The Commission expects the certificates to be issued by auditors according to the highest professional standards.

2. Auditors who may deliver a certificate

The beneficiary is free to choose a **qualified external auditor**, including its usual external auditor, provided that:

- the external auditor is **independent** from the beneficiary and
- the provisions of **Directive 2006/43/EC**² are complied with.

Independence is one of the qualities that permit the auditor to apply unbiased judgement and objective consideration to established facts to arrive at an opinion or a decision. It also means that the auditor works without direction or interference of any kind from the beneficiary.

Auditors are considered as providing services to the beneficiary/affiliated entity under a **purchase contract** within the meaning of Article 9 of the Grant Agreement. This means that the costs of the CFS may normally be declared as costs incurred for the action, if the cost eligibility rules set out in Articles 6 and 9.1.1 of the Grant Agreement are fulfilled (especially: best value for money and no conflict of interests; see also below eligibility of costs of other goods and services). Where the beneficiary/affiliated entity uses its usual external auditor, it is presumed that they already have an agreement that complies with these provisions and there is no obligation to find new bids. Where the beneficiary/affiliated entity uses an external auditor who is not their usual external auditor, it must select an auditor following the rules set out in Article 9.1.1.

² Directive [2006/43/EC](#) of the European Parliament and of the Council of 17 May 2006 on statutory audits of annual accounts and consolidated accounts or similar national regulations (OJ L 157, 9.6.2006, p. 87).

Public bodies can choose an external auditor or a competent public officer. In the latter case, the auditor's independence is usually defined as independence from the audited beneficiary 'in fact and in appearance'. A preliminary condition is that this officer was not involved in any way in drawing up the financial statements. Relevant national authorities establish the legal capacity of the officer to carry out audits of that specific public body. The certificate should refer to this appointment.

3. Audit methodology and expected results

3.1 Verification of eligibility of the costs declared

The auditor must conduct its verification on the basis of inquiry and analysis, (re)computation, comparison, other accuracy checks, observation, inspection of records and documents and by interviewing the beneficiary (and the persons working for it).

The auditor must examine the following documentation:

- the Grant Agreement and any amendments to it;
- the periodical and/or final report(s);
- *for personnel costs*
 - salary slips;
 - time sheets;
 - contracts of employment;
 - other documents (e.g. personnel accounts, social security legislation, invoices, receipts, etc.);
 - proofs of payment;
- *for travel and subsistence costs*
 - the beneficiary's internal rules on travel;
 - transport invoices and tickets (if applicable);
 - declarations by the beneficiary;
 - other documents (proofs of attendance such as minutes of meetings, reports, etc.);
 - proofs of payment;
- *for subcontracting*
 - the call for tender;
 - tenders (if applicable);
 - justification for the choice of subcontractor;
 - contracts with subcontractors;
 - invoices;
 - declarations by the beneficiary;
 - proofs of payment;
 - other documents: e.g. national rules on public tendering if applicable, EU Directives, etc.;
- *for equipment costs*
 - invoices;
 - delivery slips / certificates of first use;
 - proofs of payment;
 - depreciation method of calculation;
- *for costs of other goods and services*
 - invoices;
 - proofs of payment; and



- other relevant accounting documents.

General eligibility rules

The auditor must verify that the costs declared comply with the general eligibility rules set out in Article 6.1 of the Grant Agreement.

In particular, the costs must:

- be actually incurred;
- be linked to the subject of the Grant Agreement and indicated in the beneficiary's estimated budget (i.e. the latest version of Annex 2);
- be necessary to implement the action which is the subject of the grant;
- be reasonable and justified, and comply with the requirements of sound financial management, in particular as regards economy and efficiency;³
- have been incurred during the action, as defined in Article 3 of the Grant Agreement (with the exception of the invoice for the audit certificate and costs relating to the submission of the final report);
- not be covered by another EU or Euratom grant (see below ineligible costs);
- be identifiable, verifiable and, in particular, recorded in the beneficiary's accounting records and determined according to the applicable accounting standards of the country where it is established and its usual cost-accounting practices;
- comply with the requirements of applicable national laws on taxes, labour and social security;
- be in accordance with the provisions of the Grant Agreement (see, in particular, Articles 6 and 9-11a) and
- have been converted to euro at the rate laid down in Article 15.6 of the Grant Agreement:
 - for beneficiaries with accounts established in a currency other than the euro:
Costs incurred in another currency must be converted into euros at the average of the daily exchange rates published in the C series of the [EU Official Journal](#) determined over the corresponding reporting period.
If no daily euro exchange rate is published in the EU Official Journal for the currency in question, the rate used must be the average of the monthly accounting rate established by the Commission and published on its [website](#);
 - for beneficiaries with accounts established in euro:
Costs incurred in another currency should be converted into euros applying the beneficiary's usual accounting practice.

The auditor must verify whether expenditure includes **VAT** and, if so, verify that the beneficiary:

- cannot recover the VAT (this must be supported by a statement from the competent body) and
- is not a public body acting as a public authority.

The auditor should base his/her audit approach on the **confidence level** following a review of the beneficiary's internal control system. When using sampling, the auditor should indicate and justify the sampling size.

³ To be assessed in particular on the basis of the procurement and selection procedures for service providers.

Specific eligibility rules

In addition, the auditor must verify that the costs declared comply with the specific cost eligibility rules set out in Article 6.2 and Articles 9.1.1, 10.1.1, 11.1.1, 11a.1.1 and 11a.2.1 of the Grant Agreement.

Personnel costs

The auditor must verify that:

- personnel costs have been charged and paid in respect of the actual time devoted by the beneficiary's personnel to implementing the action (justified on the basis of time sheets or other relevant time-recording system);
- personnel costs were calculated on the basis of annual gross salary, wages or fees (plus obligatory social charges, but excluding any other costs) specified in an employment or other type of contract, not exceeding the average rates corresponding to the beneficiary's usual policy on remuneration;
- the work was carried out during the period of implementation of the action, as defined in Article 3 the Grant Agreement;
- the personnel costs are not covered by another EU or Euratom grant (see below ineligible costs);
- for additional remunerations: the 2 conditions set out in Article 6.2.A.1 of the Grant Agreement are met (i.e. that it is part of the beneficiary's usual remuneration practices and is paid in a consistent manner whenever the same kind of work or expertise is required and that the criteria used to calculate the supplementary payments are objective and generally applied by the beneficiary, regardless of the source of funding used);
- for in-house consultants: the 3 conditions set out in Article 6.2.A.2 of the Grant Agreement are met (i.e. that the in-house consultant works under the beneficiary's instructions, that the result of the work carried out belongs to the beneficiary, and that the costs are not significantly different from those for personnel performing similar tasks under an employment contract).

The auditor should have assurance that the management and accounting system ensures proper allocation of the personnel costs to various activities carried out by the beneficiary and funded by various donors.

Travel and subsistence costs

The auditor must verify that travel and subsistence costs:

- have been charged and paid in accordance with the beneficiary's internal rules or usual practices (or, in the absence of such rules or practices, that they do not exceed the scale normally accepted by the Commission);
- are not covered by another EU or Euratom grant (see below ineligible costs)
- were incurred for travels linked to action tasks set out in Annex 1 of the Grant Agreement.

Subcontracting costs

The auditor must verify that:

- the subcontracting complies with best value for money (or lowest price) and that there was no conflict of interests;
- the subcontracting was necessary to implement the action for which the grant is requested;
- the subcontracting was provided for in Annex 1 and Annex 2 or agreed to by the Commission at a later stage;
- the subcontracting is supported by accounting documents in accordance with national accounting law;
- public bodies have complied with the national rules on public procurement.

Equipment costs

The auditor must verify that:

- the equipment was acquired during the period of implementation of the action, as defined in Article 3 of the Grant Agreement;
- the equipment is purchased, rented or leased at normal market prices;
- public bodies have complied with the national rules on public procurement;
- the equipment is written off, depreciation has been calculated according to the tax and accounting rules applicable to the beneficiary and only the portion of the depreciation corresponding to the duration of the action has been declared and
- the costs are not covered by another EU or Euratom grant (see below ineligible costs).

Costs of other goods and services

The auditor must verify that:

- the purchase complies with best value for money (or lowest price) and that there was no conflict of interests;
- public bodies have complied with the national rules on public procurement;
- the costs are not covered by another EU or Euratom grant (see below ineligible costs).

Ineligible costs

The auditor must verify that the beneficiary has not declared any costs that are ineligible under Article 6.4 of the Grant Agreement:

- costs relating to return on capital;
- debt and debt service charges;
- provisions for future losses or debts;
- interest owed;
- doubtful debts;
- currency exchange losses;
- bank costs charged by the beneficiary's bank for transfers from the Commission;
- excessive or reckless expenditure;
- deductible VAT;
- VAT incurred by a public body acting as a public authority;
- costs incurred during suspension of the implementation of the action;
- in-kind contributions from third parties;
- costs declared under other EU or Euratom grants (including those awarded by a Member State and financed by the EU or Euratom budget or awarded by bodies other than the Commission for the purpose of implementing the EU or Euratom budget); in



particular, indirect costs if the beneficiary is already receiving an operating grant financed by the EU or Euratom budget in the same period;

- costs incurred for permanent staff of a national administration for activities that are part of its normal activities (i.e. not undertaken only because of the grant);
- costs incurred for staff or representatives of EU institutions, bodies or agencies.

3.2 Verification of receipts

The auditor must verify that the beneficiary has declared receipts within the meaning of Article 5.3.3 of the Grant Agreement, i.e.:

- income generated by the action (e.g. from the sale of products, services and publications, conference fees) and
- financial contributions given by third parties, specifically to be used for costs that are eligible under the action.

3.3 Verification of the beneficiary's accounting system

The auditor must verify that:

- the accounting system (analytical or other suitable internal system) makes it possible to identify **sources of financing** for the action and related expenses incurred during the contractual period and
- expenses/income under the grant have been recorded systematically using a numbering system that **distinguishes** them from expenses/income for other projects.

**Certificate on the financial statement (CFS)**

To

[Beneficiary/affiliated entity's full name
address]

We, [full name of the audit firm/organisation], established in [full address/city/country], represented for signature of this audit certificate by [name and function of an authorised representative],

hereby certify

that:

1. We have **conducted an audit** relating to the costs declared in the financial statement of [name of beneficiary/affiliated entity] (the [beneficiary's]/[affiliated entity's]), to which this audit certificate is attached and which is to be presented to the European Commission under Grant Agreement No [insert number] — [insert acronym], covering costs for the following reporting period(s): [insert reporting period(s)].
2. We confirm that our audit was **carried out in accordance with generally accepted auditing standards** in compliance with ethical rules and on the basis of the provisions of the **Grant Agreement** and its Annexes (and in particular the audit methodology described in Annex 5).
3. The financial statement was examined and all necessary tests of [all/[X]]% of the supporting documentation and accounting records were carried out in order to obtain **reasonable assurance that**, in our opinion and on the basis of our audit
 - total **costs of EUR [insert number]** ([insert amount in words]) are eligible, i.e.:
 - actual;
 - determined in accordance with the [beneficiary's]/[affiliated entity's] accounting principles;
 - incurred during the period referred to in Article 3 of the Grant Agreement;
 - recorded in the [beneficiary's]/[affiliated entity's] accounts (at the date of this audit certificate);
 - comply with the specific eligibility rules in Article 6.2 of the Grant Agreement;
 - do not contain costs that are ineligible under Article 6.4 of the Grant Agreement, in particular:
 - costs relating to return on capital;
 - debt and debt service charges;
 - provisions for future losses or debts;
 - interest owed;
 - doubtful debts;
 - currency exchange losses;
 - bank costs charged by the [beneficiary's]/[affiliated entity's] bank for transfers from the Commission
 - excessive or reckless expenditure;



- deductible VAT;
 - VAT incurred by a public body acting as a public authority;
 - costs incurred during suspension of the implementation of the action;
 - in-kind contributions provided by third parties;
 - costs declared under other EU or Euratom grants (including those awarded by a Member State and financed by the EU or Euratom budget or awarded by bodies other than the Commission for the purpose of implementing the EU or Euratom budget); in particular, indirect costs if the [beneficiary]/[affiliated entity] is already receiving an operating grant financed by the EU or Euratom budget in the same period;
 - costs incurred for permanent staff of a national administration, for activities that are part of its normal activities (i.e. not undertaken only because of the grant);
 - costs incurred for staff or representatives of EU institutions, bodies or agencies;
 - [are claimed according to the euro conversion rate referred to in Article 15.6 of the Grant Agreement;]
- total **receipts** of EUR [insert number] ([insert amount in words]) have been declared under Article 5.3.3 of the Grant Agreement and
- the [beneficiary's]/[affiliated entity's] **accounting procedures** are in compliance with the accounting rules of the state in which it is established and permit direct reconciliation of the costs incurred for the implementation of the action covered by the EU grant with the overall statement of accounts relating to its overall activity.

[However, our audit opinion is **qualified** for:

- costs of EUR [insert number]
- receipts of EUR [insert number]

which in our opinion do not comply with the applicable rules.]

4. We are qualified/authorised to deliver this audit certificate [(for additional information, see appendix to this certificate)].
5. The [beneficiary]/[affiliated entity] paid a **price** of EUR [insert number] (including VAT of EUR [insert number]) for this audit certificate. **[OPTION 1:** These costs are eligible (i.e. incurred within 60 days of the end of the action referred to in Article 3 of the Grant Agreement) and included in the financial statement.**][OPTION 2:** These costs were not included in the financial statement.]

Date, signature and stamp



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EUROPEAN COMMISSION
Directorate-General for Migration and Home Affairs
Migration and Security Funds; Financial Resources
Union actions and Procurement

AMENDMENT Reference No AMD-823816-3

Grant Agreement: 823816 — State Capture Estimation and Monitoring of Anti-Corruption Policies at the Sectoral level (SceMaps)

The parties agree to amend the Grant Agreement as follows ('**Amendment**')

1. Change of Annex 1 (description of the action)

Annex 1 is changed and replaced by the Annex 1 attached to this Amendment.

2. Change of the action's duration

The duration of the action in **Article 3** is changed to 28 months.

3. Change of the reporting periods

The reporting period is changed.

This implies the **following changes** to the Grant Agreement:

- The reporting periods in **Article 15.2** are replaced by:
 - RP1: from month 1 to month 28

All other provisions of the Grant Agreement and its Annexes remain unchanged.

This Amendment **enters into force** on the day of the last signature.

This Amendment **takes effect** on the date on which the amendment enters into force, except where a different date has been agreed by the parties (for one or more changes).

Please inform the other members of the consortium of the Amendment.

SIGNATURES

For the coordinator

For the Commission

Enclosures:

Annex 1



EUROPEAN COMMISSION

Directorate-General for Migration and Home Affairs

HOME.E – Migration and Security Funds; Financial Resources

E.4 – Union actions and Procurement

ANNEX 1 (part A)

ISF-Police Action Grant

NUMBER — 823816 — SceMaps

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1.1. The project summary

Project Number ¹	823816	Project Acronym ²	SceMaps
One form per project			
General information			
Project title ³	State Capture Estimation and Monitoring of Anti-Corruption Policies at the Sectoral level		
Starting date ⁴	01/01/2019		
Duration in months ⁵	28		
Call (part) identifier ⁶	ISFP-2017-AG-CORRUPT		
Topic	ISFP-2017-AG-CORRUPT Corruption		
Fixed EC Keywords			
Free keywords	Anti-corruption; integrated risk assessment tool; state capture; sectoral level; AC policies at institutional level; public-access web-based platform; big data; civil society; training; best practices		
Abstract ⁷			
<p>SceMaps is designed to contribute to the fight against corruption in the EU by delivering impact on multiple levels and providing for long-term sustainability. The project aims at tackling anti-corruption deficiencies in high-risk EU member states (MSs) by developing and implementing an integrated risk assessment tool for estimating state capture and monitoring of anti-corruption policies at the sectoral level (SceMaps). SceMaps addresses the most serious corruption threat - state capture, by assessing high-risk economic sectors through a combination of qualitative and quantitative methods, big data analysis and media content alert system. Designed for easy replication and take-up by EU MSs' public administrations, SceMaps will allow EU authorities to build evolving, risk-responsive instruments to assess and tackle corruption and capture risks in regulatory heavy areas and industries, such as public procurement, pharmaceuticals (healthcare), and construction. The action will develop and implement an integrated tool based on:</p> <ul style="list-style-type: none"> (a) Assessment of state capture risks on sectoral level (b) Evaluation of the enforceability and impact of anti-corruption measures and policies on the level of individual public institutions, relevant to the identified high-risk economic sectors (c) Integration of cross-sectional big data analysis (d) Development of a publicly accessible web-based platform with interactive analytics and company/institutional profiling and media content alert system. <p>The consortium will develop blueprint for best practices implementation and data exchange for civil society oversight and will conduct a training module on methodological development and implementation for civil society organisations. Public-private dialogue on EU level will be ensured by effective project design, outreach and dissemination, targeting civil society, researchers, public institutions, business associations and EU and national decision-making bodies as main beneficiaries of the action.</p>			

1.2. List of Beneficiaries

Project Number ¹	823816	Project Acronym ²	SceMaps
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List of Beneficiaries

No	Name	Short name	Country	Project entry date ⁸	Project exit date
1	CENTER FOR THE STUDY OF DEMOCRACY	CSD	Bulgaria		
2	FUNDACION CIUDADANA CIVIO	CIVIO	Spain		
3	ASOCIATIA EXPERT FORUM	EFOR	Romania		
4	UNIVERSITA DEGLI STUDI DI TRENTO	UNITN	Italy		

1.3. Workplan Tables - Detailed implementation

1.3.1. WT1 List of work packages

WP Number ⁹	WP Title	Lead beneficiary ¹⁰	Start month ¹²	End month ¹³
WP1	Management and Coordination of the Action	1 - CSD	1	28
WP2	Developing an integrated risk assessment tool for estimating state capture and monitoring of anti-corruption policies at the sectoral level (SceMaps)	1 - CSD	1	13
WP3	SceMaps pilot implementation	1 - CSD	13	28
WP4	Engagement and dissemination of best practices	2 - CIVIO	1	28

1.3.2. WT2 list of deliverables

Deliverable Number¹⁴	Deliverable Title	WP number⁹	Lead beneficiary	Type¹⁵	Dissemination level¹⁶	Due Date (in months)¹⁷
D1.1	1.1. Work Plan	WP1	1 - CSD	Report	Confidential, only for members of the consortium (including the Commission Services)	1
D1.2	1.2.1. Monitoring and evaluation workshop minutes (first workshop)	WP1	4 - UNITN	Report	Confidential, only for members of the consortium (including the Commission Services)	2
D1.3	1.2.2. Monitoring and evaluation workshop minutes (second workshop)	WP1	2 - CIVIO	Report	Confidential, only for members of the consortium (including the Commission Services)	7
D1.4	1.2.3. Monitoring and evaluation workshop minutes (third workshop)	WP1	3 - EFOR	Report	Confidential, only for members of the consortium (including the Commission Services)	17
D1.5	1.2.4. Monitoring and evaluation workshop minutes (fourth workshop)	WP1	4 - UNITN	Report	Confidential, only for members of the consortium (including the Commission Services)	27
D2.1	2.1. Mapping Report	WP2	1 - CSD	Report	Public	6
D2.2	2.2. Progress report on the draft methodology and pilot results from the integration of the big data tools	WP2	1 - CSD	Report	Confidential, only for members of the consortium (including the Commission Services)	12
D2.3	2.3. MACPI SC questionnaires	WP2	1 - CSD	Report	Confidential, only for members of the consortium (including the Commission Services)	7
D2.4	2.4. MACPI Institutions questionnaires	WP2	1 - CSD	Report	Confidential, only for members of the consortium (including the	11

Deliverable Number¹⁴	Deliverable Title	WP number⁹	Lead beneficiary	Type¹⁵	Dissemination level¹⁶	Due Date (in months)¹⁷
					Commission Services)	
D2.5	2.5. Publicly accessible web-based platform with interactive analytics and company/institutional profiling (PROTOTYPE/TEST)	WP2	1 - CSD	Websites, patents filling, etc.	Public	12
D2.6	2.6. State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level (DRAFT)	WP2	1 - CSD	Report	Confidential, only for members of the consortium (including the Commission Services)	12
D3.1	3.1 Three Policy and Regulatory Capture Reports	WP3	1 - CSD	Report	Public	27
D3.2	3.2 State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level	WP3	1 - CSD	Report	Public	26
D3.3	3.3 Publicly accessible web-based platform with interactive analytics and company/institutional profiling	WP3	1 - CSD	Websites, patents filling, etc.	Public	24
D3.4	3.4 Progress report on the draft integrated tool's implementation	WP3	1 - CSD	Report	Confidential, only for members of the consortium (including the Commission Services)	27
D4.1	4.1.1 Newsletter (issue 1)	WP4	4 - UNITN	Report	Public	10
D4.2	4.1.1 Newsletter (issue 2)	WP4	4 - UNITN	Report	Public	13
D4.3	4.1.1 Newsletter (issue 3)	WP4	4 - UNITN	Report	Public	16
D4.4	4.1.1 Newsletter (issue 4)	WP4	4 - UNITN	Report	Public	23
D4.5	4.1.1 Newsletter (issue 5)	WP4	4 - UNITN	Report	Public	26
D4.6	4.2 Engagement and dissemination strategy	WP4	2 - CIVIO	Report	Confidential, only for members of the consortium (including the	2

Deliverable Number¹⁴	Deliverable Title	WP number⁹	Lead beneficiary	Type¹⁵	Dissemination level¹⁶	Due Date (in months)¹⁷
					Commission Services)	
D4.7	4.3.1 Media Note (issue 1)	WP4	2 - CIVIO	Report	Public	7
D4.8	4.3.2 Media Note (issue 2)	WP4	2 - CIVIO	Report	Public	13
D4.9	4.3.3 Media Note (issue 3)	WP4	2 - CIVIO	Report	Public	21
D4.10	4.3.4 Media Note (issue 5)	WP4	2 - CIVIO	Report	Public	25
D4.11	4.3.5 Media Notes (issue 7)	WP4	2 - CIVIO	Report	Public	27
D4.12	4.4 Investigative journalism article	WP4	2 - CIVIO	Report	Public	26
D4.13	4.5 Academic publication	WP4	1 - CSD	Report	Public	27
D4.14	4.6 Social media accounts	WP4	2 - CIVIO	Websites, patents filling, etc.	Public	2
D4.15	4.7.1 Infographic (issue 1)	WP4	2 - CIVIO	Websites, patents filling, etc.	Public	6
D4.16	4.7.2 Infographic (issue 2)	WP4	2 - CIVIO	Websites, patents filling, etc.	Public	11
D4.17	4.7.3 Infographic (issue 3)	WP4	2 - CIVIO	Websites, patents filling, etc.	Public	14
D4.18	4.7.4 Infographic (issue 4)	WP4	2 - CIVIO	Websites, patents filling, etc.	Public	25
D4.19	4.7.5 Infographic (issue 5)	WP4	2 - CIVIO	Websites, patents filling, etc.	Public	27
D4.20	4.8 Project materials (logo, banners, presentation templates, design)	WP4	2 - CIVIO	Websites, patents filling, etc.	Public	5
D4.21	Media Note (issue 4)	WP4	2 - CIVIO	Report	Public	23
D4.22	Media Note (issue 6)	WP4	2 - CIVIO	Report	Public	26
D4.23	Media Note (issue 8)	WP4	2 - CIVIO	Report	Public	28

1.3.3. WT3 Work package descriptions

Work package number ⁹	WP1	Lead beneficiary ¹⁰	1 - CSD
Work package title	Management and Coordination of the Action		
Start month	1	End month	28

Objectives

Work package 1 is intended for all activities related to the general management and coordination of the action (meetings, coordination, project monitoring and evaluation, financial management) and all the activities which are cross cutting and therefore difficult to assign just to one specific work package.

Description of work and role of partners

WP1 - Management and Coordination of the Action [Months: 1-28]

CSD

1.1. Advisory Board

An Advisory Board will be established with the specific purpose to review, assess and give feedback during the process of methodological development of the proposed set of tools. The Board will consist of seven external experts with proven track record and long-standing achievements in the fields of corruption assessment and anti-corruption research. The Members of the Advisory Board will participate actively in Work packages 2 and 3, providing feedback and input for the internal management (progress) reports (see Deliverables 2.2, 3.4) and attending project events.

1.2. Consortium Management Committee

The Consortium Management Committee (CMC) will consist of four members (one representative of the Applicant and one representative of each Co-applicant organisation). It will be responsible for providing managerial guidance in the course of the project and for exercising oversight over the implementation of the foreseen activities. The CMC's tasks will include the development and regular update of the project work plan (activity 1.3), distribution (and, where necessary, re-distribution) of tasks among the project team members, review and approval of project outputs, and solution of any substantive, administrative and financial issues that might occur during the implementation of the action. The CMC will have the prime responsibility to mitigate any potential risks that may arise. The CMC will be responsible for the monitoring of the action, as well as for final reporting and evaluation of the project.

1.3 Planning

The operational planning of the project will be incorporated into a work plan document for internal use. The CMC will develop the and approve it during the project's kick-off workshop (activity 1.4). The Work Plan will be adaptive tool to coordinate the overall effort, while ensuring the following of the pre-approved timeline. The Work Plan will be based on the description of the action and organised by work packages, activities and outputs. It will indicate the partner organisations and the project team members responsible for the execution of each task, the interim (internal) and final deadlines for its completion, and any other relevant information (e.g. notes indicating progress, modifications, etc.). The revisions and updates to the work plan will be done through consultations among the CMC members via e-mail and/or conference calls as well as during the Monitoring and evaluation workshops and other internal meetings.

1.4 Monitoring and evaluation workshops

A total of four monitoring and evaluation (internal) workshops will be organised throughout the project cycle. (1) A Kick-Off Workshop will be held in Sofia, in the very beginning of the action, so that the project partners can be briefed on and discuss the Commission's managerial, financial and accounting requirements. The kick-off workshop will also serve to identify and select the members of the Advisory Board. (2 & 3) Two Progress Workshops, organised in Madrid and Bucharest, will be held to discuss methodological issues and implementation will subsequently gather both partners and members of the Advisory Board under Work Packages 2 and 3. If necessary, additional internal meetings for follow up and discussions on the status of the project will be organised online, and/or held back to back with the public events under Work Package 4. (4) A Concluding Workshop for internal coordination will be organised together with the international conference under Work Package 4. It will bring together the members of the CMC who will review and assess the overall implementation of the project, discuss the reporting procedure, and outline follow-up activities for achieving better sustainability of the project results.

The discussions and decisions from each workshop will be summarised in written minutes, which will be approved by the CMC and sent to all project partners.

1.5 Reporting of the action

Coordinated by the Applicant and the CMC, all partner organisations will be responsible for the timely reporting of the project activities, in line with the Commission's requirements.

Participation per Partner

Partner number and short name ¹⁰
1 - CSD
2 - CIVIO
3 - EFOR
4 - UNITN

List of deliverables

Deliverable Number ¹⁴	Deliverable Title	Lead beneficiary	Type ¹⁵	Dissemination level ¹⁶	Due Date (in months) ¹⁷
D1.1	1.1. Work Plan	1 - CSD	Report	Confidential, only for members of the consortium (including the Commission Services)	1
D1.2	1.2.1. Monitoring and evaluation workshop minutes (first workshop)	4 - UNITN	Report	Confidential, only for members of the consortium (including the Commission Services)	2
D1.3	1.2.2. Monitoring and evaluation workshop minutes (second workshop)	2 - CIVIO	Report	Confidential, only for members of the consortium (including the Commission Services)	7
D1.4	1.2.3. Monitoring and evaluation workshop minutes (third workshop)	3 - EFOR	Report	Confidential, only for members of the consortium (including the Commission Services)	17
D1.5	1.2.4. Monitoring and evaluation workshop minutes (fourth workshop)	4 - UNITN	Report	Confidential, only for members of the consortium (including the Commission Services)	27

Description of deliverables

1.1. Work Plan, Electronic, up to 10 pages, in English
 1.2.1 Monitoring and evaluation workshop minutes (first workshop), Electronic, up to 5 pages, in English
 1.2.2 Monitoring and evaluation workshop minutes (second workshop) Electronic, up to 5 pages, in English
 1.2.3 Monitoring and evaluation workshop minutes (third workshop) Electronic, up to 5 pages, in English
 1.2.4 Monitoring and evaluation workshop minutes (fourth workshop) Electronic, up to 5 pages, in English
 D1.1 : 1.1. Work Plan [1]

Electronic document, up to 10 pages, in English. The operational planning of the project will be incorporated into a work plan document for internal use. The Consortium Management Committee (CMC) will develop the and approve it during the project's kick-off workshop (activity 1.4). The Work Plan will be adaptive tool to coordinate the overall effort, while ensuring the following of the pre-approved timeline. The Work Plan will be based on the description of the action and organised by work packages, activities and outputs. It will indicate the partner organisations and the project team members responsible for the execution of each task, the interim (internal) and final deadlines for its completion, and any other relevant information (e.g. notes indicating progress, modifications, etc.). The revisions and updates to the work plan will be done through consultations among the CMC members via e-mail and/or conference calls as well as during the Monitoring and evaluation workshops and other internal meetings.

D1.2 : 1.2.1. Monitoring and evaluation workshop minutes (first workshop) [2]

Monitoring and evaluation workshop minutes (of the first workshop), Electronic, up to 5 pages, in English. The Kick-Off Workshop will be held in Sofia, in the very beginning of the action, so that the project partners can be briefed on and discuss the Commission's managerial, financial and accounting requirements. The kick-off workshop will also serve to identify and select the members of the Advisory Board.

D1.3 : 1.2.2. Monitoring and evaluation workshop minutes (second workshop) [7]

Monitoring and evaluation workshop minutes (of the second workshop), Electronic, up to 5 pages, in English. The Progress Workshop, organised in Madrid will be held to discuss methodological issues and implementation will subsequently gather both partners and members of the Advisory Board under Work Packages 2 and 3.

D1.4 : 1.2.3. Monitoring and evaluation workshop minutes (third workshop) [17]

Monitoring and evaluation workshop minutes (of the third workshop), Electronic, up to 5 pages, in English. The Progress Workshop, organised in Bucharest, will be held to discuss methodological issues and implementation will subsequently gather both partners and members of the Advisory Board under Work Packages 2 and 3.

D1.5 : 1.2.4. Monitoring and evaluation workshop minutes (fourth workshop) [27]

Monitoring and evaluation workshop minutes (fourth workshop) Electronic, up to 5 pages, in English. A Concluding Workshop for internal coordination will be organised together with the international conference (in Brussels) under Work Package 4.

Schedule of relevant Milestones

Milestone number¹⁸	Milestone title	Lead beneficiary	Due Date (in months)	Means of verification
--------------------------------------	------------------------	-------------------------	-----------------------------	------------------------------

Work package number ⁹	WP2	Lead beneficiary ¹⁰	1 - CSD
Work package title	Developing an integrated risk assessment tool for estimating state capture and monitoring of anti-corruption policies at the sectoral level (SceMaps)		
Start month	1	End month	13

Objectives

Development of an integrated tool to estimate and provide diagnostics of state capture at the level of economic sectors and assess and monitor the anticorruption policies and policy tools related to the sector as well as those anticorruption policies which are implemented in the public organization relevant to the sector. The methodology is based upon tested, on EU-level, methodologies: MACPI State Capture developed for assessing the degree of state capture on national level (piloted in Italy, Bulgaria, Spain and Romania); and MACPI Institutions, evaluating the effectiveness and enforceability of anti-corruption measures at the level of individual public bodies (piloted in multiple institutions in Bulgaria and Italy). The action builds on this experience by adapting the abovementioned tools to quantitative assessment of the prevalence and spread of capture processes in particular high-risk economic sectors, exhibiting high monopolization and ineffective regulations, public procurement concentration, and lobbyist laws. This will be achieved by:

- adapting the MACPI State Capture experts' survey for sectoral level and integrating the results with the information obtained through the other instruments of the integrated tool;
- assessing the anticorruption setup of key institutions in the sectors with MACPI Institutions and integrating the results with the information obtained through the other instruments of the integrated tool;
- integrating cross-sectional data analysis and development of a publicly accessible web-based platform with interactive analytics and company/institutional profiling.

Description of work and role of partners

WP2 - Developing an integrated risk assessment tool for estimating state capture and monitoring of anti-corruption policies at the sectoral level (SceMaps) [Months: 1-13]

CSD

2.1. Mapping analysis

The project partners will initially map the policy, market, institutional, technical and data availability aspects, related to the three pre-selected NACE economic sectors: Wholesale of solid, liquid and gaseous fuels and related products; Wholesale of pharmaceutical goods; Construction. The three sectors are identified as high-risk during a previously performed national assessment of the risk of state capture in Bulgaria, Italy, Spain and Romania.

More specifically, the activity will produce a Mapping report based on desk research, analysing the following main aspects in the target 4 EU MSs: country-specific information about the three diagnosed sectors including public organizations playing important role in regulating or/and controlling the sectors in the particular countries, anti-corruption and anti-trust laws and policies relevant to the three sectors, European public registers as well as local registers with data relevant to the assessment of the sectors and the development of risk indicators.

2.2. Developing an integrated risk assessment tool for state capture estimation and monitoring of anti-corruption policies at the sectoral level (SceMaps).

The project partners will adapt for the specific sectoral level the existing methodologies from the MACPI family (developed through previous DG Home grants) for assessment of state capture and assessment and monitoring of anticorruption policies' implementation and enforcement. The methodology is based on the preparation of two types of expert surveys, tailored to public officials, working in institutions related to the selected economic sectors, and qualified independent experts. Separate expert questionnaires (a total of 3) will be developed for each of the three sectors, targeting a minimum of 60 respondents per sector in each country. The questionnaires will be translated into national languages and will be adapted for the particular country, including the relevant list of public organisations for their sectors which typically varies slightly from country to country. Alternatively, the questionnaire could be developed in such a way so as to assess government functions relevant to the three sectors instead of particular public organizations. This approach would allow for comparisons between the four countries and the development of quantitative indicators for each of the sectors. Besides assessment of the government control and regulation related to the sector, the questionnaire will include sector-specific questions and questions related to assessment of anti-corruption and anti-trust laws and policies in the sector.

In addition, separate MACPI Institutions questionnaires will be developed for 2 key public organizations for the three sectors for each of the countries (a total of 8 questionnaires). These questionnaires will assess the anticorruption setup in relevant public organizations critical for one or more of the assessed sectors. One questionnaire per institution will be

developed based on the available description of the anti-corruption setup, policies in place, measures, etc. The particular institutions will be selected based on the results from the MACPI State Capture survey.

2.3. Integration of cross-sectional data analysis and development of a publicly accessible web-based platform with interactive analytics and company/institutional profiling

SceMaps will be reinforced by the integration of big data analysis, market concentration and identification of red flags, based on data from procurement (mainly through the use of the TED database) and from media content red analysis. As a final outcome, the project partners will deliver a web-based publicly accessible interactive platform which will display both company (supplier) and institutional (buyer) rankings as per concentration of number and value of contracts, recent procurement activity red flags, media suspicious activity report alerts, in addition to specifically identified institutional profiling (performed by MACPI Institutions instrument). At the stage of development a prototype of the platform will be initially launched and tested.

The platform will work with contract award data from the period between 2010 and the end date of the project action and will focus on companies and institutions in the three targeted economic sectors in the 4 project countries. The platform will be interactive in the sense that it will display all relevant data encompassing the mentioned period with up-to-date analysis as per the end date of the project. It can then be dynamised, and regularly updated based on commercial subscription interest. It will offer interactive analytics and profiling of companies. Following its piloting in the four project countries it can then ideally be extended to cover all 28 countries with real-time coverage. For each ranked company and institution, the platform will feature interactive procurement activity related buyer/supplier profile, full project history, and media profile. All rankings (company and institution lists), project history (tender lists), relevant media history (articles lists) and individual company and institutional reports will be exportable in variety of formats (.csv, txt, PDF where relevant /for profiles/).

2.4 Monitoring and evaluation of methodology development

The project partners will ensure the quality of the methodological process through the preparation of an internal management (progress) reports (D2.3 and D3.4). The first report will contain draft of the methodology and pilot results from the integration of the big data tools. The Reports will be subject to discussions and feedback, provided by the Advisory Board. In parallel the consortium will be drafting of a comprehensive State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level (see activity 3.1)

2.5 Stakeholders round table

The round table will provide the opportunity for stakeholders to discuss and give additional feedback to the proposed integrated tool. The round table will be organised in Brussels, so as to gather representatives with interest and knowledge of the four participating countries, experts working in EU institutions, directly involved in the 3 sectors, and members of the Advisory Board. The project partners will discuss the status of the work and the internal management (progress) report, containing draft of the methodology and pilot results from the integration of the big data tools. The event is planned to host 25 participants and will be used for testing and streamlining the action's approach.

Participation per Partner

Partner number and short name¹⁰

- 1 - CSD
- 2 - CIVIO
- 3 - EFOR
- 4 - UNITN

List of deliverables

Deliverable Number ¹⁴	Deliverable Title	Lead beneficiary	Type ¹⁵	Dissemination level ¹⁶	Due Date (in months) ¹⁷
D2.1	2.1. Mapping Report	1 - CSD	Report	Public	6
D2.2	2.2. Progress report on the draft methodology	1 - CSD	Report	Confidential, only for members of the	12

List of deliverables

Deliverable Number ¹⁴	Deliverable Title	Lead beneficiary	Type ¹⁵	Dissemination level ¹⁶	Due Date (in months) ¹⁷
	and pilot results from the integration of the big data tools			consortium (including the Commission Services)	
D2.3	2.3. MACPI SC questionnaires	1 - CSD	Report	Confidential, only for members of the consortium (including the Commission Services)	7
D2.4	2.4. MACPI Institutions questionnaires	1 - CSD	Report	Confidential, only for members of the consortium (including the Commission Services)	11
D2.5	2.5. Publicly accessible web-based platform with interactive analytics and company/institutional profiling (PROTOTYPE/TEST)	1 - CSD	Websites, patents filling, etc.	Public	12
D2.6	2.6. State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level (DRAFT)	1 - CSD	Report	Confidential, only for members of the consortium (including the Commission Services)	12

Description of deliverables

The WP deliverables include:

- Mapping Report, Printed, 40 pages, 300 copies, in English, targeted at civil society, businesses, business associations, academics, public institutions, policy makers.
- Report on the draft methodology and pilot results from the integration of the big data tools, Electronic, 20 pages, in English.
- MACPI SC questionnaires, Electronic, 10 pages, 3 sectoral survey (to be tailored for each country) - in Bulgarian, Spanish, Italian, Romanian, English.
- MACPI Institutions questionnaires, Electronic, 10 pages, Electronic, 10 pages, 8 institutional surveys (to be tailored for each institution) - in Bulgarian, Spanish, Italian, Romanian, English.
- Electronic Publicly accessible web-based platform with interactive analytics and company/institutional profiling (PROTOTYPE/TEST). SeeMaps will be reinforced by the integration of big data analysis, market concentration and identification of red flags, based on data from procurement (mainly through the use of the TED database) and from media content red analysis. As a final outcome, the project partners will deliver a web-based publicly accessible interactive platform which will display both company (supplier) and institutional (buyer) rankings as per concentration of number and value of contracts, recent procurement activity red flags, media suspicious activity report alerts, in addition to specifically identified institutional profiling (performed by MACPI Institutions instrument). At the stage of development a prototype of the platform will be initially launched and tested.
- State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level (DRAFT), Electronic, 30 pages.

D2.1 : 2.1. Mapping Report [6]

Mapping Report, Printed, 40 pages, 300 copies, in English, targeted at civil society, businesses, business associations, academics, public institutions, policy makers. The project partners will initially map the policy, market, institutional, technical and data availability aspects, related to the three pre-selected NACE economic sectors: Wholesale of solid,

liquid and gaseous fuels and related products; Wholesale of pharmaceutical goods; Construction. The three sectors are identified as high-risk during a previously performed national assessment of the risk of state capture in Bulgaria, Italy, Spain and Romania. More specifically, the activity will produce a Mapping report based on desk research, analysing the following main aspects in the target 4 EU MSs: country-specific information about the three diagnosed sectors including public organizations playing important role in regulating or/and controlling the sectors in the particular countries, anti-corruption and anti-trust laws and policies relevant to the three sectors, European public registers as well as local registers with data relevant to the assessment of the sectors and the development of risk indicators.

D2.2 : 2.2. Progress report on the draft methodology and pilot results from the integration of the big data tools [12]
Report, Electronic, 20 pages, in English. The project partners will ensure the quality of the methodological process through the preparation of internal management (progress) reports. The first Report will contain draft of the methodology and pilot results from the integration of the big data tools. The Reports will be subject to discussions and feedback, provided by the Advisory Board. In parallel the consortium will be drafting of a comprehensive State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level (WP3).

D2.3 : 2.3. MACPI SC questionnaires [7]

MACPI SC questionnaires, Electronic, 10 pages, 3 sectoral survey (to be tailored for each country) - in Bulgarian, Spanish, Italian, Romanian, English. The project partners will adapt for the specific sectoral level the existing methodologies from the MACPI family (developed through previous DG Home grants) for assessment of state capture and assessment and monitoring of anticorruption policies' implementation and enforcement. The methodology is based on the preparation of two types of expert surveys, tailored to public officials, working in institutions related to the selected economic sectors, and qualified independent experts. Separate expert questionnaires (a total of 3) will be developed for each of the three sectors, targeting a minimum of 60 respondents per sector in each country. The questionnaires will be translated into national languages and will be adapted for the particular country, including the relevant list of public organisations for their sectors which typically varies slightly from country to country. Alternatively, the questionnaire could be developed in such a way so as to assess government functions relevant to the three sectors instead of particular public organizations. This approach would allow for comparisons between the four countries and the development of quantitative indicators for each of the sectors. Besides assessment of the government control and regulation related to the sector, the questionnaire will include sector-specific questions and questions related to assessment of anti-corruption and anti-trust laws and policies in the sector.

D2.4 : 2.4. MACPI Institutions questionnaires [11]

MACPI Institutions questionnaires, Electronic, 10 pages, Electronic, 10 pages, 8 institutional surveys (to be tailored for each institution) - in Bulgarian, Spanish, Italian, Romanian, English. MACPI Institutions questionnaires will be developed for 2 key public organizations for the three sectors for each of the countries (a total of 8 questionnaires). These questionnaires will assess the anticorruption setup in relevant public organizations critical for one or more of the assessed sectors. One questionnaire per institution will be developed based on the available description of the anti-corruption setup, policies in place, measures, etc. The particular institutions will be selected based on the results from the MACPI State Capture survey.

D2.5 : 2.5. Publicly accessible web-based platform with interactive analytics and company/institutional profiling (PROTOTYPE/TEST) [12]

Electronic Publicly accessible web-based platform with interactive analytics and company/institutional profiling (PROTOTYPE/TEST). SeeMaps will be reinforced by the integration of big data analysis, market concentration and identification of red flags, based on data from procurement (mainly through the use of the TED database) and from media content red analysis. As a final outcome, the project partners will deliver a web-based publicly accessible interactive platform which will display both company (supplier) and institutional (buyer) rankings as per concentration of number and value of contracts, recent procurement activity red flags, media suspicious activity report alerts, in addition to specifically identified institutional profiling (performed by MACPI Institutions instrument). At the stage of development a prototype of the platform will be initially launched and tested. The platform will work with contract award data from the period between 2010 and the end date of the project action and will focus on companies and institutions in the three targeted economic sectors in the 4 project countries. The platform will be interactive in the sense that it will display all relevant data encompassing the mentioned period with up-to-date analysis as per the end date of the project. It can then be dynamised, and regularly updated based on commercial subscription interest. It will offer interactive analytics and profiling of companies. Following its piloting in the four project countries it can then ideally be extended to cover all 28 countries with real-time coverage. For each ranked company and institution, the platform will feature interactive procurement activity related buyer/supplier profile, full project history, and media profile. All rankings (company and institution lists), project history (tender

lists), relevant media history (articles lists) and individual company and institutional reports will be exportable in variety of formats (.csv, txt, PDF where relevant /for profiles/).

D2.6 : 2.6. State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level (DRAFT) [12]

State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level (DRAFT), Electronic, 30 pages. The document will follow the cycle of methodological development and implementation and will provide specific guidance on adaptability to and replication in different EU countries and economic sectors. Demonstration and tutorial of the blueprint on state capture assessment on the level of individual economic sectors will held for civil society organisations (see Activity 4.2). Potentially, the Toolkit can be also used to train public administrations in adapting and implementing SceMaps.

Schedule of relevant Milestones

Milestone number ¹⁸	Milestone title	Lead beneficiary	Due Date (in months)	Means of verification
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Work package number ⁹	WP3	Lead beneficiary ¹⁰	1 - CSD
Work package title	SceMaps pilot implementation		
Start month	13	End month	28

Objectives

Work package 3 materialises the methodological efforts and pilots the newly developed integrated tool for assessing state capture on sectoral level in Bulgaria, Italy, Spain and Romania.

Description of work and role of partners

WP3 - SceMaps pilot implementation [Months: 13-28]

CSD

3.1. SceMaps pilot implementation

The actual implementation of the proposed methodology requires fieldwork and analytical efforts by the project partners. They will gather and analyse qualitative data from the expert surveys, while simultaneously performing analysis on the integration of objective, quantitative data from multiple sources. This process will result in the development and finalisation of two types of products;

- Three cross-country Policy and Regulatory Capture Reports, assessing and comparing the selected 3 economic sectors in the 4 EU MSs, and delivering policy recommendations.
- State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level. The document will follow the cycle of methodological development and implementation and will provide specific guidance on adaptability to and replication in different EU countries and economic sectors. Demonstration and tutorial of the blueprint on state capture assessment on the level of individual economic sectors will held for civil society organisations (see Activity 4.2). Potentially, the Toolkit can be also used to train public administrations in adapting and implementing SceMaps.
- Full-scale launch of the publicly accessible web-based platform with interactive analytics and company/institutional profiling

The project partners will deliver a web-based publicly accessible interactive platform which will display both company (supplier) and institutional (buyer) rankings as per concentration of number and value of contracts, recent procurement activity red flags, media suspicious activity report alert, in addition to specifically identified institutional profiling (performed by MACPI Institutions instrument).

Preliminary end-user functionalities will include:

- Main Navigation Option 1 Toolbar A: Company ranking as per overall contract awards concentration filters (Total tender count; Value of contracts acquired; Average number of tenders per year; Average tender size; Number of tenders for last 12 months; Monetary value acquired for last 12 months)
- Main Navigation Option 1 Toolbar B: Company ranking as per recent activity alerts/red flags (Recent and suspicious activity alerts will be integrated providing users with the option to filter companies per sector/country/location based on their recent (last 12 months success compared to the previous overall performance).
- Main Navigation Option 1 Toolbar C: Company ranking as per suspicious activity mentioning in media - A specially designated media content red flags alert system based on pre-selected keywords related to corruption in procurement and/or political activity connections of companies and/or institutional key staff changes, etc. to be designed in order to flag companies. Project partners will monitor a pre-selected list of national and regional media outlets with online presence in the 4 targeted countries. Ranking will again include only companies with above 5 tenders in the targeted sectors in the last 5 years to be ranked as per the media mentions red flags systems.
- Main Navigation Option 2 Toolbar A: Institutional ranking as per overall contract awards concentration filters.
- Main Navigation Option 2 Toolbar B: Institutional ranking as per recent activity alerts/red flags.
- Main Navigation Option 2 Toolbar C (as per media analysis): Institutional ranking as per suspicious activity mentioning in media.
- Main Navigation Option 2 Toolbar D: Institutions highlighted by the MACPI Institutions analysis with specific project history, interactive institutional profiles, and media profiles.
- Four Main Navigation Option 3 Toolbar Options - Market Sizing (per industry/per country/per time unit/ per political elections cycle): Number of contract awards; Value of contracts; Level of competitiveness by number of awarded companies; and Level of competitiveness by number of awarded companies.

Ranking, based on government terms (political election cycle) will also be included. API access for any-third party machine access will additionally be allowed, so the public, media, market researchers and civil society organisations could access the raw data and run additional analytics.

3.2 Monitoring and evaluation of SceMaps implementation status

The project partners will ensure the quality of the integrated tool's implementation through the preparation of the internal management report, to be presented during the 'Second Progress Workshop' in Bucharest (month 17, see activity 1.4). This last of the internal management reports will be subject to discussions and feedback, provided by the Advisory Board, adding additional value to the development and finalisation of the action's main outputs.

Participation per Partner

Partner number and short name¹⁰

- 1 - CSD
- 2 - CIVIO
- 3 - EFOR
- 4 - UNITN

List of deliverables

Deliverable Number ¹⁴	Deliverable Title	Lead beneficiary	Type ¹⁵	Dissemination level ¹⁶	Due Date (in months) ¹⁷
D3.1	3.1 Three Policy and Regulatory Capture Reports	1 - CSD	Report	Public	27
D3.2	3.2 State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level	1 - CSD	Report	Public	26
D3.3	3.3 Publicly accessible web-based platform with interactive analytics and company/institutional profiling	1 - CSD	Websites, patents filling, etc.	Public	24
D3.4	3.4 Progress report on the draft integrated tool's implementation	1 - CSD	Report	Confidential, only for members of the consortium (including the Commission Services)	27

Description of deliverables

The deliverables include:

- 3 Policy and Regulatory Capture Reports; Printed and electronic, 70 pages each, 400 copies each in English, with translated executive summaries in 4 languages (in English, Bulgarian, Spanish, Romanian, Italian). The cross-country Policy and Regulatory Capture Reports will assess and compare the selected 3 economic sectors in the 4 EU MSs, and deliver policy recommendations.
- State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level; Printed and electronic, 70 pages, 300 copies, in English. The document will follow the cycle of methodological development and implementation and will provide specific guidance on adaptability to and replication in different EU countries and economic sectors. Demonstration and tutorial of the blueprint on state capture assessment on the level of individual economic sectors will held for civil society organisations (see Activity 4.2). Potentially, the Toolkit can be also used to train public administrations in adapting and implementing SceMaps.

- Publicly accessible web-based platform with interactive analytics and company/institutional profiling; Electronic, in English. The current activity will include the full-scale launch of the publicly accessible web-based platform with interactive analytics and company/institutional profiling. The project partners will deliver a web-based publicly accessible interactive platform which will display both company (supplier) and institutional (buyer) rankings as per concentration of number and value of contracts, recent procurement activity red flags, media suspicious activity report alert, in addition to specifically identified institutional profiling (performed by MACPI Institutions instrument).
- Report; Electronic, 20 pages, in English. The project partners will ensure the quality of the integrated tool's implementation through the preparation of this internal management (progress) report, to be presented during the 'Second Progress Workshop' in Bucharest (month 17, see activity 1.4). This last of the internal management (progress) reports will be subject to discussions and feedback, provided by the Advisory Board, adding additional value to the development and finalisation of the action's main outputs.

D3.1 : 3.1 Three Policy and Regulatory Capture Reports [27]

3 Policy and Regulatory Capture Reports; Printed and electronic, 70 pages each, 400 copies each in English, with translated executive summaries in 4 languages (in English, Bulgarian, Spanish, Romanian, Italian). The cross-country Policy and Regulatory Capture Reports will assess and compare the selected 3 economic sectors in the 4 EU MSs, and deliver policy recommendations.

D3.2 : 3.2 State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level [26]

State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level; Printed and electronic, 70 pages, 300 copies, in English. The document will follow the cycle of methodological development and implementation and will provide specific guidance on adaptability to and replication in different EU countries and economic sectors. Demonstration and tutorial of the blueprint on state capture assessment on the level of individual economic sectors will held for civil society organisations (see Activity 4.2). Potentially, the Toolkit can be also used to train public administrations in adapting and implementing SeeMaps.

D3.3 : 3.3 Publicly accessible web-based platform with interactive analytics and company/institutional profiling [24]

Publicly accessible web-based platform with interactive analytics and company/institutional profiling; Electronic, in English. The current activity will include the full-scale launch of the publicly accessible web-based platform with interactive analytics and company/institutional profiling. The project partners will deliver a web-based publicly accessible interactive platform which will display both company (supplier) and institutional (buyer) rankings as per concentration of number and value of contracts, recent procurement activity red flags, media suspicious activity report alert, in addition to specifically identified institutional profiling (performed by MACPI Institutions instrument). Preliminary end-user functionalities will include: - Main Navigation Option 1 Toolbar A: Company ranking as per overall contract awards concentration filters (Total tender count; Value of contracts acquired; Average number of tenders per year; Average tender size; Number of tenders for last 12 months; Monetary value acquired for last 12 months) - Main Navigation Option 1 Toolbar B: Company ranking as per recent activity alerts/red flags (Recent and suspicious activity alerts will be integrated providing users with the option to filter companies per sector/country/location based on their recent (last 12 months success compared to the previous overall performance). - Main Navigation Option 1 Toolbar C: Company ranking as per suspicious activity mentioning in media - A specially designated media content red flags alert system based on pre-selected keywords related to corruption in procurement and/or political activity connections of companies and/or institutional key staff changes, etc. to be designed in order to flag companies. Project partners will monitor a pre-selected list of national and regional media outlets with online presence in the 4 targeted countries. Ranking will again include only companies with above 5 tenders in the targeted sectors in the last 5 years to be ranked as per the media mentions red flags systems. - Main Navigation Option 2 Toolbar A: Institutional ranking as per overall contract awards concentration filters. - Main Navigation Option 2 Toolbar B: Institutional ranking as per recent activity alerts/red flags. - Main Navigation Option 2 Toolbar C (as per media analysis): Institutional ranking as per suspicious activity mentioning in media. - Main Navigation Option 2 Toolbar D: Institutions highlighted by the MACPI Institutions analysis with specific project history, interactive institutional profiles, and media profiles. - Four Main Navigation Option 3 Toolbar Options - Market Sizing (per industry/per country/per time unit/ per political elections cycle): Number of contract awards; Value of contracts; Level of competitiveness by number of awarded companies; and Level of competitiveness by number of awarded companies. Ranking, based on government terms (political election cycle) will also be included. API access for any-third party machine access will additionally be allowed, so the public, media, market researchers and civil society organisations could access the raw data and run additional analytics.

D3.4 : 3.4 Progress report on the draft integrated tool's implementation [27]

Report; Electronic, 20 pages, in English. The project partners will ensure the quality of the integrated tool's implementation through the preparation of this internal management (progress) report to be presented during

the ‘Second Progress Workshop’ in Bucharest (month 17, see activity 1.4). This last of the internal management reports will be subject to discussions and feedback, provided by the Advisory Board, adding additional value to the development and finalisation of the action’s main outputs.

Schedule of relevant Milestones

Milestone number¹⁸	Milestone title	Lead beneficiary	Due Date (in months)	Means of verification
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Work package number ⁹	WP4	Lead beneficiary ¹⁰	2 - CIVIO
Work package title	Engagement and dissemination of best practices		
Start month	1	End month	28

Objectives

The impact and added value of the project will be expanded through its electronic and offline engagement and dissemination activities. Work package 4 will be implemented throughout the entire life cycle of the action. The proposed set of engagement and dissemination activities will (a) raise awareness of the state capture phenomenon on EU-level and its impact in specific industries; (b) provide, as a best practice, and make available for civil society organisations an innovative tool, adaptive and fully customisable across countries and industries; (c) engage online and offline communities, with interest in specific economic sectors, to more openly discuss the existing governance challenges; (d) further highlight the threat and implications of state capture on EU level.

Description of work and role of partners

WP4 - Engagement and dissemination of best practices [Months: 1-28]

CIVIO

4.1. International policy conference

The project partners will present the action's main analytical and methodological products (3 Policy and Regulatory Capture Reports and the State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level (SceMaps Integrated Toolkit) (see activity 3.1) during a high level international policy conference, in Brussels. The target audience is policy, business and civil society stakeholders, and media. The forum will reflect the project's key messages, conclusions, recommendations and will help set future efforts in the field of complex corruption practices. The conference will be attended by 60 participants.

4.2 Demonstrations and tutorials for civil society oversight – training for assessing state capture on sectoral level

The day following the international policy conference, the consortium members will organise a civil society training (20 participants) on best practices for state capture assessment and anti-corruption policy evaluation on sectoral level. The core of the training will be a detailed review of the already piloted methodology, its conclusions, as well as specific guidelines to replication across multiple EU countries and economic sectors, based on the 'State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level' publication. The design of the integrated tool is specifically thought to be fully customizable to other EU MS. Being effectively country-neutral, the proposed methodology has the capacity and the necessary adaptability to be implemented in multiple EU countries and, more importantly, to be used for assessment of different economic sectors. Thus, it is potentially a great asset for every civil society organisation in pursuit of impact and effective oversight of public economic and institutional governance and performance.

4.3 Creating tailored content for awareness raising on multiple levels

The action will raise awareness of the risk of state capture on multiple levels by creating specific content, tailored for the different types of beneficiaries, target groups and more general stakeholders of the action. Apart from general promotion and dissemination activities, by adapting content from the overall project research and analysis, the partners will produce 8 Media Notes, timed according to current affairs dynamics.

CSD will publish one academic article (with the intention of being published in a referenced journal), with a focus on the developed SceMaps methodology. The academic article will be based on the research, analyses and results of the action. In addition, CIVIO will be responsible for delivering a cross-country sectoral investigative journalist article, focused on one of the three high risks sectors, targeted by the project, covering the 4 EU MSs. The process for developing the 10-page article will include journalistic investigation, getting letters of intent from media partners, drafting and designing and interface of the article, parsing, cleaning and structuring of data for extracting valuable and notable insights from the data sets, etc.

4.4 Interactive social media engagement

Social media platforms (including Facebook and Twitter accounts) will be used regularly not only to disseminate achievements, created by associated activities, but also to create and disseminate new content. Five infographics will be created to enhance engagement of relevant beneficiaries, target groups and stakeholders.

4.5. Internet publicity and engagement of partner networks

Due to the lengthy process of creating separate webpage and generating the necessary traffic on it, the consortium will instead make use of the webpages of its individual partners, as well as of partner networks across Europe. Experience shows that this approach, combined with strong social media presence is more effective. In addition, to keep the

stakeholders regularly updated on the progress of the project a Newsletter (total of 5 newsletter issues) will be compiled and distributed following the (a) realisation of major outputs or deliverables; (b) important developments in the 3 economic sectors in Italy, Spain, Bulgaria and Romania; (c) other relevant content, part of the action's engagement and dissemination strategy.

4.6 Creating visual identity

The project partners will design and implement a package of project materials to increase visibility and engagement of all relevant stakeholder and beneficiaries' groups. More specifically, the activity will include, among others, designing a project logo, used for the web-based platform, events, presentation templates, online communication and all project outputs and deliverables. Project banner and additional materials, if necessary, will be made available. This approach will help for familiarising all relevant stakeholders, target groups and beneficiaries with the action.

4.7 Engagement and dissemination strategy

All engagement and dissemination activities will be based on an Engagement and dissemination strategy. The Strategy is an internal document, adopted by the CMC, agreed upon and distributed among the project partners. It outlines the type of dissemination and engagement activities to be carried out during the project.

In addition, all relevant stakeholders, approach, identified and/or researched during the project will be gathered into an internal database of stakeholder contacts. It will be constantly updated by adding new contacts established in the course of the project activities. The database's profile will include public officials, policy makers, civil society and business representatives, academics, independent experts, etc. (150+ contacts).

Participation per Partner

Partner number and short name¹⁰

- 1 - CSD
- 2 - CIVIO
- 3 - EFOR
- 4 - UNITN

List of deliverables

Deliverable Number ¹⁴	Deliverable Title	Lead beneficiary	Type ¹⁵	Dissemination level ¹⁶	Due Date (in months) ¹⁷
D4.1	4.1.1 Newsletter (issue 1)	4 - UNITN	Report	Public	10
D4.2	4.1.1 Newsletter (issue 2)	4 - UNITN	Report	Public	13
D4.3	4.1.1 Newsletter (issue 3)	4 - UNITN	Report	Public	16
D4.4	4.1.1 Newsletter (issue 4)	4 - UNITN	Report	Public	23
D4.5	4.1.1 Newsletter (issue 5)	4 - UNITN	Report	Public	26
D4.6	4.2 Engagement and dissemination strategy	2 - CIVIO	Report	Confidential, only for members of the consortium (including the Commission Services)	2
D4.7	4.3.1 Media Note (issue 1)	2 - CIVIO	Report	Public	7
D4.8	4.3.2 Media Note (issue 2)	2 - CIVIO	Report	Public	13

List of deliverables

Deliverable Number¹⁴	Deliverable Title	Lead beneficiary	Type¹⁵	Dissemination level¹⁶	Due Date (in months)¹⁷
D4.9	4.3.3 Media Note (issue 3)	2 - CIVIO	Report	Public	21
D4.10	4.3.4 Media Note (issue 5)	2 - CIVIO	Report	Public	25
D4.11	4.3.5 Media Notes (issue 7)	2 - CIVIO	Report	Public	27
D4.12	4.4 Investigative journalism article	2 - CIVIO	Report	Public	26
D4.13	4.5 Academic publication	1 - CSD	Report	Public	27
D4.14	4.6 Social media accounts	2 - CIVIO	Websites, patents filling, etc.	Public	2
D4.15	4.7.1 Infographic (issue 1)	2 - CIVIO	Websites, patents filling, etc.	Public	6
D4.16	4.7.2 Infographic (issue 2)	2 - CIVIO	Websites, patents filling, etc.	Public	11
D4.17	4.7.3 Infographic (issue 3)	2 - CIVIO	Websites, patents filling, etc.	Public	14
D4.18	4.7.4 Infographic (issue 4)	2 - CIVIO	Websites, patents filling, etc.	Public	25
D4.19	4.7.5 Infographic (issue 5)	2 - CIVIO	Websites, patents filling, etc.	Public	27
D4.20	4.8 Project materials (logo, banners, presentation templates, design)	2 - CIVIO	Websites, patents filling, etc.	Public	5
D4.21	Media Note (issue 4)	2 - CIVIO	Report	Public	23
D4.22	Media Note (issue 6)	2 - CIVIO	Report	Public	26
D4.23	Media Note (issue 8)	2 - CIVIO	Report	Public	28

Description of deliverables

The deliverables include:

- Newsletter, Electronic, 2 pages, in Bulgarian, Romania, Italian, Spanish, English (5 issues). To keep the stakeholders regularly updated on the progress of the project a Newsletter (total of 5 newsletter issues for the whole project) will be compiled and distributed following the (a) realisation of major outputs or deliverables; (b) important developments in the 3 economic sectors in Italy, Spain, Bulgaria and Romania; (c) other relevant content, part of the action's engagement and dissemination strategy.

- Engagement and dissemination strategy, Electronic, 5 pages, in English. All engagement and dissemination activities will be based on an Engagement and dissemination strategy. The Strategy is an internal document, adopted

by the CMC, agreed upon and distributed among the project partners. It outlines the type of dissemination and engagement activities to be carried out during the project.

- Media Notes; Electronic, 5 pages, in Bulgarian, Spanish, Italian, Romanian, English. The Media Notes will be based on adapting content from the overall project research and analysis, and be timed according to current affairs dynamics.
- Investigative journalism article, Electronic, 2 pages, in English. CIVIO will be responsible for delivering a cross-country sectoral investigative journalist article, focused on one of the three high risks sectors, targeted by the project, covering the 4 EU MSs. The process for developing the article will include journalistic investigation, getting letters of intent from media partners, drafting and designing and interface of the article, parsing, cleaning and structuring of data for extracting valuable and notable insights from the data sets, etc.
- Academic publication, Electronic, 20 pages, 1 article, in English. CSD will publish one academic article (with the intention of being published in a referenced journal), with a focus on the developed SceMaps methodology. The academic article will be based on the research, analyses and results of the action.
- Social media accounts, Electronic, in Bulgarian, Spanish, Italian, Romanian, English, targeting the general public, online and offline business communities, policy makers, civil society, media, academics, researchers. Social media platforms (including Facebook and Twitter accounts) will be used regularly not only to disseminate achievements, created by associated activities, but also to create and disseminate new content.
- Infographics, Electronic, in English (5 issues). The infographics will be created to enhance engagement of relevant beneficiaries, target groups and stakeholders.
- Project materials (logo, banners, presentation templates, design), Electronic and printed, in English. The project partners will design and implement a package of project materials to increase visibility and engagement of all relevant stakeholder and beneficiaries' groups. More specifically, the activity will include, among others, designing a project logo, used for the web-based platform, events, presentation templates, online communication and all project outputs and deliverables. Project banner and additional materials, if necessary, will be made available. This approach will help for familiarising all relevant stakeholders, target groups and beneficiaries with the action.

D4.1 : 4.1.1 Newsletter (issue 1) [10]

Newsletter, Electronic, 2 pages, in Bulgarian, Romania, Italian, Spanish, English (issue 1). To keep the stakeholders regularly updated on the progress of the project a Newsletter (total of 5 newsletter issues for the whole project) will be compiled and distributed following the (a) realisation of major outputs or deliverables; (b) important developments in the 3 economic sectors in Italy, Spain, Bulgaria and Romania; (c) other relevant content, part of the action's engagement and dissemination strategy.

D4.2 : 4.1.1 Newsletter (issue 2) [13]

Newsletter, Electronic, 2 pages, in Bulgarian, Romania, Italian, Spanish, English (issue 2). To keep the stakeholders regularly updated on the progress of the project a Newsletter (total of 5 newsletter issues for the whole project) will be compiled and distributed following the (a) realisation of major outputs or deliverables; (b) important developments in the 3 economic sectors in Italy, Spain, Bulgaria and Romania; (c) other relevant content, part of the action's engagement and dissemination strategy.

D4.3 : 4.1.1 Newsletter (issue 3) [16]

Newsletter, Electronic, 2 pages, in Bulgarian, Romania, Italian, Spanish, English (issue 3). To keep the stakeholders regularly updated on the progress of the project a Newsletter (total of 5 newsletter issues for the whole project) will be compiled and distributed following the (a) realisation of major outputs or deliverables; (b) important developments in the 3 economic sectors in Italy, Spain, Bulgaria and Romania; (c) other relevant content, part of the action's engagement and dissemination strategy.

D4.4 : 4.1.1 Newsletter (issue 4) [23]

Newsletter, Electronic, 2 pages, in Bulgarian, Romania, Italian, Spanish, English (issue 4). To keep the stakeholders regularly updated on the progress of the project a Newsletter (total of 5 newsletter issues for the whole project) will be compiled and distributed following the (a) realisation of major outputs or deliverables; (b) important developments in the 3 economic sectors in Italy, Spain, Bulgaria and Romania; (c) other relevant content, part of the action's engagement and dissemination strategy.

D4.5 : 4.1.1 Newsletter (issue 5) [26]

Newsletter, Electronic, 2 pages, in Bulgarian, Romania, Italian, Spanish, English (issue 5). To keep the stakeholders regularly updated on the progress of the project a Newsletter (total of 5 newsletter issues for the whole project) will be compiled and distributed following the (a) realisation of major outputs or deliverables; (b) important developments

in the 3 economic sectors in Italy, Spain, Bulgaria and Romania; (c) other relevant content, part of the action's engagement and dissemination strategy.

D4.6 : 4.2 Engagement and dissemination strategy [2]

Engagement and dissemination strategy, Electronic, 5 pages, in English. All engagement and dissemination activities will be based on an Engagement and dissemination strategy. The Strategy is an internal document, adopted by the CMC, agreed upon and distributed among the project partners. It outlines the type of dissemination and engagement activities to be carried out during the project.

D4.7 : 4.3.1 Media Note (issue 1) [7]

Media Note; Electronic, 5 pages, in Bulgarian, Spanish, Italian, Romanian, English - 1 issue. The Media Notes will be based on adapting content from the overall project research and analysis, and be timed according to current affairs dynamics.

D4.8 : 4.3.2 Media Note (issue 2) [13]

Media Note; Electronic, 5 pages, in Bulgarian, Spanish, Italian, Romanian, English - 1 issue. The Media Notes will be based on adapting content from the overall project research and analysis, and be timed according to current affairs dynamics.

D4.9 : 4.3.3 Media Note (issue 3) [21]

Media Note; Electronic, 5 pages, in Bulgarian, Spanish, Italian, Romanian, English. The Media Note will be based on adapting content from the overall project research and analysis, and be timed according to current affairs dynamics.

D4.10 : 4.3.4 Media Note (issue 5) [25]

Media Note; Electronic, 5 pages, in Bulgarian, Spanish, Italian, Romanian, English. The Media Notes will be based on adapting content from the overall project research and analysis, and be timed according to current affairs dynamics.

D4.11 : 4.3.5 Media Notes (issue 7) [27]

Media Note; Electronic, 5 pages, in Bulgarian, Spanish, Italian, Romanian, English. The Media Notes will be based on adapting content from the overall project research and analysis, and be timed according to current affairs dynamics.

D4.12 : 4.4 Investigative journalism article [26]

Investigative journalism article, Electronic, 2 pages, in English. CIVIO will be responsible for delivering a cross-country sectoral investigative journalist article, focused on one of the three high risks sectors, targeted by the project, covering the 4 EU MSs. The process for developing the 10-page article will include journalistic investigation, getting letters of intent from media partners, drafting and designing and interface of the article, parsing, cleaning and structuring of data for extracting valuable and notable insights from the data sets, etc.

D4.13 : 4.5 Academic publication [27]

Academic publication, Electronic, 20 pages, 1 article, in English. CSD will publish one academic article (with the intention of being published in a referenced journal), with a focus on the developed SceMaps methodology. The academic article will be based on the research, analyses and results of the action.

D4.14 : 4.6 Social media accounts [2]

Social media accounts, Electronic, in Bulgarian, Spanish, Italian, Romanian, English, targeting the general public, online and offline business communities, policy makers, civil society, media, academics, researchers. Social media platforms (including Facebook and Twitter accounts) will be used regularly not only to disseminate achievements, created by associated activities, but also to create and disseminate new content.

D4.15 : 4.7.1 Infographic (issue 1) [6]

Infographic, Electronic, in English (issue 1). The infographics will be created to enhance engagement of relevant beneficiaries, target groups and stakeholders.

D4.16 : 4.7.2 Infographic (issue 2) [11]

Infographic, Electronic, in English (issue 2). The infographics will be created to enhance engagement of relevant beneficiaries, target groups and stakeholders.

D4.17 : 4.7.3 Infographic (issue 3) [14]

Infographic, Electronic, in English (issue 3). The infographics will be created to enhance engagement of relevant beneficiaries, target groups and stakeholders.

D4.18 : 4.7.4 Infographic (issue 4) [25]

Infographic, Electronic, in English (issue 4). The infographics will be created to enhance engagement of relevant beneficiaries, target groups and stakeholders.

D4.19 : 4.7.5 Infographic (issue 5) [27]

Infographic, Electronic, in English (issue 5). The infographics will be created to enhance engagement of relevant beneficiaries, target groups and stakeholders.

D4.20 : 4.8 Project materials (logo, banners, presentation templates, design) [5]

Project materials (logo, banners, presentation templates, design), Electronic and printed, in English. The project partners will design and implement a package of project materials to increase visibility and engagement of all relevant stakeholder and beneficiaries' groups. More specifically, the activity will include, among others, designing a project logo, used for the web-based platform, events, presentation templates, online communication and all project outputs and deliverables. Project banner and additional materials, if necessary, will be made available. This approach will help for familiarising all relevant stakeholders, target groups and beneficiaries with the action.

D4.21 : Media Note (issue 4) [23]

Media Note; Electronic, 5 pages, in Bulgarian, Spanish, Italian, Romanian, English. The Media Note will be based on adapting content from the overall project research and analysis, and be timed according to current affairs dynamics.

D4.22 : Media Note (issue 6) [26]

Media Note; Electronic, 5 pages, in Bulgarian, Spanish, Italian, Romanian, English. The Media Note will be based on adapting content from the overall project research and analysis, and be timed according to current affairs dynamics.

D4.23 : Media Note (issue 8) [28]

Media Note; Electronic, 5 pages, in Bulgarian, Spanish, Italian, Romanian, English. The Media Note will be based on adapting content from the overall project research and analysis, and be timed according to current affairs dynamics.

Schedule of relevant Milestones

Milestone number ¹⁸	Milestone title	Lead beneficiary	Due Date (in months)	Means of verification
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1.3.4. WT4 List of milestones

No milestones indicated

1.3.5. WT5 Critical Implementation risks and mitigation actions

No risks indicated

1.3.6. WT6 Summary of project effort contribution

	WP1	WP2	WP3	WP4
1 - CSD	✓	✓	✓	✓
2 - CIVIO	✓	✓	✓	✓
3 - EFOR	✓	✓	✓	✓
4 - UNITN	✓	✓	✓	✓

1.3.7. WT7 Tentative schedule of project reviews

No project reviews indicated

1. Project number

The project number has been assigned by the Commission as the unique identifier for your project. It cannot be changed. The project number **should appear on each page of the grant agreement preparation documents (part A and part B)** to prevent errors during its handling.

2. Project acronym

Use the project acronym as given in the submitted proposal. It can generally not be changed. The same acronym **should appear on each page of the grant agreement preparation documents (part A and part B)** to prevent errors during its handling.

3. Project title

Use the title (preferably no longer than 200 characters) as indicated in the submitted proposal. Minor corrections are possible if agreed during the preparation of the grant agreement.

4. Starting date

Unless a specific (fixed) starting date is duly justified and agreed upon during the preparation of the Grant Agreement, the project will start on the first day of the month following the entry into force of the Grant Agreement (NB : entry into force = signature by the Commission). Please note that if a fixed starting date is used, you will be required to provide a written justification.

5. Duration

Insert the duration of the project in full months.

6. Call (part) identifier

The Call (part) identifier is the reference number given in the call or part of the call you were addressing, as indicated in the publication of the call in the Official Journal of the European Union. You have to use the identifier given by the Commission in the letter inviting to prepare the grant agreement.

7. Abstract

8. Project Entry Month

The month at which the participant joined the consortium, month 1 marking the start date of the project, and all other start dates being relative to this start date.

9. Work Package number

Work package number: WP1, WP2, WP3, ..., WPn

10. Lead beneficiary

This must be one of the beneficiaries in the grant (not a third party) - Number of the beneficiary leading the work in this work package

11. Person-months per work package

The total number of person-months allocated to each work package.

12. Start month

Relative start date for the work in the specific work packages, month 1 marking the start date of the project, and all other start dates being relative to this start date.

13. End month

Relative end date, month 1 marking the start date of the project, and all end dates being relative to this start date.

14. Deliverable number

Deliverable numbers: D1 - Dn

15. Type

Please indicate the type of the deliverable using one of the following codes:

R	Document, report
DEM	Demonstrator, pilot, prototype
DEC	Websites, patent filings, videos, etc.
OTHER	
ETHICS	Ethics requirement
ORDP	Open Research Data Pilot
DATA	data sets, microdata, etc.

16. Dissemination level

Please indicate the dissemination level using one of the following codes:

- PU Public
- CO Confidential, only for members of the consortium (including the Commission Services)
- EU-RES Classified Information: RESTREINT UE (Commission Decision 2005/444/EC)
- EU-CON Classified Information: CONFIDENTIEL UE (Commission Decision 2005/444/EC)
- EU-SEC Classified Information: SECRET UE (Commission Decision 2005/444/EC)

17. Delivery date for Deliverable

Month in which the deliverables will be available, month 1 marking the start date of the project, and all delivery dates being relative to this start date.

18. Milestone number

Milestone number: MS1, MS2, ..., MSn

19. Review number

Review number: RV1, RV2, ..., RVn

20. Installation Number

Number progressively the installations of a same infrastructure. An installation is a part of an infrastructure that could be used independently from the rest.

21. Installation country

Code of the country where the installation is located or IO if the access provider (the beneficiary or linked third party) is an international organization, an ERIC or a similar legal entity.

22. Type of access

- TA-uc if trans-national access with access costs declared on the basis of unit cost,
- TA-ac if trans-national access with access costs declared as actual costs, and
- TA-cb if trans-national access with access costs declared as a combination of actual costs and costs on the basis of unit cost,
- VA-uc if virtual access with access costs declared on the basis of unit cost,
- VA-ac if virtual access with access costs declared as actual costs, and
- VA-cb if virtual access with access costs declared as a combination of actual costs and costs on the basis of unit cost.

23. Access costs

Cost of the access provided under the project. For virtual access fill only the second column. For trans-national access fill one of the two columns or both according to the way access costs are declared. Trans-national access costs on the basis of unit cost will result from the unit cost by the quantity of access to be provided.

ANNEX I - DESCRIPTION OF THE ACTION
PART B

PART 1 – SUMMARY OF THE ACTION

Provide an overall description of the action, including the expected impact, outcomes and outputs of the action, activities, number and type of (short, medium and long term) beneficiaries. This summary should give readers a clear idea of what the action is about. It should be structured but descriptive; it should not merely provide lists of objectives, activities, beneficiaries and outputs. **(max 2000 characters)**

The Commission reserves the right to publish the summary for publication/dissemination purposes.

SceMaps is designed to contribute to the fight against corruption in the EU by delivering impact on multiple levels and providing for long-term sustainability. The project aims at tackling anti-corruption deficiencies in high-risk EU member states (MSs) by developing and implementing an integrated risk assessment tool for estimating state capture and monitoring of anti-corruption policies at the sectoral level (SceMaps). SceMaps addresses the most serious corruption threat - state capture, by assessing high-risk economic sectors through a combination of qualitative and quantitative methods, big data analysis and media content alert system. Designed for easy replication and take-up by EU MSs' public administrations, SceMaps will allow EU authorities to build evolving, risk-responsive instruments to assess and tackle corruption and capture risks in regulatory heavy areas and industries, such as public procurement, pharmaceuticals (healthcare), and construction.

The action will develop and implement an integrated tool based on:

- (a) Assessment of state capture risks on sectoral level;
- (b) Evaluation of the enforceability and impact of anti-corruption measures and policies on the level of individual public institutions, relevant to the identified high-risk economic sectors;
- (c) Integration of cross-sectional big data analysis;
- (d) Development of a publicly accessible web-based platform with interactive analytics and company/institutional profiling and media content alert system.

The consortium will develop a blueprint for best practices implementation and data exchange for civil society oversight and will conduct a training module on methodological development and implementation for civil society organisations. Public-private dialogue on EU level will be ensured by effective project design, outreach and dissemination, targeting civil society, researchers, public institutions, business associations and EU and national decision-making bodies as main beneficiaries of the action.

PART 2 –CONTEXT OF THE ACTION AND NEEDS ANALYSIS

Describe the context of the action (including your understanding of the relevant EU policies and to what extent this action builds up on previous action results in the field) and analyse the European needs which will be addressed by the action. **(max 4000 characters)**

According to Eurobarometer, the majority of Europeans consider corruption behaviour as unacceptable, but two-thirds of them think it is widespread in their respective countries. The European Agenda on Security and the Renewed EU Internal Security Strategy 2015-2020 identifies corruption as a specific security risk for the EU. The EU Anti-Corruption Report demonstrated that while corruption problems vary in substance and intensity among MSs, the negative impact of corruption is felt across the EU. The Report showed that there is a lack of proper, prevention and detection tools in place to curb corruption effectively in its many forms and across the different jurisdictions in the EU. These are badly needed in order to realise the full potential of EU's open government and open data policies, and to make the European Semester a more effective anti-corruption policy instrument.

As corruption practices evolve, EU MSs need to respond by deepening their capacity to tackle new and more complex forms but also to better share existing knowledge. What is most worrying is that in some EU countries corruption reaches beyond individual acts and administrative and/or petty offences to negatively impact the quality of public governance and entire sectors of the economy. These systematic effects often remain hidden, closely linked to governance mechanisms. In such an environment, corruption reaches top level officials in the executive, judiciary and the legislative, resulting in the emergence of state capture (or its lesser occurrences policy and regulatory capture), thus creating vulnerabilities for the whole EU and its various policies. This also creates legitimacy issues for MSs' public institutions and by extension for the EU project as a whole.

Developing adequate risk assessment tools for national and European authorities and the public, including the media and civil society organisations to tackle state capture requires further study of its implications, specifically

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on the level of high-risk economic sectors, where its negative impact is most strongly felt. The proposed action builds upon and further develops a comprehensive foundation of research and analysis of state capture risk assessment; monitoring and evaluation of the enforceability and effectiveness of anti-corruption policies on institutional level; corruption risks and concentration in the public procurement sector; provision of best practices for corruption monitoring and assessment in the EU. More specifically, the lead applicant has coordinated a national-level assessment of the degree of state capture in Bulgaria, Italy, Spain and Romania, identifying sectors where deeply hidden high level corruption has had systematic negative impact on the market. The identified high-risk sectors are: wholesale of solid, liquid and gaseous fuels; wholesale of pharmaceuticals; construction.

This foundation allows the consortium to be uniquely positioned to address the identified policy and instruments gaps in the EU in relation to the assessment of corruption and state capture in the identified high-risk sectors by developing an innovative tool integrating (a) state capture assessment on sectoral level and (b) evaluation of the enforceability and impact of anti-corruption measures in individual public institutions, reinforced by (c) integration of cross-sectional data and development of a publicly accessible web-based platform with interactive analytics and designated media content alert system. The proposed comprehensive approach corresponds to the call's specific objectives, related to data-driven assessment of corruption risks in critical economic and societal domains (e.g. health care, public procurement and the interaction between business and politics); promotion of integrated approach to assessing impact, enforcement and enforceability of anti-corruption measures; and the promotion of best practices and data exchange at EU level.

PART 3 – GENERAL AND SPECIFIC OBJECTIVES, METHODOLOGY

3.1. General objective of the action

3.1.1. To which priority(ies) of the Call for Proposals does this action refer?

- ☒ preventing corruption in high risk sectors, including by promoting tools for risk assessment and risk management and tools for civil oversight and investigative journalism and for assisting whistle-blowers with technical and legal aid;
- ☒ assessing the impact of implemented anti-corruption measures;
- ☐ enhancing the effectiveness of corruption prosecution, particularly as regards complex cross-border cases;
- ☒ implementing best practices across the EU

3.1.2. General objective (expected impact) of the action (max 2000 characters)

Define the general objective (correlated to the expected impact) of the action.

The general objective should correspond to the relevant priority(ies) defined in the Call for proposals.

The impact is defined as the long term effect produced by the Action.

The scope and methodology of the proposed action are designed to contribute to the fight against corruption in the EU by delivering impact on multiple levels and providing for long-term sustainability. The project aims at tackling anti-corruption gaps by developing and implementing an integrated risk assessment tool for estimating state capture and monitoring of anti-corruption policies at the sectoral level (SceMaps). SceMaps addresses the emerging state capture threat by assessing high-risk economic sectors through a combination of qualitative and quantitative, bid data analyses and media content alert system. SceMaps is based on a set of innovative methodologies, which aim to ensure easy replication, take-up by EU MSs' public administrations, and adaptability to the ever-changing risk environment of corruption and state capture.

The implementation of this approach on EU-level in a set of four high-risk countries - Bulgaria, Spain, Italy and Romania, will produce comprehensive, tangible and most importantly actionable results to be used by a wide spectrum of beneficiaries, reached through a number of engagement platforms (e.g. events, publications, offline and online community building and promotion). Once developed and tested, the design of the tool is specifically thought to deliver sustainability and long-term effect, by being fully customizable to other EU MSs and economic sectors. The proposed integrated tool has the capacity and the necessary adaptability to be implemented in multiple EU countries and, to be used for assessment of different economic sectors. Another critical aspect for the

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long-term effect of the action is the ability of the civil society sector to use SceMaps as an evidence-based instrument for monitoring and for improving public governance. This process will be enabled by developing detailed methodological and step-by-step best practice guide, and by conducting a training for civil society oversight.

3.1.3. European dimension of the action / Impact on the EU scale (max 4000 characters)

Demonstrate the European dimension of the action and its importance and effect through EU. Which countries will directly and indirectly benefit from the action? Illustrate the European dimension of the planned activities. Which countries will be directly involved in the activities of the action? Where will the activities take place?

The proposed action is designed to address the objectives of the Renewed EU Internal Security Strategy 2015-2020, as well as European Agenda on Security (EAS). The action seeks to avoid "one-size-fits-all" solutions, by foreseeing the development of a sector-based analysis, detection, and prevention tool, which provides an adaptive, cross-country comparable and EU-wide applicable framework. The action will pilot-explore state capture, directly responding to the call of EAS to find ways to fight new and complex threats. The proposed tool's assessment of a country's degree of state capture on economic sector level, the possibility to both compare EU MSs' economic sectors and at the same time understand better the processes taking place in a particular country, and the policy responses which are needed in order to improve the situation, are of particular value for the EU single market.

The qualitative state capture diagnostic is reinforced by additional elements, in order to meet the specific objectives of the call. A core aspect of the action is its focus on using open data on public procurement for big data analysis of anti-corruption, as well as on linking procurement with company data, which allows for a comprehensive risk assessment at economic sector level. This addresses the priorities, identified by the 2018 Annual Growth Survey, specifically related to the challenge of corruption as a barrier to investments and a cause of economic uncertainty in the EU. On a more general level, in the context of the European Semester and the estimated EUR 120 billion annually lost to corruption, the action could serve to aid the implementation and compliance of EU countries to the two overall mechanisms of EU economic governance – the 2020 Strategy and the Growth and Stability Pact.

The proposed publicly accessible web-based platform with interactive analytics and company/institutional profiling, and the integration of media content alert and big data approaches for market concentration analysis and identification of state capture risks allow for designing a potent tool for assessing the risk of corruption and bad governance practices in the pre-selected high-risk economic sectors. These novel instruments will be bundled in the tool with already existing EU tools (e.g. MACPI Institutions) for monitoring and measuring of the effectiveness of anticorruption policies on the level of public organizations. This will provide for an integrated approach in detecting and preventing corruption in MSs and at the EU level. The impact of these core activities for developing an integrated anticorruption tool will be multiplied on EU level through the organisation of fora and other platforms for public-private dialogue, and the use of various communication and dissemination channels, etc.

Combined the action's activities will provide for a strong anticorruption approach, promoting the implementation of best practices and data exchange at EU level. It delivers the first comprehensive assessment of the degree of state capture on the level of individual economic sectors in vulnerable EU MSs. This experience will be consequently integrated into a training module for civil society oversight.

By introducing an adaptable and transferrable integrated tool, the action directly and indirectly benefits all EU Member States. The design of the tool is specifically thought to be fully customizable to other EU MSs. Being effectively country-neutral, the proposed methodology has the capacity and the necessary adaptability to be implemented in multiple EU countries and, more importantly, to be used for assessment of different economic sectors. The EU MSs benefiting directly from the proposed action are Bulgaria, Romania, Spain and Italy. The methodology will be tested and tailored independently in each of the four countries, substantively coordinated by CSD and operationally performed by the local co-applicants.

3.2. Specific objectives of the action

3.2.1. Specific objectives (expected outcomes) of the action (*max 4000 characters*)

Define the specific objectives (correlated to the expected outcomes) of the action. For each specific objective, define appropriate indicators for measuring the progress of achievement, including an unit of measurement, baseline value and target value. The outcome is defined as the likely or achieved short-term and medium-term effect of an Action's outputs. Please explain how the outcomes are expected to contribute to the general objective.

The proposed action will design and implement an integrated risk assessment tool for Estimating State Capture and Monitoring of Anticorruption Policies at the Sectoral level (SceMaps). SceMaps is built on the application of three distinct types of qualitative and big data quantitative research and analytical instruments, which combined will provide, for the first time, a detailed analysis of the state capture phenomenon on the level of individual economic sectors. Stepping on past research on the degree of state capture on national level, in Bulgaria, Italy, Romania and Spain, the project will focus on the following NACE economic sectors, already identified as high-risk: wholesale of solid, liquid and gaseous fuels and related products; wholesale of pharmaceutical goods; construction. The action will cover Bulgaria, Italy, Spain and Romania as direct country beneficiaries.

Specific objective 1: Develop and implement an integrated tool for state capture risk assessment on sectoral level, based on cross-sectional data analysis and assessment of the enforceability of anti-corruption measures on the level of individual public institutions. *Indicators:* Mapping report (40 pages in English, printed in 300 copies); 3 Progress Reports (20 pages each, electronic format in English), assessing the process of methodological development and implementation; number of comments/feedback/input provided by the members of the Advisory Board; 3 Policy and Regulatory Capture Reports (70 pages, 400 copies each in English, with translated executive summaries in 4 languages), assessing and comparing the selected 3 economic sectors in 4 EU MSs, and delivering policy recommendations.

The development and implementation of SceMaps will have three specific outcomes:

(a) Assessment of state capture risks on sectoral level. *Indicators:* 3 MACPI State Capture expert questionnaires (60 responses per sector in each of the 4 EU target countries).

(b) Evaluation of the enforceability and impact of anti-corruption measures and policies on the level of individual public institutions, relevant to the identified economic sectors. *Indicators:* 8 MACPI Institutions questionnaires (2 relevant institutions per country, responses from experts and public officials).

(c) Integration of cross-sectional data analysis and development of a publicly accessible web-based platform with interactive analytics and company/institutional profiling. *Indicators:* 1 web-based platform with main navigation functionalities - Companies (Suppliers) (to include only companies above a certain procurement activity threshold /e.g. more than 5 tenders in any of the target 3 industries in the last 5 years/); Contracting Entities/Public Bodies/Institutions (Buyers) (to include only contracting above a certain procurement activity threshold /e.g. more than 5 tenders awarded by the particular buyer in any of the target 3 industries in the last 5 years/); Market Sizing (Number of contract awards, Value of contracts and Level of competitiveness by number of awarded companies per industry/per time unit (year/quarter/month); specially designated media content alert system.

Specific objective 2: Introduce blueprint for best practices implementation and data exchange for civil oversight. *Indicators:* State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level (70 pages, printed in English, 300 copies), presenting a step-by-step approach to methodological development and implementation; civil society training (20 participants).

Specific objective 3: Initiate public-private dialogue on EU level through effective engagement and dissemination. *Indicators:* Newsletters (5 issues, electronic, 2 pages in English); Engagement and dissemination strategy (1 internal document in English, 10 pages); Media Notes (10 issues, 5 pages, electronic, in English); cross-country sectoral investigative journalism article (1, 10 pages, investigating 1 sector in 4 countries); Social media accounts; 5 infographics.

3.2.2. To which specific objective(s) specified in the Call for Proposals does this action refer?

- ☒ to develop data and risk management tools that enable civil oversight in areas with high corruption risks and significant economic and social impact (public procurement, healthcare corruption, interaction between business and politics);
- ☒ to develop tools promoting an integrated approach to measuring progress in preventing, detecting, prosecuting and sanctioning corruption and to assessing impact of corruption and of anti-corruption measures;
- ☐ to develop practical tools that enable the prevention and prosecution of the use of financial and professional services for corruption and for laundering proceeds of complex corruption crimes with a cross-border dimension;
- ☐ to enhance communication, coordination and cooperation between enforcement authorities in Member States with other relevant authorities at national (*inter alia* audit institutions, tax and competition authorities, FIUs, financial institutions) and international level (e.g. Europol);
- ☒ to promote the implementation of best practices and data exchange at EU level.

3.3 Methodology (max 2000 characters)

Outline the approach and methodology underpinning the activities of the action. Explain why they are the most suitable for achieving the action's objectives.

The SceMaps methodology combines expert-based survey methodology and big-data approaches to deliver an innovative integrated risk assessment tool for assessing the mechanisms and prevalence of state capture at the level of economic sectors in the EU (and more specifically in Bulgaria, Italy, Spain and Romania).

Following a comprehensive mapping exercise the project will design, tailor and implement SceMaps, by integrating experts' assessments and company data. The project partners will adapt for the specific sectoral level existing methodologies from the MACPI risk-assessment tools' family for assessment of State Capture ('MACPI State Capture') and assessment and monitoring of anticorruption policies' implementation and enforcement ('MACPI Institutions') – initially developed by CSD and tested on EU-level and region of Southeast Europe. The methodology is based on the preparation of two types of expert surveys, tailored to public officials, working in institutions related to the selected economic sectors, and qualified independent experts. The expert-based data will be combined with risk indicators based on integration of company-level big data. The latter will be complemented by delivery of publicly accessible web-based platform with interactive analytics and company/institutional profiling, and the integration of media content alert, based on data from procurement, company and other publicly accessible registers.

The performed sectoral analyses will be incorporated into three Policy and Regulatory Capture Reports, delivering policy recommendations. The cycle of SceMaps methodological development and implementation will be incorporated into a State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level, used for delivering training for civil society oversight, for NGOs. The project results and outputs will be used to enhance public-private dialogue on EU level through effective outreach and dissemination.

PART 4 – DESCRIPTION OF WORK PACKAGES AND ACTIVITIES

4.1. Description of work packages

Explanatory Notice

In Part 4 describe in detail the activities that you will undertake in order to achieve the objectives you described in Part 3 of this document. This section is divided into work packages, i.e.: sets of activities leading to a specific outcome that you wish to produce.

Any action will have a minimum of two work packages: Work package 1 with the management and coordination activities and Work package 2 with outputs/deliverables related to the objective(s) of the action. As many additional work packages as necessary can be introduced by copying Work package 2. The division should be logical and guided by the different identifiable output of an activity. Under each work package you should then enter an objective (expected outcome), list specific activities that you will undertake and list outputs and deliverables of the work package.

Work package 1

Work package: Management and Coordination of the Action

What is "Work package 1"?

Work package 1 is intended for all activities related to the general management and coordination of the action (meetings, coordination, project monitoring and evaluation, financial management) and all the activities which are cross cutting and therefore difficult to assign just to one specific work package. In such case, instead of splitting them across many work packages please enter and describe them in Work package 1. For this reason it has a different layout where you do not have to enter objectives and duration. Nevertheless this work package will have its own deliverables (e.g. final report, work plan, evaluation report) and outputs (e.g. meetings).

I. Description of the work (activities)

Please present a concise overview of the work in this work package in terms of planned activities. Please be specific, give a short name for each activity and number them (the same activities will have to be reproduced in the section III).

1.1. Advisory Board

An Advisory Board will be established with the specific purpose to review, assess and give feedback during the process of methodological development of the proposed set of tools. The Board will consist of seven external experts with proven track record and long-standing achievements in the fields of corruption assessment and anti-corruption research. The Members of the Advisory Board will participate actively in Work packages 2 and 3, providing feedback and input for the Progress reports (see Deliverables 2.2, 2.3, 3.4) and attending project events.

1.2. Consortium Management Committee

The Consortium Management Committee (CMC) will consist of four members (one representative of the Applicant and one representative of each Co-applicant organisation). It will be responsible for providing managerial guidance in the course of the project and for exercising oversight over the implementation of the foreseen activities. The CMC's tasks will include the development and regular update of the project work plan (activity 1.3), distribution (and, where necessary, re-distribution) of tasks among the project team members, review and approval of project outputs, and solution of any substantive, administrative and financial issues that might occur during the implementation of the action. The CMC will have the prime responsibility to mitigate any potential risks that may arise. The CMC will be responsible for the monitoring of the action, as well as for final reporting and evaluation of the project.

1.3 Planning

The operational planning of the project will be incorporated into a work plan document for internal use. The CMC will develop the and approve it during the project's kick-off workshop (activity 1.4). The Work Plan will be adaptive tool to coordinate the overall effort, while ensuring the following of the pre-approved timeline. The Work Plan will be based on the description of the action and organised by work packages, activities and outputs. It will indicate the partner organisations and the project team members responsible for the execution of each task, the

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interim (internal) and final deadlines for its completion, and any other relevant information (e.g. notes indicating progress, modifications, etc.). The revisions and updates to the work plan will be done through consultations among the CMC members via e-mail and/or conference calls as well as during the Monitoring and evaluation workshops and other internal meetings.

1.4 Monitoring and evaluation workshops

A total of **four monitoring and evaluation (internal) workshops** will be organised throughout the project cycle. (1) A Kick-Off Workshop will be held in Sofia, in the very beginning of the action, so that the project partners can be briefed on and discuss the Commission's managerial, financial and accounting requirements. The kick-off workshop will also serve to identify and select the members of the Advisory Board. (2 & 3) Two Progress Workshops, organised in Madrid and Bucharest, will be held to discuss methodological issues and implementation will subsequently gather both partners and members of the Advisory Board under Work Packages 2 and 3. If necessary, additional internal meetings for follow up and discussions on the status of the project will be organised online, and/or held back to back with the public events under Work Package 4. (4) Due to COVID-19 related restrictions the Concluding Workshop for internal coordination will be organised as an online event. It will bring together the members of the CMC who will review and assess the overall implementation of the project, discuss the reporting procedure, and outline follow-up activities for achieving better sustainability of the project results.

The discussions and decisions from each workshop will be summarised in written minutes, which will be approved by the CMC and sent to all project partners.

1.5 Reporting of the action

Coordinated by the Applicant and the CMC, all partner organisations will be responsible for the timely reporting of the project activities, in line with the Commission's requirements.

II. Expected outputs (incl. deliverables)

Outputs are the products, capital goods and services which result from an Action's activities.

Deliverables are outputs which can be delivered to the Commission printed on paper or in a digital format.

Limit the number of outputs and deliverables, do not include minor sub-items or internal working papers.

Examples of outputs (excl. deliverables) and deliverables for work package 1:

- **Outputs** (excl. deliverables) – kick-off meetings, coordination meetings, steering committees
- **Deliverables** – Mid-term progress report in case of project duration ≥ 24 months, any other report; minutes, agreements.

II.a. Expected output(s) (excl. deliverables) of this work package

Output No.	Output (a)	Explanation (b)
1.1	Advisory Board	Established to review, assess and give feedback during the project implementation; 7 members; Participation of some members in First and Second Progress Workshops, Stakeholder roundtable and International Policy Conference.
1.2	Consortium Management Committee	Established to coordinate, manage and oversee the project; 4 members (1 for each organisation in the consortium).
1.3	Monitoring and evaluation workshops	Events (four internal workshops, total of 50 participants), 2 representatives of the coordinator and two representatives of each partner; representatives of the Advisory Board and the CMC, where applicable.

Please list outputs produced under this work package:

(a) be specific as to the scope and level of ambition, therefore use a quantitative description where applicable, (e.g. X meetings organised with X participants each)

(b) please add here additional information which would help the evaluator to understand the characteristics/scope/level of ambition of the output(s).

II.b. Expected deliverable(s) of this work package

Deliverable No.	Deliverable name/type (a)	Format (b)	Language (c)	Months of implementation (d)
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1.1	Work Plan	Electronic, up to 10 pages	English	1
1.2	Monitoring and evaluation workshops minutes (four documents)	Electronic, up to 5 pages	English	2, 7, 17, 27
1.3	Technical and financial report	Electronic, 40 pages	English	22

Please list the deliverables produced under this work package.

(a) the type/name of deliverable should be self-explanatory

(b) the format could be: printed and/or electronic (downloadable), the approx. number of pages

(c) please specify each language in which the deliverable will be available

(d) specify the month in which the deliverables will be actually completed. Month 1 marks the start of the action, and all deadlines should be relative to this starting date.

III. Distribution of activities to each Applicant/Co-applicant in this work package

- ✓ Establish a clear list of the activities described above indicating which activity is performed by which Applicant/Co-applicant.

Activity No.	Name of the activity	Applicant/Co-applicant	Effort in person month
1.1	Advisory Board	Applicant and Co-applicants	0,3 Months
1.2	Consortium management committee	Applicant and Co-applicants	0,9 Months
1.3	Planning	Applicant and Co-applicants	2,8 Months
1.4	Monitoring and evaluation workshops	Applicant and Co-applicants	3,5 Months
1.5	Reporting of the action	Applicant and Co-applicants	2,1 Months

IV. Sub-contracting

Indicate which activities will be sub-contracted and explain the reasons for sub-contracting (as opposed to the direct implementation by the applicant / co-applicant) (if any). Purchase of goods or services necessary for the implementation of activities by the applicant / co-applicant should not be considered sub-contracting. In principle, the applicant and co-applicant should have the capacity to carry out the activities of the action. Nevertheless, in some cases sub-contracting of the implementation of certain activities might be justified. The core action management functions cannot be sub-contracted under any circumstances.

Not Applicable

► Work package 2

Work package: Developing an integrated risk assessment tool for estimating state capture and monitoring of anti-corruption policies at the sectoral level (SceMaps)

Duration in months: 12

Name of the Applicant/Co-applicant leading this work package (if applicable): CSD

I. Objective(s) of this work package (expected outcome)

Development of an integrated tool to estimate and provide diagnostics of state capture at the level of economic sectors and assess and monitor the anticorruption policies and policy tools related to the sector as well as those anticorruption policies which are implemented in the public organization relevant to the sector. The methodology is based upon tested, on EU-level, methodologies: MACPI State Capture developed for assessing the degree of state capture on national level (piloted in Italy, Bulgaria, Spain and Romania); and MACPI Institutions, evaluating the effectiveness and enforceability of anti-corruption measures at the level of individual public bodies (piloted in multiple institutions in Bulgaria and Italy).

The action builds on this experience by adapting the abovementioned tools to quantitative assessment of the prevalence and spread of capture processes in particular high-risk economic sectors, exhibiting high monopolization and ineffective regulations, public procurement concentration, and lobbyist laws. This will be achieved by:

- adapting the MACPI State Capture experts' survey for sectoral level and integrating the results with the information obtained through the other instruments of the integrated tool;
- assessing the anticorruption setup of key institutions in the sectors with MACPI Institutions and integrating the results with the information obtained through the other instruments of the integrated tool;
- integrating cross-sectional data analysis and development of a publicly accessible web-based platform with interactive analytics and company/institutional profiling.

II. Description of the work (activities)

Please present a concise overview of the work in this work package in terms of planned activities to achieve the objectives of this work package. Please be specific, give a short name for each activity and number them (the same activities will have to be reproduced in the section IV).

2.1. Mapping analysis

The project partners will initially map the policy, market, institutional, technical and data availability aspects, related to the three pre-selected NACE economic sectors: Wholesale of solid, liquid and gaseous fuels and related products; Wholesale of pharmaceutical goods; Construction. The three sectors are identified as high-risk during a previously performed national assessment of the risk of state capture in Bulgaria, Italy, Spain and Romania.

More specifically, the activity will produce a Mapping report based on desk research, analysing the following main aspects in the target 4 EU MSs: country-specific information about the three diagnosed sectors including public organizations playing important role in regulating or/and controlling the sectors in the particular countries, anti-corruption and anti-trust laws and policies relevant to the three sectors, European public registers as well as local registers with data relevant to the assessment of the sectors and the development of risk indicators.

2.2. Developing an integrated risk assessment tool for state capture estimation and monitoring of anti-corruption policies at the sectoral level (SceMaps).

The project partners will adapt for the specific sectoral level the existing methodologies from the MACPI family (developed through previous DG Home grants) for assessment of state capture and assessment and monitoring of anticorruption policies' implementation and enforcement. The methodology is based on the preparation of two types of expert surveys, tailored to public officials, working in institutions related to the selected economic sectors, and qualified independent experts. Separate expert questionnaires (a total of 3) will be developed for each of the three sectors, targeting a minimum of 60 respondents per sector in each country. The questionnaires will be translated into national languages and will be adapted for the particular country, including the relevant list of public organisations for their sectors which typically varies slightly from country to country. Alternatively, the

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questionnaire could be developed in such a way so as to assess government functions relevant to the three sectors instead of particular public organizations. This approach would allow for comparisons between the four countries and the development of quantitative indicators for each of the sectors. Besides assessment of the government control and regulation related to the sector, the questionnaire will include sector-specific questions and questions related to assessment of anti-corruption and anti-trust laws and policies in the sector.

In addition, separate MACPI Institutions questionnaires will be developed for 2 key public organizations for the three sectors for each of the countries (a total of 8 questionnaires). These questionnaires will assess the anticorruption setup in relevant public organizations critical for one or more of the assessed sectors. One questionnaire per institution will be developed based on the available description of the anti-corruption setup, policies in place, measures, etc. The particular institutions will be selected based on the results from the MACPI State Capture survey.

2.3. Integration of cross-sectional data analysis and development of a publicly accessible web-based platform with interactive analytics and company/institutional profiling

SceMaps will be reinforced by the integration of big data analysis, market concentration and identification of red flags, based on data from procurement (mainly through the use of the TED database) and from media content red analysis. As a final outcome, the project partners will deliver a web-based publicly accessible interactive platform which will display both company (supplier) and institutional (buyer) rankings as per concentration of number and value of contracts, recent procurement activity red flags, media suspicious activity report alerts, in addition to specifically identified institutional profiling (performed by MACPI Institutions instrument). At the stage of development a prototype of the platform will be initially launched and tested.

The platform will work with contract award data from the period between 2010 and the end date of the project action and will focus on companies and institutions in the three targeted economic sectors in the 4 project countries. The platform will be interactive in the sense that it will display all relevant data encompassing the mentioned period with up-to-date analysis as per the end date of the project. It can then be dynamised, and regularly updated based on commercial subscription interest. It will offer interactive analytics and profiling of companies. Following its piloting in the four project countries it can then ideally be extended to cover all 28 countries with real-time coverage. For each ranked company and institution, the platform will feature interactive procurement activity related buyer/supplier profile, full project history, and media profile. All rankings (company and institution lists), project history (tender lists), relevant media history (articles lists) and individual company and institutional reports will be exportable in variety of formats (.csv, txt, PDF where relevant /for profiles/).

2.4 Monitoring and evaluation of methodology development

The project partners will ensure the quality of the methodological process through the preparation of two Progress Reports. The First Progress report will be presented during the First Progress Workshop in Madrid (month 7, see activity 1.4). The Second Progress Report will contain draft of the methodology and pilot results from the integration of the big data tools. The Progress Reports will be subject to discussions and feedback, provided by the Advisory Board. In parallel the consortium will be drafting of a comprehensive State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level (see activity 3.1)

2.5 Stakeholders round table

The round table will provide the opportunity for stakeholders to discuss and give additional feedback to the proposed integrated tool. The round table will be organised in Brussels, so as to gather representatives with interest and knowledge of the four participating countries, experts working in EU institutions, directly involved in the 3 sectors, and members of the Advisory Board. The project partners will discuss the status of the work and the Second Progress Report, containing draft of the methodology and pilot results from the integration of the big data tools. The event is planned to host 25 participants and will be used for testing and streamlining the action's approach.

III. Expected outputs (incl. deliverables)

Outputs are the products, capital goods and services which result from an Action's activities.

Deliverables are outputs which can be delivered to the Commission printed on paper or in a digital format.

Limit the number of outputs and deliverables, do not include minor sub-items or internal working papers.

Examples of outputs (excl. deliverables) and deliverables for work package 0:

- **Outputs** (excl. deliverables) – kick-off meetings, coordination meetings, steering committees
- **Deliverables** – report, minutes, agreements

III.a. Expected output(s) (excl. deliverables) of this work package

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Output No.	Output (a)	Explanation (b)
2.1	Stakeholders round table	Relevant stakeholders will discuss and provide additional feedback to the proposed integrated tool for assessing state capture on sectoral level.

Please list outputs produced under this work package:

(a) be specific as to the scope and level of ambition, therefore use a quantitative description where applicable (e.g. X regional seminars organised with X participants each, X hours of training (who was trained, where))

(b) please add here additional information which would help the evaluator to understand the characteristics/scope/level of ambition of the output(s)

III.b. Expected deliverable(s) of this work package

Deliverable No.	Deliverable name/type (a)	Format (b)	Language (c)	Beneficiaries (d)	Months of implementation (e)
2.1	Mapping Report	Printed, 40 pages, 300 copies	English	Civil society, businesses, business associations, academics, public institutions, policy makers	6
2.2	First Progress Report	Electronic, 20 pages	English	Project partners	7
2.3	Second Progress Report	Electronic 20 pages	English	Project partners	12
2.4	MACPI SC questionnaires	Electronic, 10 pages, 3 sectoral survey (to be tailored for each country)	Bulgarian, Spanish, Italian, Romanian, English	Project partners, public administrations, civil society	7
2.5	MACPI Institutions questionnaires	Electronic, 10 pages, Electronic, 10 pages, 8 institutional surveys (to be tailored for each institution)	Bulgarian, Spanish, Italian, Romanian, English	Project partners, public administrations, civil society	11
2.6	Publicly accessible web-based platform with interactive analytics and company/institutional profiling (PROTOTYPE/TEST)	Electronic	English	Project partners, public administrations, civil society	12
2.7	State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level (DRAFT)	Electronic, 30 pages	English	Project partners, public administrations, civil society	12

Please list the deliverables produced under this work package.

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- (a) the type/name of deliverable should be self-explanatory and could be: a publication (flyer / brochure / working paper / article / press release / slides / CD), website / web-tool, etc.
- (b) indicate the format (printed / electronic), the approximate number of pages and copies of a publication
- (c) specify each language in which the deliverable will be available
- (d) indicate the specific short / medium / long term beneficiaries for each deliverable
- (e) specify the month in which the deliverables will be actually completed. Month 1 marks the start of the action, and all deadlines should be relative to this starting date.

IV. Distribution of activities to each Applicant/Co-applicant in this work package

Establish a clear list of the activities described above indicating which activity is performed by which Applicant/Co-applicant.

Activity No.	Name of the activity	Applicant/Co-applicant	Effort in person months
2.1	Mapping analysis	Applicant and Co-Applicants	5 Months
2.2	Developing an integrated risk assessment tool for state capture estimation and monitoring of anti-corruption policies at the sectoral level (SceMaps)	Applicant and Co-applicants	6,2 Months
2.3	Integration of cross-sectional data analysis and development of a publicly accessible web-based platform with interactive analytics and company/institutional profiling	Applicant	11,5 Months
2.4	Monitoring and evaluation of methodology development	Applicant and Co-Applicants	2 Months
2.5	Stakeholders round table	Applicant and Co-Applicants	1 Month

V. Travels

If the costs for travel and substance (B.1+B.2) as presented in Part A, point 3 *Budget* of the application exceed 15% of the total costs, you should provide detailed information on the nature and objectives of each trip, its relevance to the project, location (EU/non-EU), number of participants.

Trip No.	Objective, nature and relevance to the project	Applicant/Co-applicant;	Number of participants;	Location (EU/non-EU)	Days/DSAs
T.2.1					

VI. Sub-contracting

Indicate which activities will be sub-contracted and explain the reasons for sub-contracting (as opposed to the direct implementation by the applicant / co-applicant) (if any). Purchase of goods or services necessary for the implementation of activities by the applicant / co-applicant should not be considered sub-contracting. In principle, the applicant and co-applicant should have the capacity to carry out the activities of the action. Nevertheless, in some cases sub-contracting of the implementation of certain activities might be justified.

VII. Equipment

Describe and list the equipment¹ to be purchased under this WP.

One business class Notebook, with technical performance parameters for big data analysis.

¹ Under this action the full cost of purchase of equipment is not eligible. The costs for the equipment envisaged to be purchased shall only cover the project duration depreciation costs. The latter shall be written off in accordance with international accounting standards and the beneficiary's usual accounting practices. See also the provisions of Article 6.2 E.1 of the Model Grant Agreement.

► Work package 3

Work package: SceMaps pilot implementation

Duration in months: 10

Name of the Applicant/Co-applicant leading this work package (if applicable): CSD

I. Objective(s) of this work package (expected outcome)

Work package 3 materialises the methodological efforts and pilots the newly developed integrated tool for assessing state capture on sectoral level in Bulgaria, Italy, Spain and Romania.

II. Description of the work (activities)

Please present a concise overview of the work in this work package in terms of planned activities to achieve the objectives of this work package. Please be specific, give a short name for each activity and number them (the same activities will have to be reproduced in the section IV).

3.1. SceMaps pilot implementation

The actual implementation of the proposed methodology requires fieldwork and analytical efforts by the project partners. They will gather and analyse qualitative data from the expert surveys, while simultaneously performing analysis on the integration of objective, quantitative data from multiple sources. This process will result in the development and finalisation of two types of products;

a) Three cross-country Policy and Regulatory Capture Reports, assessing and comparing the selected 3 economic sectors in the 4 EU MSs, and delivering policy recommendations.

b) State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level. The document will follow the cycle of methodological development and implementation and will provide specific guidance on adaptability to and replication in different EU countries and economic sectors. Demonstration and tutorial of the blueprint on state capture assessment on the level of individual economic sectors will held for civil society organisations (see Activity 4.2). Potentially, the Toolkit can be also used to train public administrations in adapting and implementing SceMaps.

c) Full-scale launch of the publicly accessible web-based platform with interactive analytics and company/institutional profiling

The project partners will deliver a web-based publicly accessible interactive platform which will display both company (supplier) and institutional (buyer) rankings as per concentration of number and value of contracts, recent procurement activity red flags, media suspicious activity report alert, in addition to specifically identified institutional profiling (performed by MACPI Institutions instrument).

Preliminary end-user functionalities will include:

- *Main Navigation Option 1 Toolbar A:* Company ranking as per overall contract awards concentration filters (Total tender count; Value of contracts acquired; Average number of tenders per year; Average tender size; Number of tenders for last 12 months; Monetary value acquired for last 12 months)
- *Main Navigation Option 1 Toolbar B:* Company ranking as per recent activity alerts/red flags (Recent and suspicious activity alerts will be integrated providing users with the option to filter companies per sector/country/location based on their recent (last 12 months success compared to the previous overall performance).
- *Main Navigation Option 1 Toolbar C:* Company ranking as per suspicious activity mentioning in media - A specially designated media content red flags alert system based on pre-selected keywords related to corruption in procurement and/or political activity connections of companies and/or institutional key staff changes, etc. to be designed in order to flag companies. Project partners will monitor a pre-selected list of national and regional media outlets with online presence in the 4 targeted countries. Ranking will again include only companies with above 5 tenders in the targeted sectors in the last 5 years to be ranked as per the media mentions red flags systems.
- *Main Navigation Option 2 Toolbar A:* Institutional ranking as per overall contract awards concentration filters.

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- *Main Navigation Option 2 Toolbar B*: Institutional ranking as per recent activity alerts/red flags.
- *Main Navigation Option 2 Toolbar C* (as per media analysis): Institutional ranking as per suspicious activity mentioning in media.
- *Main Navigation Option 2 Toolbar D*: Institutions highlighted by the MACPI Institutions analysis with specific project history, interactive institutional profiles, and media profiles.
- *Four Main Navigation Option 3 Toolbar Options* - Market Sizing (per industry/per country/per time unit/ per political elections cycle): Number of contract awards; Value of contracts; Level of competitiveness by number of awarded companies; and Level of competitiveness by number of awarded companies.

Ranking, based on government terms (political election cycle) will also be included. API access for any-third party machine access will additionally be allowed, so the public, media, market researchers and civil society organisations could access the raw data and run additional analytics.

3.2 Monitoring and evaluation of SceMaps implementation status

The project partners will ensure the quality of the integrated tool's implementation through the preparation of the Third Progress Report, to be presented during the Concluding Workshop (month 24, see activity 1.4). This last of the three progress reports will be subject to discussions and feedback, provided by the Advisory Board, adding additional value to the development and finalisation of the action's main outputs.

III. Expected outputs (incl. deliverables)

Outputs are the products, capital goods and services which result from an Action's activities.

Deliverables are outputs which can be delivered to the Commission printed on paper or in a digital format.

Limit the number of outputs and deliverables, do not include minor sub-items or internal working papers.

Examples of outputs (excl. deliverables) and deliverables for work package 0:

- **Outputs** (excl. deliverables) – kick-off meetings, coordination meetings, steering committees
- **Deliverables** – report, minutes, agreements

III.a. Expected output(s) (excl. deliverables) of this work package

Output No.	Output (a)	Explanation (b)
3.1		
3.2		
...		

Please list outputs produced under this work package:

(a) be specific as to the scope and level of ambition, therefore use a quantitative description where applicable (e.g. X regional seminars organised with X participants each, X hours of training (who was trained, where))

(b) please add here additional information which would help the evaluator to understand the characteristics/scope/level of ambition of the output(s)

III.b. Expected deliverable(s) of this work package

Deliverable No.	Deliverable name/type (a)	Format (b)	Language (c)	Beneficiaries (d)	Months of implementation (e)
3.1	3 Policy and Regulatory Capture Reports	Printed and electronic, 70 pages each, 400 copies each in English, with translated executive summaries in 4 languages.	English, Bulgarian, Spanish, Romanian, Italian	Civil society, businesses, business associations, academics, public institutions, policy makers, media	27
3.2	State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level	Printed and electronic, 70 pages, 300 copies	English	Civil society, business associations, academics, public	26

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3.3	Publicly accessible web-based platform with interactive analytics and company/institutional profiling	Electronic	English	institutions, policy makers Civil society, businesses, business associations, academics, public institutions, policy makers, media, general public, researchers	24
3.4	Third Progress Report	Electronic, 20 pages	English	Project partners	27

Please list the deliverables produced under this work package.

- (a) the type/name of deliverable should be self-explanatory and could be: a publication (flyer / brochure / working paper / article / press release / slides / CD), website / web-tool, etc.
 (b) indicate the format (printed / electronic), the approximate number of pages and copies of a publication
 (c) specify each language in which the deliverable will be available
 (d) indicate the specific short / medium / long term beneficiaries for each deliverable
 (e) specify the month in which the deliverables will be actually completed. Month 1 marks the start of the action, and all deadlines should be relative to this starting date.

IV. Distribution of activities to each Applicant/Co-applicant in this work package

Establish a clear list of the activities described above indicating which activity is performed by which Applicant/Co-applicant.

Activity No.	Name of the activity	Applicant/Co-applicant	Effort in person months
3.1	SceMaps pilot implementation	Applicant and Co-applicants	19,1 Months
3.2	Monitoring and evaluation of SceMaps implementation status	Applicant and Co-applicants	2,7 Months

V. Travels

If the costs for travel and substance (B.1+B.2) as presented in Part A, point 3 *Budget* of the application exceed 15% of the total costs, you should provide detailed information on the nature and objectives of each trip, its relevance to the project, location (EU/non-EU), number of participants.

Trip No.	Objective, nature and relevance to the project	Applicant/Co-applicant;	Number of participants;	Location (EU/non-EU)	Days/DSAs
T.3.1					

VI. Sub-contracting

Indicate which activities will be sub-contracted and explain the reasons for sub-contracting (as opposed to the direct implementation by the applicant / co-applicant) (if any). Purchase of goods or services necessary for the implementation of activities by the applicant / co-applicant should not be considered sub-contracting. In principle, the applicant and co-applicant should have the capacity to carry out the activities of the action. Nevertheless, in some cases sub-contracting of the implementation of certain activities might be justified.

Not applicable

VII. Equipment

Describe and list the equipment² to be purchased under this WP.

Not applicable

² Under this action the full cost of purchase of equipment is not eligible. The costs for the equipment envisaged to be purchased shall only cover the project duration depreciation costs. The latter shall be written off in accordance with international accounting standards and the beneficiary's usual accounting practices. See also the provisions of Article 6.2 E.1 of the Model Grant Agreement.

➤ Work package 4

Work package: Engagement and dissemination of best practices

Duration in months: 22

Name of the Applicant/Co-applicant leading this work package (if applicable): CIVIO

I. Objective(s) of this work package (expected outcome)

The impact and added value of the project will be expanded through its electronic and offline engagement and dissemination activities. Work package 4 will be implemented throughout the entire life cycle of the action. The proposed set of engagement and dissemination activities will (a) raise awareness of the state capture phenomenon on EU-level and its impact in specific industries; (b) provide, as a best practice, and make available for civil society organisations an innovative tool, adaptive and fully customisable across countries and industries; (c) engage online and offline communities, with interest in specific economic sectors, to more openly discuss the existing governance challenges; (d) further highlight the threat and implications of state capture on EU level.

II. Description of the work (activities)

Please present a concise overview of the work in this work package in terms of planned activities to achieve the objectives of this work package. Please be specific, give a short name for each activity and number them (the same activities will have to be reproduced in the section IV).

4.1. International policy conference

The project partners will present the action's main analytical and methodological products (3 Policy and Regulatory Capture Reports and the State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level (SceMaps Integrated Toolkit) (see activity 3.1) during a high level international policy conference, which will be organized as an online event due to COVID-19 related restrictions for travels and public gatherings. The target audience is policy, business and civil society stakeholders, and media. The forum will reflect the project's key messages, conclusions, recommendations and will help set future efforts in the field of complex corruption practices. The conference will aim to attract the participation of at least be attended by 60 registered participants. It will be publicly streamed as a video event either in Facebook or in Youtube in order to be accessible for non-registered users and to reach as largest as possible audience. In addition, the video recording of the conference will be made available for public access after its end and will be accordingly announced and presented at the project's and partners' web-sites and social media channels.

4.2 Demonstrations and tutorials for civil society oversight – training for assessing state capture on sectoral level

The consortium members will organise a series of 3 civil society trainings (20 participants each) on best practices for state capture assessment and anti-corruption policy evaluation on sectoral level. The trainings will be life streamed and recorded, and will be made publicly available later on the project's and partners' web-sites and social media channels aiming at reaching as wide as possible audience. The core of the training will be a detailed review the already piloted methodology, its conclusions, as well as specific guidelines to replication across multiple EU countries and economic sectors, based on the 'State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level' publication. The design of the integrated tool is specifically thought to be fully customizable to other EU MS. Being effectively country-neutral, the proposed methodology has the capacity and the necessary adaptability to be implemented in multiple EU countries and, more importantly, to be used for assessment of different economic sectors. Thus, it is potentially a great asset for every civil society organisation in pursue of impact and effective oversight of public economic and institutional governance and performance. At the end, the video recordings from the online trainings and the supporting documents (presentations, agenda), together with the 'State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level', and the 3 Policy and Regulatory Capture Reports will create a comprehensive training curricula, which might be used by interested stakeholders for future training.

4.3 Creating tailored content for awareness raising on multiple levels

The action will raise awareness of the risk of state capture on multiple levels by creating specific content, tailored for the different types of beneficiaries, target groups and more general stakeholders of the action. Apart from general promotion and dissemination activities, by adapting content from the overall project research and analysis, the partners will produce 8 Media Notes, timed according to current affairs dynamics.

CSD will publish one academic article (with the intention of being published in a referenced journal), with a focus on

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the developed SceMaps methodology. The academic article will be based on the research, analyses and results of the action.

In addition, CIVIO will be responsible for delivering a cross-country sectoral investigative journalist article, focused on one of the three high risks sectors, targeted by the project, covering the 4 EU MSs. The process for developing the 10-page article will include journalistic investigation, getting letters of intent from media partners, drafting and designing and interface of the article, parsing, cleaning and structuring of data for extracting valuable and notable insights from the data sets, etc.

4.4 Interactive social media engagement

Social media platforms (including Facebook and Twitter accounts) will be used regularly not only to disseminate achievements, created by associated activities, but also to create and disseminate new content. Five infographics will be created to enhance engagement of relevant beneficiaries, target groups and stakeholders.

4.5. Internet publicity and engagement of partner networks

Due to the lengthy process of creating separate webpage and generating the necessary traffic on it, the consortium will instead make use of the webpages of its individual partners, as well as of partner networks across Europe. Experience shows that this approach, combined with strong social media presence is more effective. In addition, to keep the stakeholders regularly updated on the progress of the project a Newsletter (total of 5 newsletter issues) will be compiled and distributed following the (a) realisation of major outputs or deliverables; (b) important developments in the 3 economic sectors in Italy, Spain, Bulgaria and Romania; (c) other relevant content, part of the action's engagement and dissemination strategy.

4.6 Creating visual identity

The project partners will design and implement a package of project materials to increase visibility and engagement of all relevant stakeholder and beneficiaries' groups. More specifically, the activity will include, among others, designing a project logo, used for the web-based platform, events, presentation templates, online communication and all project outputs and deliverables. Project banner and additional materials, if necessary, will be made available. This approach will help for familiarising all relevant stakeholders, target groups and beneficiaries with the action.

4.7 Engagement and dissemination strategy

All engagement and dissemination activities will be based on an Engagement and dissemination strategy. The Strategy is an internal document, adopted by the CMC, agreed upon and distributed among the project partners. It outlines the type of dissemination and engagement activities to be carried out during the project.

In addition, all relevant stakeholders, approach, identified and/or researched during the project will be gathered into an internal database of stakeholder contacts. It will be constantly updated by adding new contacts established in the course of the project activities. The database's profile will include public officials, policy makers, civil society and business representatives, academics, independent experts, etc. (150+ contacts).

III. Expected outputs (incl. deliverables)

Outputs are the products, capital goods and services which result from an Action's activities.

Deliverables are outputs which can be delivered to the Commission printed on paper or in a digital format.

Limit the number of outputs and deliverables, do not include minor sub-items or internal working papers.

Examples of outputs (excl. deliverables) and deliverables for work package 0:

- **Outputs** (excl. deliverables) – kick-off meetings, coordination meetings, steering committees
- **Deliverables** – report, minutes, agreements

III.a. Expected output(s) (excl. deliverables) of this work package

Output No.	Output (a)	Explanation (b)
4.1	International online policy conference	Presentation of the action's main analytical and methodological products (3 Policy and Regulatory Capture Reports and the State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level (60 participants).
4.2	Demonstrations and tutorials for civil society oversight –	3 Online civil society trainings (20 participants each) on best practices for

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	training for assessing state capture on sectoral level	state capture assessment and anti-corruption policy evaluation on sectoral level.
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Please list outputs produced under this work package:

(a) be specific as to the scope and level of ambition, therefore use a quantitative description where applicable (e.g. X regional seminars organised with X participants each, X hours of training (who was trained, where))

(b) please add here additional information which would help the evaluator to understand the characteristics/scope/level of ambition of the output(s)

III.b. Expected deliverable(s) of this work package

Deliverable No.	Deliverable name/type (a)	Format (b)	Language (c)	Beneficiaries (d)	Months of implementation (e)
4.1	Newsletter	Electronic, 2 pages, 5 issues	Bulgarian, Romania, Italian, Spanish, English	General public, online and offline business communities, civil society, media	Month 10, 13 16, 23, 26
4.2	Engagement and dissemination strategy	Electronic, 5 pages	English	Project partners	Month 2
4.3	Media Notes	Electronic, 5 pages, 10 issues	Bulgarian, Spanish, Italian, Romanian, English	General public, online and offline business communities, civil society, policy makers, media	Month 7, 13, 21, 23, 25,26, 27, 28
4.4	Investigative journalism article	Electronic, 2 pages, 10 issues	English	General public, online and offline business communities, policy makers, civil society, media Academics, researchers	Month 26
4.5	Academic publication	Electronic, 20 pages, 1 article	English	General public, online and offline business communities, civil society, media	Month 27
4.6	Social media accounts	Electronic	Bulgarian, Spanish, Italian, Romanian, English	General public, online and offline business communities, policy makers, civil society, media	Month 2

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4.7	Infographics	Electronic, 5	English	Academics, researchers General public, online and offline business communities, policy makers, civil society, media Academics, researchers	Month 6, 11, 14, 19, 25, 27
4.8	Project materials (logo, banners, presentation templates, design)	Electronic and printed	English	General public, online and offline business communities, policy makers, civil society, media, researchers	Month 5

Please list the deliverables produced under this work package.

- (a) the type/name of deliverable should be self-explanatory and could be: a publication (flyer / brochure / working paper / article / press release / slides / CD), website / web-tool, etc.
- (b) indicate the format (printed / electronic), the approximate number of pages and copies of a publication
- (c) specify each language in which the deliverable will be available
- (d) indicate the specific short / medium / long term beneficiaries for each deliverable
- (e) specify the month in which the deliverables will be actually completed. Month 1 marks the start of the action, and all deadlines should be relative to this starting date.

IV. Distribution of activities to each Applicant/Co-applicant in this work package

Establish a clear list of the activities described above indicating which activity is performed by which Applicant/Co-applicant.

Activity No.	Name of the activity	Applicant/Co-applicant	Effort in person months
4.1	International policy conference	Applicant and Co-applicants	1.9 Months
4.2	Demonstrations and tutorials for civil society oversight – best practices for assessing state capture on sectoral level	Applicant and Co-applicants	4 Months
4.3	Creating tailored content for awareness raising on multiple levels	Applicant and Co-applicants	2,7 Months
4.4	Interactive social media engagement	CIVIO	1,3 Months
4.5	Internet publicity and engagement of partner networks	Applicant and Co-applicants	1,5 Months
4.6	Creating visual identity	CIVIO	1,4 Months
4.7	Engagement and dissemination strategy	Applicant and Co-	0,3 Months

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		applicants	
V. Travels			
If the costs for travel and substance (B.1+B.2) as presented in Part A, point 3 <i>Budget</i> of the application exceed 15% of the total costs, you should provide detailed information on the nature and objectives of each trip, its relevance to the project, location (EU/non-EU), number of participants.			
Trip No.	Objective, nature and relevance to the project	Applicant/Co-applicant;	Number of participants;
T.4.1			
VI. Sub-contracting			
Indicate which activities will be sub-contracted and explain the reasons for sub-contracting (as opposed to the direct implementation by the applicant / co-applicant) (if any). Purchase of goods or services necessary for the implementation of activities by the applicant / co-applicant should not be considered sub-contracting. In principle, the applicant and co-applicant should have the capacity to carry out the activities of the action. Nevertheless, in some cases sub-contracting of the implementation of certain activities might be justified.			
Not applicable.			
VII. Equipment			
Describe and list the equipment ³ to be purchased under this WP.			
Not applicable.			

³ Under this action the full cost of purchase of equipment is not eligible. The costs for the equipment envisaged to be purchased shall only cover the project duration depreciation costs. The latter shall be written off in accordance with international accounting standards and the beneficiary's usual accounting practices. See also the provisions of Article 6.2 E.1 of the Model Grant Agreement.

4.2. Implementation timetable

Action Title: State Capture Estimation and Monitoring of Anticorruption Policies at the Sectoral level (SceMaps)

	MONTHS																													
Number and name of the activity	M 1	M 2	M 3	M 4	M 5	M 6	M 7	M 8	M 9	M 1 0	M 1 1	M 1 2	M 1 3	M 1 4	M 1 5	M 1 6	M 1 7	M 1 8	M 1 9	M 2 0	M 2 1	M 2 2	M 2 3	M 2 4	M 2 5	M 2 6	M 2 7	M 2 8		
Activity 1.1 - Advisory Board																														
Activity 1.2 - Consortium management committee																														
Activity 1.3 – Planning	ID																													
Activity 1.4 – Monitoring and evaluation workshops		IE / ID					IE / ID										IE / ID										IE / ID			
Activity 1.5 - Reporting of the action																												R		
Activity 2.1 - Mapping analysis						M D																								
Activity 2.2 - Developing an integrated risk assessment tool for state capture estimation and monitoring of anti-corruption policies at the sectoral level (SceMaps)							P R	ID			ID	P R, D R																		

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[illegible]

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Activity 4.3 - Creating tailored content for awareness raising on multiple levels							ME							ME									ME		ME	JA / ME	JA / ME	ME
Activity 4.4 - Interactive social media engagement		ME				ME					ME			ME											ME		ME	
Activity 4.5 - Internet publicity and engagement of partner networks										ME			ME			ME							ME			ME		
Activity 4.6 - Creating visual identity					ME																							
Activity 4.7 - Engagement and dissemination strategy	ME	ID																										

Legend:

DR – Drafts/prototype of main deliverables

ID – Internal documents (e.g. Work Plan, Engagement and dissemination strategy, workshop minutes etc., expert questionnaires)

IE – Internal events (e.g. Monitoring and evaluation workshops)

JA – Investigative journalist and academic articles

MD – Major deliverable (Policy and Regulatory Capture Reports, State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level, Mapping Report, web-based platform, etc.)

ME – Major engagement/dissemination products/activities (e.g. Media Notes, infographics, Newsletters, etc.)

MO – Major output (Public events, Training for civil society oversight)

PR – Progress reports

R – Reporting

4.3. Types of eligible activities, complementarity and ethics

4.3.1. Which type(s) of eligible activity specified in the Call for Proposals will the action involve?

- ☒ projects promoting networking, public-private partnerships, implementation of best practices and innovative approaches at Union level, training and exchange programmes;
- ☒ projects supporting the development of methodological, notably statistical, tools and methods and common indicators;
- ☐ the acquisition, maintenance and/or further upgrading of technical equipment, expertise, as well as ICT systems and their components at the Union level;
- ☒ innovative projects developing new methods and/or deploying new technologies with a potential for transferability to other Member States, especially projects aiming at testing and validating the outcome of Union funded security research projects;
- ☒ studies.

4.3.2. Complementarity and synergies with other actions (*max 4000 characters*)

Indicate actions with similar objectives funded from other sources (in particular all similar actions funded from the budget of the EU) which might overlap with the activities of the action (if applicable) and explain what measures will be taken to avoid overlaps and ensure synergies.

The proposed action builds upon a comprehensive foundation of research in the areas of corruption; monitoring, evaluation and assessment of the enforceability and effectiveness of anti-corruption policies on institutional level; corruption risks and vulnerabilities in the public procurement sector; provision of best practices for corruption monitoring and assessment in the EU and Southeast Europe. State capture is a complex and systematic corruption phenomenon. The ability to study it in more detail and to produce specific integrated tool for its assessment thus requires a long-term commitment and experience in multiple subject areas. One of the major added values of the proposed action is the fact that it is a continuation and more importantly, culmination, of multiple efforts, both on part of the Applicant and all of the individual partners, with experience in successful mutual collaborations. The main synergy element will be the expanding of the (1) quantitative measurement of the levels of administrative corruption and State Capture (a particularly prevalent type of high-level corruption which is deeply hidden behind the façade of democracy and market economy) and (2) quantitative assessment and monitoring of the implementation, enforcement and effectiveness of anti-corruption policies at the level of institutions

In 2016, in attempt for higher analytical precision in the definition of state capture, which enables the construction of measures that would help evaluate, assess and eventually quantify the phenomenon, CSD published the [Working Paper: State Capture Diagnostics Roadmap](#). The publication was a cornerstone for the pilot implementation, in cooperation with CIVIO, of state capture assessment (MACPI State Capture) on national level, carried out in 5 EU MSs (Bulgaria, Italy, Romania, Spain and the Czech Republic). The pilot national-level implementation of MACPI SC revealed critical risks in three specific economic sectors, to be assessed in the proposed action: Wholesale of solid, liquid and gaseous fuels and related products; Wholesale of pharmaceutical goods; Construction.

Departing from this insight, the proposed action will further substantiate the analytical efforts by developing an integrated tool, which would allow assessing state capture on sectoral level in the EU. To be able to do this more precisely and comprehensively, the consortium will integrate the [MACPI Institutions](#) instrument, developed by CSD and eCrime, at the University of Trento, with EU support, to assess the enforcement of anti-corruption measure and policies on the level of individual public bodies. MACPI Institutions has already proven successful by evaluating a number of public organisations and six municipalities in Italy and Bulgaria (Border Police and Slatina Municipality, Ministry of Defense, General Labour Inspectorate Executive Agency, Bourgas Municipality, Ministry of Interior, Traffic Police in Bulgaria and the Health Service of Trento and Municipality of Riva del Garda in Italy). The proposed action will build upon this strong foundation to assess specific institutions, relevant to and responsible for regulating the three economic sectors. The integration of integration of market concentration analysis and identification of state capture risks, based on data from procurement (mainly through the use of the TED database), company and other publicly accessible registers, is the other important aspect to be integrated into the SceMaps integrated tool. CSD will build upon and expand its analysis and [methodology for corruption risks and public procurement concentration](#), developed in the framework of the [ANTICORRP](#) project and tested into the public procurement market for construction works. ANTICORRP (2012-2017) constituted the biggest anti-

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corruption research effort in social sciences and humanities in the history of EU's framework program for research. The action will also substantiate and build upon the expertise of all project partners, with vast experience in data analytics on national and EU levels.

4.3.3. Does your action involve any or more of the following:

- Do your activities involve human participants? ☒ Yes ☐ No
 - Are they vulnerable individuals or groups? ☐ Yes ☒ No
 - Are they children/minors? ☐ Yes ☒ No
- Do your activities involve physical interventions on the study participants? ☐ Yes ☒ No
 - Do they involve invasive techniques? ☐ Yes ☒ No
 - Do they involve collection of biological samples? ☐ Yes ☒ No
- Do your activities involve personal data collection and/or processing? ☒ Yes ☐ No
 - Do they involve the collection and/or processing of sensitive personal data (e.g.: health, sexual lifestyle, ethnicity, political opinion, religious or philosophical conviction)? ☐ Yes ☒ No
 - Do they involve processing of genetic information? ☐ Yes ☒ No
 - Do they involve tracking or observation of participants? ☐ Yes ☒ No
 - Do they involve further processing of previously collected personal data (secondary use)? ☐ Yes ☒ No
- Do your activities involve dual-use items in the sense of Regulation 428/2009, or other items for which an authorisation is required? ☐ Yes ☒ No
- Are there any other ethics issues that should be taken into consideration? ☐ Yes ☒ No

If yes, please specify which:

Not applicable.

4.3.4. Addressing ethical issues (max 2000 characters)

If you have indicated 'Yes' for one or more of the questions indicated under 4.3.3, please describe your strategy on how to deal with the ethical issues during the implementation of your action (which ethical principles you will take into account, which applicable international, EU and national law you will comply with, etc.).

The project does not foresee work with sensitive ethical issues, per se. The expert survey questionnaires, part of the methodological approach of the action, will be anonymous and will be used for qualitative analysis after obtaining the explicit consent of the respondent, concerning the subsequent use of the information obtained. Names of respondents will not be referred to in the project outputs unless an explicit agreement is obtained in advance from the interviewed persons.

Where applicable (e.g. participants' lists from project events) personal data, such as names and contact information will be exclusively used for work-related matters and will not be publicly distributed. Informed consent will be applied in any cases personal data is used otherwise.

Given the sensitive topic, ethical issues will be considered across all engagement (online and offline) and dissemination activities, and key project messages, in particular as relates to the physical safety of staff involved in the action, or to people disclosing sensitive corruption information. To this end all project partners have substantive experience, and the coordinator has developed internal ethical rules. The coordinator also has a history of receiving and handling corruption complaints and signals. The project team will be instructed to use balanced, fact-based language when producing the project outputs. The use of biased and unsubstantiated statements will not be tolerated and duly avoided.

PART 5 – PRESENTATION OF APPLICANTS AND ACTION MANAGEMENT

5.1. Applicants

5.1.1. Partnership (max 2000 characters)

Explain why the individual Applicant and Co-applicants are the best suited to participate in this action. When building your partnership you should think of organisations that can help you reaching an objective/solving a problem.

The proposed project will benefit from the established cooperation between the applicant organisation and each of the partners developed in the course of previous actions. Founded in late 1989, the Applicant, the **Center for the Study of Democracy (CSD)** is an European public policy institute, known for combining research excellence with policy advocacy for piloting social innovation and institutional reforms in multiple areas, including good governance and anti-corruption, hidden economy, energy governance and security. The **Civio Foundation (CIVIO)** is an independent, non-profit organization, specialised in data-driven monitoring of public governance, transparency and advocacy. **Expert Forum (EFOR)** is one of the most prominent Romanian think tanks, specialised in administration reform and public sector integrity; public procurement and public spending; justice and anticorruption reform; energy and transport; healthcare. eCrime is the Research Group on eCriminology at the Faculty of Law of the University of Trento, Italy (**UNITN**), specialising in the integration of ICT and criminology to deliver anti-corruption solutions and analysis.

The Applicant has selected the individual Co-applicants based on collaboration in previous actions, making possible the development and implementation of the SceMaps integrated tool. The preliminary concept for state capture assessment on national level was first piloted in Bulgaria and Spain, thanks to the cooperation between CSD and CIVIO. The original MACPI Institutions methodology was successfully developed by CSD and University of Trento, producing quality evaluations of the enforceability and effectiveness of anti-corruption measures in a number of Bulgarian and Italian public bodies. The expertise of CSD and EFOR in the area of risk analysis in the public procurement sector was further enhanced by implementing a EU-supported action on developing a multidisciplinary approach in the fight against public procurement criminality.

5.1.2. Roles of Applicants (max 2000 characters)

Explain what the Applicant and each Co-applicant will do in the action. Each Co-applicant should have a specific and well-clarified role and should actively participate in the activities of the action.

All project partners will contribute to the implementation of the action by providing unique local knowledge and input, specific to the national and sectoral context of each of the 4 EU MSs. As **Applicant**, CSD will:

- manage the project and coordinate the overall design and implementation of the SceMaps tool;
- set up the publicly accessible web-based platform with interactive analytics and company/institutional profiling;
- coordinate the main analytical (e.g. the 3 Policy and Regulatory Capture Reports) and methodological (State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level) products, and the organisation of project events (held outside MSs, directly participant in the action).

The three **Co-applicants** will actively participate in the overall coordination of the action through the Consortium Management Committee instrument (Work package 1). CSD, CIVIO, EFOR and eCrime will be equally responsible for:

- gathering and analysing the necessary sector-specific qualitative and quantitative data in each respective MS, in order to contribute for the tailoring of the SceMaps concept (Work package 2);
- implementing the project methodology in each of the four target countries respectively (Work package 3);
- organising nationally-held project events (e.g. EFOR and CIVIO will organise Progress workshops in Bucharest and Madrid, Work packages 2 and 3).

In addition, **all project partners will be horizontally involved** in all project events, mapping and sectoral research, drafting of the Progress Reports, the 3 Policy and Regulatory Capture Reports, etc.

CIVIO will lead the consortium's overall dissemination and engagement efforts (Work package 4), including the delivery of infographics and an investigative journalist article, focused on specific sector across the target 4 EU MSs. All organisations will be responsible for exploiting and disseminating the planned products (newsletters, media notes, etc.).

5.1.3. Staff involved

List all staff included in the budget (under Budget heading A) by function (e.g. project manager, financial manager, researcher etc.) and describe shortly their tasks.

The proposed action is considered knowledge and technology intensive due to the central importance of methodology development and implementation, work with data analytics, creation of content for engagement and dissemination activities, and coordination of the effort in 4 EU Member States.

1. Center for the Study of Democracy (CSD)

1.1 Project Director – Ognian Shentov, PhD: The Project Director will be responsible for the overall monitoring and evaluation of the action, ensuring the high-quality standards of all project deliverables and high-level engagement of the main beneficiaries.

Dr. Ognian Shentov (PhD in Political Science, Bulgarian Academy of Sciences; MA in International Relations, Higher Institute of Economics, Sofia) is the Chairman of the Center for the Study of Democracy, since the organisation was founded in late 1989, He is also co-founder and Chairman of the Applied Research and Communications Fund, the leading Bulgarian innovation policy and research institute established in 1991. Dr. Shentov has worked on a variety of international projects on institutional reforms in Bulgaria and other European countries. He has written and edited a number of publications on the issues of democracy, good governance, institutional reforms and soft security threats. Dr. Shentov is also a Member of the Board of Trustees of the New Bulgarian University in Sofia and a Member of the Board of Directors of the Bulgarian Green Building Council. He has served on the Steering Committees and boards of a range of NGOs and public policy initiatives, such as the Center for Economic Development, Center for Social Practices, Coalition 2000 anti-corruption initiative, National Crime Prevention Commission with the Council of Ministers, etc.

1.2. Project Manager – Ruslan Stefanov: The Project Manager will manage the coordination of the of entire project cycle, including overseeing the implementation of SceMaps in the 4 target EU MSs. He will be a member of the Consortium management committee and will have the main responsibility for successful attainment of the general and specific objectives, timely delivery of all outputs, risk mitigation, engagement and compliance with the formal project implementation requirements. The Project Manager will also monitor the implementation of the Work Plan and the Engagement and Dissemination Strategy.

Ruslan Stefanov is the Director of the Economic Program at CSD. He has more than 15 years of experience in delivering high quality research and civil society solutions to governance and corruption problems in Southeast Europe and in Bulgaria, including substantial work on anti-corruption, public procurement, informal economy and good governance. Ruslan is a member of the Local Research Correspondents Network on anticorruption of DG Home of the European Commission, and is one of the local contact points for the Commission's missions on Bulgaria's progress on fighting corruption and organized crime under the Cooperation and Verification Mechanism. He managed the Bulgarian participation in the largest ever social-sciences and humanities FP 7 project – ANTICORRP. He is also coordinating the SELDI anti-corruption and good governance network, comprising of over 40 CSOs and anti-corruption agencies from the region of Southeast Europe. Ruslan Stefanov holds a Master's degree in Economics and Business Administration, University of National and World Economy, Sofia and University of Economics and Business Administration, Vienna.

1.3 Senior Analyst – Alexander Gerganov, PhD: The first Senior Analyst will be responsible for the methodological development and implementation of the integrated risk assessment tool for estimating state capture and monitoring of anti-corruption policies at the sectoral level (SceMaps). This will include, among others, developing the expert survey questionnaires, coordinating the implementation for Bulgaria and overseeing the piloting in Italy, Romania, and Spain. The Senior Analyst will also be responsible for identifying, selecting and designing the approach to data collection and aggregation for the proposed integration of cross-sectional big data analysis and the development of the publicly accessible web-based platform with interactive analytics and company/institutional profiling and media content alert system. The Senior Analyst will also be involved in the preparation and conduct of the training module for civil society oversight.

Dr. Alexander Gerganov holds a PhD in psychology (cognitive science), New Bulgarian University; MA in cognitive science, New Bulgarian University; and a BA in computer science, Technical University – Sofia. Dr. Gerganov's main fields of specialization are corruption research, victimization studies (conventional crime), and grey economy. He focuses on methodology, statistical analysis in social research and mathematical modelling of big data and complex processes in the social sciences. He has participated in many multidisciplinary international research

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projects since 2005. He has contributed methodologically to the update and implementation of the CSD's Corruption Monitoring System (developed and implemented by CSD and applied for more than 25 years in Bulgaria and the region), the Conventional crime indicators, the Grey economy indicators, and to the development of the latest CSD methodologies for assessment of state capture on national level (MACPI State Capture) and evaluation of the effectiveness and enforceability of anti-corruption policies on institutional level (MACPI Institutions). He was responsible for data quality, and methodological control for the 2014 and 2016 SELDI corruption surveys, conducted in nine counties. Alexander Gerganov is also an assistant professor at the Social Survey Research Center, part of the Institute for the Study of Societies and Knowledge at the Bulgarian Academy of Sciences.

1.4 Senior Analyst – Todor Galev, PhD: The second Senior Analyst will be mainly responsible for the research and analysis (based on the implementation of the SceMaps methodology) needed for the preparation of the project's main deliverables – the 3 Policy and Regulatory Capture Reports (70 pages, 400 copies each in English, with translated executive summaries in 4 languages) and the and the State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level (70 pages, printed in English, 300 copies). The main scope of the Senior Analyst's work will be focused on the Bulgarian parts of the research, as well as for the comparative analysis, based on the 4 country analyses. The Senior Analyst will also revise and finalise the internal documents (e.g. 3 Progress Reports, workshop minutes, input from the Advisory Board on methodological excellence, etc.). The Senior Analyst will also be involved in the preparation and conduct of the training module for civil society oversight.

Dr. Todor Galev (PhD in Sociology, Institute of sociology – Bulgarian Academy of Sciences; MA in Sociology, Sofia University "St. Kl. Ohridski") is a senior expert in good governance, socio-economic, sociological studies and analyses of innovation and energy policy in Bulgaria, Central and Eastern Europe and the European Union. In these areas, he deals with topics such as security and anti-corruption sector reforms, innovations in private and public sectors, energy governance, public procurement, ICT use and penetration as innovation driver in the economic and social spheres. Todor is experienced in using research methods for integrated analysis of sociological surveys' results and large statistical databases. He has worked on multiple EU-supported projects, including under the Seventh Framework Programme and GD Research, and Innovations at the European Commission, as well as on actions funded from the World Bank, Bulgarian ministries and other national and international organisations.

1.5 Analyst: The Analyst will be involved in all project activities, assisting the Manager and Senior Analysts with desk research and analysis, data gathering. The Analyst main research focus will be the action's activities for Bulgaria but will also be responsible for compiling the Mapping Report, integrating the input from other project partners. The Analyst will be involved in all relevant for Bulgaria activities in work package 4 on engagement and dissemination. The Analyst will also be involved in the internal communication of the project and will assist the administrative coordination of the action.

1.6 Financial Director: The Financial Director will be responsible for the financial and technical coordination of the action, including for the sound and complete reporting of the project, according to EC guidelines.

1.7 Administrative Support: The Administrative Support will be responsible for the organization of all project events, held either in Bulgaria or outside the target countries (i.e. Brussels), as well as for coordinating the printing of deliverables, communication involving administrative/financial matters, updating the Database of stakeholder contacts, other administrative tasks.

1.8 Full stack/back-end developer; 1.9 Data scientist 1.10 Front-end developer; 1.11 Media content analyst: CSD will be coordinating the integration of cross-sectional big data analysis, the development and launch of the publicly accessible web-based platform with interactive analytics and company/institutional profiling and media content alert system. Part of the technical expertise for the implementation of these set of activities will require the hiring of specialists with strong background in IT programing, including a Stack/back-end developer, proficient in Ruby (dynamic, reflective, object-oriented, general-purpose programming language) and Python (interpreted high-level programming language for general-purpose programming); Data scientist with background in statistics/SQL to support the Senior Analysts; Front-end developer with Angular/PHP experience for graphic web-design; and Media content analyst for the purposes of the media content alert system.

2. Civio Foundation (CIVIO), Spain

2.1 Senior Analyst, coordinator for Spain – David Cabo: The Senior Analyst, coordinator will ensure the high-quality standards of CIVIO project deliverables and input, manage the coordination of the implementation of SceMaps in Spain. He will be a member of the Consortium management committee and will also monitor the

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implementation of the Work Plan and the Engagement and Dissemination Strategy. He will provide feedback on all project deliverables, coordinate the drafting of the sectoral analyses for Spain, part of the 3 Policy and Regulatory Capture Reports. As coordinator for Spain, the Senior Analyst will be responsible for communication involving administrative/financial matters and reporting.

David Cabo holds an MSc in Software Engineering and BA in Psychology. David founded CIVIO in 2012, and currently serves as Executive Director and lead developer. For 12 years he worked as a consultant, developer, and software architect for companies such as British Telecom, HM Revenues and Customs, Accenture, Ericsson and BBVA Global Markets. He is an expert in open data, public data analysis and budget data, among other fields. David also lectures on the post-graduate courses offered by Spain's leading media (El Mundo and El Pais), as well as in numerous workshops and conferences related to civic technology and data journalism. Before founding CIVIO, David co-organized the largest open data hackathon in Spain, Desafio Abredatos, launched the pro-transparency initiative #adoptaundiputado and collaborated with investigative journalists on the extraction and analysis of public data (Looting the Seas, ICIJ). He has also worked with Access Info Europe and mySociety in the development of the European web portal AsktheEU.org.

2.2 Analyst: Eva Belmonte: The Analyst will coordinate the development of the investigative journalistic article; will participate in the creation of content under Work package 4 (Media Notes, Newsletters, etc.); will be involved in the drafting of the relevant for Spain chapters for the 3 Policy and Regulatory Capture Reports. The Analyst will also be responsible for the administrative coordination and organisation of the planned local workshop, and other project-related travel.

Eva Belmonte is a Graduate of Journalism from the Universitat Autònoma de Barcelona. She joined CIVIO after eight years in the editorial department of El Mundo in Barcelona (2004-2012). Eva Belmonte designs, develops, and monitors all of CIVIO's journalist investigations. An expert in the analysis and treatment of public information, she is author of the project El BOE nuestro de cada día, in which she synthesises, on a daily basis, the Official State Gazette, informing us about how the decisions made therein affect us; she has published the book Españaopoly (Ariel, 2015) in which she describes and documents how Spain's power structures function. She is also Professor of Investigative Journalism and Data on various official Masters.

2.3 Analyst: The Analyst will work on tailoring the SceMaps methodology for the three economic sectors in Spain. Will be predominantly involved in the implementation of the SceMaps tool in Spain through selection and contacting of experts/public officials; gathering and analysing the qualitative data from the expert survey questionnaires. The Analyst will participate in the mapping analysis, the selection of relevant data for the web-based platform; drafting of the relevant for Spain chapters for the 3 Policy and Regulatory Capture Reports, the creation of content under Work package 4 (Media Notes, Newsletters, etc.), etc.

2.4 Data Visualisation expert: The Data and Visualisation expert will be responsible for the creating content under Work package 4 (Media Notes, Newsletters, etc.), dissemination and engagement, including the design and promotion of the project materials (templates, logos, etc.) and deliverables, as well as other related activities.

3. eCrime Research Group, University of Trento, (UNITN), Italy

3.1 Senior Analyst, coordinator for Italy – Andrea Di Nicola, PhD: The Senior Analyst, coordinator will ensure the high-quality standards of UNITN project deliverables and input, manage the coordination of the implementation of SceMaps in Italy. He will be a member of the Consortium management committee and will also monitor the implementation of the Work Plan and the Engagement and Dissemination Strategy. He will provide feedback on all project deliverables, coordinate the drafting of the sectoral analyses for Italy, part of the 3 Policy and Regulatory Capture Reports.

Andre Di Nicola, Ph.D. is an assistant professor in criminology at the Faculty of Law of the University of Trento, teaching criminology and applied criminology, as well as the optional course eCriminology: ICT, law and criminology. For over 15 years, he has been dealing with economic and organised criminality, corruption, urban security, environmental criminology and with the links between crime and the Internet. Since 1998, he has taken part in and supervised more than 40 international studies (for the European Commission and the European Parliament, for the Italian Ministry of Interior, of Justice and for Equal Opportunities). This experience enabled him to acquire specific competences in the realisation and management of complex studies employing quantitative and qualitative criminological research techniques. He has authored many publications, including articles, chapters and books.

3.2 Senior Analyst: The Senior Analyst will work on tailoring the SceMaps methodology for the three economic

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sectors in Italy. Will be predominantly involved in the implementation of the SceMaps tool in Italy through selection and contacting of experts/public officials; gathering and analysing the qualitative data from the expert survey questionnaires. The Senior Analyst will participate in the mapping analysis, the selection of relevant data for the web-based platform; drafting of the relevant for Italy chapters for the 3 Policy and Regulatory Capture Reports, etc. The Senior Analyst will be involved in administrative/financial matters and the reporting.

3.3 Analyst: The Analyst will be involved in the research for the Mapping Report and research for tailoring the SceMaps methodology for the three economic sectors in Italy. Will be predominantly involved in the implementation of the SceMaps tool in Italy through contacting of experts/public officials; gathering and analysing the qualitative data from the expert survey questionnaires. The Analyst will participate in the selection of relevant data for the web-based platform; research and analysis for the relevant for Italy chapters for the 3 Policy and Regulatory Capture Reports, etc. The Analyst will also be responsible administrative tasks related to project travel and communication involving administrative matters.

4. Expert Forum (EFOR), Romania

4.1 Senior Analyst, coordinator for Romania - Sorin Ionita, PhD: The Senior Analyst, coordinator will ensure the high-quality standards of EFOR's project deliverables and input, manage the coordination of the implementation of SceMaps in Romania. He will be a member of the Consortium management committee and will also monitor the implementation of the Work Plan and the Engagement and Dissemination Strategy. He will provide feedback on all project deliverables, coordinate the drafting of the sectoral analyses for Romania, part of the 3 Policy and Regulatory Capture Reports.

Sorin Ionita, PhD, is an expert in public administration reform and development; consultant with the European Commission, Council of Europe, World Bank, UNDP on Eastern Europe and the Balkans; former civil society representative in the European Economic and Social Committee (EESC, on Transport-Energy and Environment); associate lecturer at Maastricht School of Management (MSM) and Babeş-Bolyai University (Cluj). Frequent guest in current affairs news programs on TV and radio; blogger. Graduate of the Bucharest Polytechnic School (IPB); Bucharest University (UB); Central European University (CEU); PhD in Political Science, Fulbright fellow at Georgetown University, Washington DC.

4.2 Senior Analyst: The Senior Analyst will work on tailoring the SceMaps methodology for the three economic sectors in Romania. Will be predominantly involved in the implementation of the SceMaps tool in Romania through selection and contacting of experts/public officials; gathering and analysing the qualitative data from the expert survey questionnaires.

4.3 Senior Analyst: The Senior Analyst will participate in the mapping analysis, the selection of relevant data for the web-based platform; drafting of the relevant for Italy chapters for the 3 Policy and Regulatory Capture Reports, etc.

4.4 Administrative/Financial Officer: The Administrative/Financial Officer will be responsible for the organization of the project workshop, held in Bucharest, as well as for other related project travel, communication involving administrative/financial matters, reporting, other administrative tasks.

5.2. Project management

5.2.1. Project management (max 2000 characters)

Explain the overall project management concept, in particular how decisions will be taken and how permanent and effective communication will be ensured.

The management of the action will be ensured on multiples levels through the consortium structure and the management work package activities, in terms of overall coordination, quality assurance and work planning, and adherence to formal project requirements.

As Applicant, CSD is primarily responsible for the overall coordination and quality and timely delivery of the project, including implementing the key management decisions and liaising with the European Commission. To ensure horizontal approach and effective collaboration, a Consortium management committee (CMC) will be established. The CMC, consisting of four members (one representative of the coordinator and one representative of each partner organisation), will be the principle project body for taking management decisions.

The CMC will coordinate and be guided by a Work Plan, developed in the beginning of the project. The CMC will provide for the overall managerial guidance in the course of the project and for exercising oversight over the

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implementation of the foreseen activities. The revisions and updates to the work plan will be done through consultations among the CMC members via regular e-mail and/or conference calls.

The planned Monitoring and evaluation workshops (in particular the Kick-off Workshop and the Concluding Workshop), attended by members of the CMC will provide additional platform for management and communication. The advisory board will add to project excellence.

The Kick-off Workshop will be used to discuss the Commission's managerial, financial and accounting requirements. The Concluding Workshop will review and assess the overall implementation of the project, discuss the reporting procedure, and outline follow-up activities for achieving better sustainability of the project results.

Coordinated by the Applicant and the CMC, all partner organisations will be responsible for the timely monitoring and accurate reporting of the project activities, in line with Commission's requirements.

5.2.2. Risks and measures to mitigate them (*max 2000 characters*)

Describe possible risks, uncertainties, difficulties related to the implementation and the measures/strategy of the action that you plan to undertake to mitigate them.

The main management, evaluation and monitoring tools, applied by the consortium will be used to mitigate project risks/uncertainties. The Monitoring and evaluation workshops, the Work Plan and Consortium management committee, in particular, will serve as a platform to discuss and mitigate any potential risks. The set of tools at the disposal of the consortium allows for increased flexibility and adaptability, in case significant risks are to materialise. The Applicant and Co-applicants have identified two main potential threats and have set appropriate measures to mitigate them.

1. *Lack and/or variability of public data across the target EU MSs.* The SceMaps methodology combines expert-based questionnaires methodology and big-data approaches to deliver an integrated risk assessment tool, thus overcoming a critical risk, not only in the proposed action, but in corruption risk assessment in general – the lack of reliable data. The integrated media analysis will also compensate cases where quantitative data is missing. In addition, despite the risk that data varies across countries, the project partners have deliberately selected the EU-wide TED procurement database to ensure the minimum standard of data availability.

2. *Responsiveness and willingness of public officials to participate in the MACPI Institutions tool.* A highlighted risk is any potential unwillingness of public officials, from identified institutions, related to the three economic sectors, to be unresponsive and/or unwilling to participate in the action's qualitative assessments. Since the methodology foresees balanced involvement of both public officials and independent experts, in the event of such occurrence, the partners will make use of expert responses. However, the Applicant, as well as the project partners have a long track record of public-private cooperation. In addition, since the action builds upon past work, cooperation channels and trust relations have already been well established.

5.2.3. Monitoring and evaluation (*max 2000 characters*)

Describe how you intend to monitor and evaluate the advancement of the action.

Explain which quantitative and qualitative indicators you propose to use for the evaluation of the reach and coverage of activities of the action and results of the action.

The main tools for regular monitoring and evaluation of the action's progress will be:

- Work Plan (deliverable 1.1);
- Consortium management committee (CMC) (4 members, 1 per organisation, output 1.2);
- Advisory Board on methodological excellence (3 external experts, output 1.1);
- Three Progress Reports (20 pages each, deliverables 2.2, 2.3, 3.4);
- Two Progress Workshops (15 participants each, under activity 1.4);
- Stakeholder round table (25 participants, output 2.1).

The Work Plan is an adaptive instrument (designed by work packages, activities and outputs), developed to indicate the partner organisations and the project team members responsible for the execution of each task, the deadlines for its completion, and any other relevant information (e.g. notes indicating progress, number of modifications).

The CMC will provide for the update of the Work Plan, distribution (and potential re-distribution) of tasks among the project team members, review and approval of project outputs, solution of any potential substantive,

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administrative and financial issues. The CMC will be responsible for the monitoring of the action, as well as for overseeing the final reporting and evaluation of the project, in line with Commission`s requirements.

The three Progress Reports will provide a snapshot the action`s progress towards the set general and specific objectives. The progress reports will be discussed during internal monitoring and evaluation workshops and the Stakeholders round table and will give update on methodological development and implementation. The discussions and decisions from each workshop will be summarised in written minutes.

The Members of the Advisory Board will participate actively in Work packages 2 and 3, with some attending the progress workshops and providing feedback and input for the Progress reports.

Additionally, CSD will apply specific internal rules for publishing (language standard, quality assurance and peer-review) to all project deliverables.

5.2.4. Dissemination strategy and visibility (*max 2000 characters*)

Describe the dissemination strategy: how will you reach the short, medium and long term beneficiaries? Explain what will be disseminated (key message, deliverables), to whom (short, medium and long term beneficiaries), why (purpose), how (method and tools) and when (timing). Please note rules on visibility of the EU funding in the Grant Agreement.

The project results and key messages will be demonstrated through effective outreach and dissemination (via a multi-layered combination of 2 public events, offline and online community building, awareness raising, investigative journalism and academic articles, media notes, interactive content and design) and through targeting of different groups of beneficiaries. The main project deliverables - the analytical and methodological publications, and notably the publicly accessible web-based platform will contribute to the engagement of multiple beneficiary groups.

Work package 4 will deliver key dissemination and visibility activities. It will be implemented throughout the entire life cycle of the action. The proposed set of engagement and dissemination activities will target well defined beneficiaries: civil society organisations, specializing in good governance and anti-corruption; public regulators, relevant for the three high-risk sectors; researchers; academics; media; public institutions; business associations; policy makers, public administration, EU decision-making bodies and institutions. The International policy conference and the Stakeholders Workshop in Brussels, combined with the delivery of the methodological training for civil society organisations are the action`s key tools for engaging the main groups of beneficiaries.

The action`s dissemination activities will be planned on the basis of an Engagement and Dissemination Strategy, adopted and monitored by the Consortium Management Committee. The project will aim to ensure high visibility and recognisability by carefully designing the project`s visual identity (implementing a package of project materials, incl. logos, templates, banners, etc. to be carried by all outputs/deliverables). This will be enforced by internet publicity and engagement of partner networks. All visibility actions will be designed accordingly to ensure Commission`s rules on visibility of the EU funding.

5.2.5 Sustainability and long-term impact of the results of the action (*max. 2000 characters*)

Describe the planned follow-up of the action after the financial support of the European Union has ended. How will the sustainability of the results of the action be assured? Are the results of the action likely to have a long-term impact? How?

Designed for easy replication and take-up by EU MSs` public administrations, SceMaps will allow EU authorities to build evolving, risk-responsive instruments to assess and tackle corruption and capture risks in regulatory heavy areas and industries, such as public procurement, pharmaceuticals (healthcare), and construction. The training module, based on the developed `State-of-the-Art Methodological Toolkit on State Capture Assessment on Sector Level` can be used by as blueprint for advocacy and oversight by civil society organisations, allowing them to replicate and build upon a solid research and analytical foundation.

Though static, the publicly accessible web-based platform with interactive analytics, company/institutional profiling and media content alert system will allow third party machine access. This will make possible for market researchers, civil society, journalists and the general public to access the raw data and run additional analytics.

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The action will provide objective and traceable alerts for the presence of partial distribution of public funds, clientelistic networks and indicators for state capture, serving as invaluable guiding instruments for independent public organizations and/or investigative journalists or NGOs. The platform can be commercialised to sustain its updates

Additional sustainability aspect of the action is its potential impact in EU neighbouring regions. CSD coordinates the *Southeast Europe Leadership for Development and Integrity (SELDI)* – the biggest anti-corruption coalition of over 40 CSOs in South East Europe. The SELDI network could also prove significant for facilitating future application of the developed methodology. The Western Balkans countries are strategically important for EU external security and in light of potential future enlargement. The SELDI network will serve as a dissemination platform for raising awareness and providing good practices across the region.

PART 6 - INFORMATION CONCERNING OTHER EU GRANTS/PROCUREMENT**6.1. Grant applications or offers submitted by the Applicant and Co-applicants to EU institutions or agencies under grants/procurement for which the evaluation process is not yet finalised:**

Year	Name of EU Programme	Reference number and title	Name of Applicant/Co-applicant	Role	Amount (Euro)
2018	AMIF	AMIF-2017-AG-INTE-03 Proposal number: 821646 Civic Education for Resettled Migrants (MIGREDU-CIVIC)	CSD	Applicant	504,331 (overall budget) 212,327 (CSD Budget)
2018	AMIF	AMIF-2017-AG-INTE-01 Proposal number: 821685 Enhancing Awareness of the Positive Impacts of Migration to Help Build Stronger Professional Communities and Tolerant New Generations of EU Citizens (BUILD-by-AWARENESS)	CSD	Applicant	541,981 (overall budget) 166,075 (CSD Budget)
2018	ISFP	ISFP-2017-AG-THBX Proposal number: 815137 Victim or Perpetrator? - Preventing victim-to-perpetrator switch in the trafficking chain through specific and multiagency measures of identification and protection, investigation and prosecution (IN-SIDE)	CSD	Partner	367,771 (overall budget) 35,052 (CSD Budget)
2018	ISFP	ISFP-2017-AG-FIRE Proposal number: 815037 Countering the illicit trafficking of converted and reactivated firearms (CITRA)	CSD	Applicant	590,401 (overall budget) 129,754 (CSD Budget)
2018	ISFP	ISFP-2017-AG-CSEP Proposal number: 812589 Resilient Youth against Far-Right Extremist	CSD	Applicant	309,309 (overall budget)

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		Messaging Online (YouthRightOn)			139,003 (CSD Budget)
2018	ISFP	ISFP-2017-AG-CSEP Proposal number: 812609 Cp-Rep. Changing perspectives to Repair Reputations (CP-REP)	CSD	Co-applicant	999,520 (overall budget) 149,195 (CSD Budget)
2017	REC	REC-RDIS-DISC-AG-2017 Proposal number: 809834 Launch and implementation of Diversity Charter in Bulgaria (DIVERSE.BG)	CSD	Applicant	109,520 (overall budget) 71,805 (CSD Budget)
2017	REC	REC-RDIS-DISC-AG-2017 Proposal number: 809815 Addressing anti-Roma stereotyping in the Greek media (ROMA_MEDIA)	CSD	Co-applicant	236,671 (overall budget) 71,816 (CSD Budget)
2017	REC	REC-RDIS-DISC-AG-2017 Proposal number: 809779 Towards inclusive and sustainable European Societies by breaking stereotypes for Roma people (Sense the ROMA)	CSD	Co-applicant	278,423 (overall budget) 48,714 (CSD Budget)
2017	REC	REC-RRAC-RACI-AG-2017 Proposal number: 808143 Central and Eastern European Network for the Prevention of Intolerance and Group Hatred (CEE Prevent Net)	CSD	Co-applicant	455,521 (overall budget) 11,227 (CSD Budget)
2017	REC	REC-RRAC-RACI-AG-2017 Proposal number: 808127 Networking initiative to Defeat Islamophobia, Violence, Extremism and terrorist Radicalization for Social cohesion in Europe (DIVERSE)	CSD	Co-applicant	636,204 (overall budget) 52,772 (CSD Budget)
2017	REC	REC-RRAC-RACI-AG-2017 Proposal number: 807859 Preventing Forms of Intolerance by Enhancing Community and Youth Resilience to Far- Right Violent Extremism (PREVINT-YOURES)	CSD	Co-applicant	565,867 (overall budget) 76,671 (CSD Budget)
2017	REC	REC-RRAC-RACI-AG-2017 Proposal number: 807176 Consolidate and renew justice and law enforcement effort against hate crime in a changing Europe (COUNTERHATE-ANEW)	CSD	Applicant	281,898 (overall budget) 92,079

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					(CSD Budget)
2017	JUST	JUST-2017-AG-DRUG Proposal number: 807053 HOPE, NOT DOPE: Enhancing and Supporting the role of the Civil Society Organizations in the area of EU Drugs policy (HnD)	CSD	Co-applicant	519,577 (overall budget) 39,445 (CSD Budget)
2017	JUST	JUST-JTRA-EJTR-AG-2017 Proposal number: 807032 Judical and Police Cooperation Preventing Radicalisation Towards Terrorism (JP-COOPS)	CSD	Co-applicant	767,302 (overall budget) 38,819 (CSD Budget)
2017	JUST	JUST-JTRA-EJTR-AG-2017 Proposal number: 803322 LAW-TRAIN-NET -Lawyers Training and Networking to enhance victims of human trafficking rights protection in accordance with EU Law	CSD	Co-applicant	176,784 (overall budget) 38,306 (CSD Budget)
2017	JUST	JUST-JACC-AG-2017 Proposal number: 802073 PROactive VERification of the IMPLEMENTATION of the Right's Roadmap (PRO.VE)	CSD	Co-applicant	726,072 (overall budget) 50,760 (CSD Budget)
2017	JUST	JUST-JACC-AG-2017 Proposal number: 802034 Offenders with Psycho-Social and Intellectual Disabilities: Identification, Assessment of Needs and Equal Treatment (OPSIDIANET)	CSD	Applicant	280,770 (overall budget) 92,259 (CSD Budget)
2017	JUST	JUST-JACC-AG-2017 Proposal number: 801848 Victims of Organised and International Crime in Europe (V.O.I.C.E.)	CSD	Co-applicant	597,279 (overall budget) 62,995 (CSD Budget)
2017	JUST	JUST-JACC-AG-2017 Proposal number: 800905 JUSTICE FOR WOMEN - Towards a more effective rights protection and access to judicial procedures for victims of crimes (JUSTICE FOR WOMEN)	CSD	Co-applicant	186,855 (overall budget) 30,002 (CSD Budget)
2017	JUST	JUST-JCOO-AG-2017 Proposal number: 800816 Strategic Assessment for LAW and Police Cooperation (SAT-LAW)	CSD	Co-applicant	726,072 (overall budget) 50,760 (CSD Budget)

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2017	EACEA, Europe for Citizens	Submission number: 589050-CITIZ-1-2017-1-BG-CITIZ-CIV Balkan Perspectives for Future Europe (BFutEur)	CSD	Applicant	147,500 (overall budget)
2017	IPA	Budget line: 22.020100 Proposal number: EuropeAid/138660/ID/ACT/MK On the road of EU integration: transforming informal or undeclared work into regular employment through collaboration between civil society, the state and the private sector (Lot 2: Improved efficiency in civil society responses to the priority sector reform processes as well as improved political participatory process of high importance for fundamental rights, democracy and rule of law including for the EU integration reforms)	CSD	Co-applicant	145,000 (overall budget)
2017	DG Migration and Home Affairs AMIF	AMIF-2016-AG-INTE-01 Proposal number: 776066 Effective Integration of Third-Country Nationals through Civic Education (INTE-CIVIC)	CSD	Applicant	456,083 (overall budget) 195,268 (CSD budget)
2018	Europe for Citizens	601807-CITIZ-1-2018-1-RS-CITIZ-CIV You4EU-Citizen Participation 2.0	Fundación Ciudadana Civio	Partner	148,680 (whole project)

6.2. EU actions of the Applicant and Co-applicants: please indicate any action/project of the Applicant and Co-applicants that has been awarded funding from an EU institution or agency in the last 4 years should be listed. This includes awards under grant/procurement

Name of EU programme	Reference number and title of the action/project	Name of Applicant/ Co-applicant	Role	Amount awarded (Euro) (*)	Action/project webpage
H2020	In preparation of Grant Agreement Radicalisation, Secularism and the Governance of Religion: Bringing together European and Asian Perspectives (GREASE)	CSD	Partner	193,750	n/a
H2020	784960 — SCORE Supporting Consumer Co-Ownership in Renewable Energies	CSD	Partner	198,000	n/a
Erasmus +	2017-3208 / 001 - 001 Adapting Learning in Inclusive Communities and Environment (ALICE)	CSD	Partner	83,017	n/a

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Hercule Program	786268 — HERCAGRO Innovative Methods to Investigate Fraud and Corruption in EU funding for agriculture	CSD	Coordinator	52,702	n/a
DG Justice and Consumers	763714 — J-Safe — JUST-AG-2016/JUST-AG-2016-03 Judicial Strategy Against all Forms of Violent Extremism in Prison (J-Safe)	CSD	Partner	83,203	n/a
Rights, Equality and Citizenship Programme	777320 — LoveMoves — REC-DISC-AG-2016/REC-DISC-AG-2016-02 The rights of recognized same-sex partners moving across the EU (LoveMoves)	CSD	Coordinator	49,254	n/a
Erasmus+ KA2 – Cooperation for Innovation and Exchange of Good Practices	2017-1-FR01-KA204-037446 INTEGRA Integrated Community, Probation, and Prison Services Radicalisation Prevention Approach	CSD	Partner	63,666	n/a
DG Justice and Consumers	760112 — PRO VICTIMS JUSTICE — JUST-AG-2016/JUST-AG-2016-07 Pro Victims Justice through an Enhanced Rights Protection and Stakeholders Cooperation (PRO VICTIMS JUSTICE)	CSD	Partner	36,794	n/a
Rights, Equality and Citizenship Programme	REC-RRAC-RACI-AG-2016 764664 — RACCOMBAT — REC-DISC-AG-2016/REC-DISC-AG-2016-04 Preventing and Combatting Racism and Xenophobia through Social Orientation of Non-Nationals (RACCOMBAT)	CSD	Coordinator	73,925	http://racco-mbat-project.eu/
DG Justice and Consumers	760244 — ARISA — JUST-AG-2016/JUST-AG-2016-06 Assessing the risk of isolation of suspects and accused (ARISA)	CSD	Coordinator	96,616	http://arisa-project.eu/
DG Justice and Consumers	JUST/2014/JACC/AG/VICT/9221 Strengthening multidisciplinary cooperation to ensure an effective referral, assistance, rights protection	CSD	Partner	33,916	n/a

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	for victims of human trafficking				
DG Migration and Home Affairs	HOME/2015/ISFP/AG/THBX/400000875 1 Financing Organised Crime Activities – focus on Human Trafficking (FINOCA 2.0)	CSD	Coordinator	210,016	n/a
Asylum, Migration and Integration Fund (2014-2020)	HOME/2015/AMIF/AG/INTE/9113 Enhancing the Integration of Women, Beneficiaries of International Protection by Development and Implementation of Multifaceted Integration Trainings (INTEGRA-TRAIN)	CSD	Coordinator	121,808	n/a
H2020	H2020-LCE-2016-RES-CCS-RIA Enabling the Energy Union through understanding the drivers of individual and collective energy choices in Europe (Enable.EU)	CSD	Partner	301,781	http://www.enable-eu.com/
H2020	700688 – TAKEDOWN – H2020-FCT-2014-2015/H2020-FCT-2015 Understand the Dimensions of Organised Crime and Terrorist Networks for Developing Effective and Efficient Security Solutions for First-line-practitioners and Professionals (TAKEDOWN)	CSD	Partner	117,438	https://www.takedownproject.eu/
MoI, Asylum, Migration and Integration Fund, co-financed by the EU	Reg Nr 812108-77 Development and Implementation of Unified Standards for Forced Return Monitoring (RETURN 2)	CSD	Coordinator	45,684	n/a
Rights, Equality and Citizenship Programme (2014-2020) and of the Justice Programme (2014-2020)	JUST/2014/JACC/AG/VICT/7406 Developing Directive-Compatible Practices for the Identification, Assessment and Referral of Victims	CSD	Partner	16,612	n/a
DG Migration and Home Affairs	HOME/2014/ISFP/AG/EFCE/7221 30-CE-0753854/00-30 PayBack: Towards a EU Data	CSD	Partner	151,873	n/a

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ISFP	Management System for Seized Assets				
DG Migration and Home Affairs	HOME/2014/ISFP/AG/EFCE/7222 The Private Corruption Barometer – Drafting and piloting a model for a comparative business victimization survey on private corruption in the EU	CSD	Partner	105,648	http://www.project-pcb.eu/
DG Justice and Consumers	JUST/2014/RCIT/AG/CITI/7269 The reality of free movement for young European citizens migrating in times of crisis (ON-THE-MOVE)	CSD	Partner	18,623	http://euonthemove.eu/
EuropeAid	CFCU/TR2011/0135.15-02 EuropeAid/136802/ID/ACT/TR Strengthening the EU – Turkey Energy Dialogue in the Context of Persistent Energy Security and Governance Risks in the Black Sea region	CSD	Coordinator	149,839	n/a
Youth Citizens	Grant Decision No: 2015-1476/001-001 Ask the Locals! Promoting Resource Accessibility through Local Empowerment Europe for Citizens Programme 2014-2020 Measure: Civil Society Projects	CSD	Partner	5,400	n/a
European Return Fund, Annual Programme 2013	Reg Nr 812108-27 Monitoring of forced return	CSD	Coordinator	26,770	n/a
DG NEAR	Contract No: 2014/351-414 Civil Society for Good Governance and Anti-Corruption in Southeast Europe: Capacity Building for Monitoring, Advocacy and Awareness Raising (SELDI 2015-2016)	CSD	Coordinator	195,978	http://www.seldi.net
DG HOME – ISEC	HOME/2013/ISEC/AG/FINEC/4000005248 Law, Economy, Competition, and Administration - Developing a Multidisciplinary Approach in the Fight against Public Procurement Criminality (LECA)	CSD	Partner	83,510	n/a
DG HOME – ISEC	HOME/2013/ISEC/AG/FINEC/400000521	CSD	Coordinator	202,768	n/a

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	3 Financial and Economic Crime Countering Extortion and Racketeering in EU (CEREU)		r		
DG HOME – ISEC	HOME/2013/ISEC/AG/RAD/4000005264 Countering Radicalisation In Southeast And Central Europe Through Development Of (Counter-) Radicalisation Monitoring Tool (MONITOR)	CSD	Coordinator	191,627	n/a
DG HOME – ISEC	HOME/2013/ISEC/AG/THB/4000005855 Trafficking in Human Beings Improving and sharing knowledge on the Internet role in the human trafficking process (Surfandsound)	CSD	Partner	78,647	www.surfandsound.eu
Delegation of the European Union to the former Yugoslav Republic of Macedonia	EuropeAid/134-015/L/ACT/MK-1 Promoting Good Governance and Economic Rights through Empowering Macedonian Civil Society to Monitor and Tackle the Hidden Economy in the FYR of Macedonia	CSD	Coordinator	46,164	n/a
European Commission	HOME/2013/ISEC/AG/FINEC/400000524 8 “Law, Economy, Competition and Administration - Developing a Multidisciplinary Approach in the Fight against Public Procurement Criminality”	Expert Forum Association	Partner	53,081.72	n/a
European Commission	2013 / 332-758 Civil response to clientelism in Media - Media Circle	Expert Forum Association	Partner	74,258.15	n/a
European Commission	HOME/2014/ISFP/AG/EFCE/7211 State-Owned Companies – Preventing Corruption and State Capture	Expert Forum Association	Partner	70696,39	n/a
European Commission, DG Home	HOME/2011/ISEC/AG FIGHTING PUBLIC PROCUREMENT CRIMINALITY. AN OPERATIONAL APPROACH	Expert Forum Association	Partner	17663,8	n/a
Partner European Commission, DG Home	HOME/2011/ISEC/AG/4000002579 DEVELOPMENT OF THE CIVIL SOCIETY INVOLVEMENT IN DRAFTING IMPLEMENTING AND ASSESSING ANTICORRUPTION POLICIES	Expert Forum Association	Partner	7589,49	n/a
European Commission – DG Home	HOME/2012/ISEC/AG/FINEC/400000388 0 SUPPORTING THE CONFISCATION AND RECOVERY OF PROCEEDS OF CRIME IN ROMANIA	Expert Forum Association	Partner	31,587.58	n/a
Hercule III Programme	OLAF/2016/D1/096 Money and politics – linking EU funds and political parties	Expert Forum Association	Coordinator	56,800	http://expertforum.ro/conferinta-banii-si-politica/

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European Commission, EaP CSF Secretariat	ENPI/2014/347-121 Support to the activities of the Eastern Partnership Civil Society Forum	Expert Forum Association	Coordinator	7,024.23	n/a
European Commission, the Education, Audiovisual and Culture Agency	588671-CITIZ-1-2017-HU-CITIZ-CIV Active Local Citizens for an Accountable Europe	Expert Forum Association	Partner	32, 240	n/a
Justice Programme & Rights, Equality and Citizenship Programme - 2016	(Grant Agreement No: 764583) Hatemeter - Hate speech tool for monitoring, analysing and tackling Anti-Muslim hatred	UNITN	Coordinator	214,015.41	n/a
Trafficking in Human Beings - 2015	(HOME/2015/ISFP/AG/THBX/4000008751) Financing Organised Crime Activities – focus on Human Trafficking/FINOCA 2.0”	UNITN	Partner	152,620.09	n/a
Economic and financial crime, corruption, environmental crime – 2014	(HOME/2014/ISFP/AG/EFCE/7222) The Private Corruption Barometer - Drafting and piloting a model for a comparative business victimization survey on private corruption in the EU	UNITN	Applicant	187,087.79	www.project-pcb.eu/
Economic and financial crime, corruption, environmental crime – 2014	(HOME/2014/ISFP/AG/EFCE/7221) PAYBACK - Towards a EU Data Management System for Seized Assets	UNITN	Applicant	276,407.28	www.project-payback.eu/
Prevention of and Fight against crime THB - 2014	(HOME/2013/ISEC/AG/THB/4000005855) www.surfandsound.eu - Improving and sharing knowledge on the Internet role in the human trafficking process	UNITN	Applicant	116,308.50	www.surfandsound.eu/
Prevention of and Fight against crime FINEC - 2014	(HOME/2013/ISEC/AG/FINEC/4000005205) STOPFAKE - An ICT tool to collect, monitor and analyse data on counterfeiting and organised crime to support investigation and prevention	UNITN	Partner	173,654.82	n/a
Prevention of and Fight against crime FINEC - 2014	HOME/2013/ISEC/AG/FINEC/4000005192) Fakeshare II – Sharing intelligence and science at EU level about pharma crime and its promotion through web and social networks	UNITN	Partner	64,646.48	www.fakeshare.eu/
FP7-ICT-2013-10 - CT-2013	611333 FP7 CAPS Project - CHEST (Collective Enhanced Awareness for Social Tasks) (Onodo.org)	Fundación Ciudadana Cívica	Partner	131,266.67	https://onodo.org/
H2020 INSO 2014-2015	645833 Openbudgets.eu	Fundación Ciudadana	Partner	136,923	http://openbudgets.eu/

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		Civio			
H2020-ICT-2017-1 ICT-14-2	780355 FANDANGO (FAke News discovery and propagation from big Data ANalysis and artificial intelliGence Operations)	Fundación Ciudadana Civio	Partner	245,625	http://fandango-project.eu/

(*) If the funding was awarded to a partnership, only the amount awarded to the Applicant / Co-applicant should be noted



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Estimado D. José David Hernández González:

El Center for the Study of Democracy (Bulgaria), con apoyo de la Fundación Ciudadana Civio (España), la Universidad de Trento (Italia) y el Expert Forum (Rumanía), está realizando un proyecto llamado **SCEMAPS** (abreviatura en inglés de Estimación de la Captura del Estado y Seguimiento de las Políticas Anticorrupción a Nivel Sectorial). El objetivo de esta iniciativa, financiada por el **Fondo de Seguridad Interior de la Unión Europea**, es apoyar a las instituciones públicas en la mejora de su gobernanza, incluyendo la lucha contra la corrupción y la prevención de la captura del Estado.

El propósito de esta comunicación es **invitar a Dirección General de Regeneración y Modernización Administrativa** a ser uno de los ocho organismos europeos que colaboren en dicho proyecto.

Esta colaboración se traduciría en la puesta en práctica en su institución de la encuesta para la **Monitorización de la Implementación de Políticas Anticorrupción**, desarrollada por el CSD con el apoyo de la **Dirección General de Asuntos Internos de la Comisión Europea**. El objetivo es ayudar a las instituciones participantes a identificar riesgos o vacíos regulatorios y proporcionar apoyo para reducirlos.

Para ello, mantendríamos un primer encuentro con responsables de su institución para conocer qué políticas de prevención de la corrupción tiene en marcha para garantizar su buen funcionamiento e independencia. Posteriormente, se realizaría una **encuesta completamente anónima a sus empleados** para analizar el nivel de conocimiento, aplicación y confianza en dichos procedimientos. Los datos detallados recopilados a través de esta encuesta sólo se proporcionarán a Dirección General de Regeneración y Modernización Administrativa, junto con recomendaciones prácticas para mejorar estos procedimientos.

Una versión abreviada, en la que se publicarán las principales conclusiones comparando cuatro países (España, Italia, Bulgaria y Rumanía) sí será pública. Esta incluirá una evaluación del nivel de vulnerabilidad de la gobernanza en las instituciones y reguladores de dichos países; la existencia de normas y medidas pertinentes; su eficacia y, por último, recomendaciones.

Algunos de los organismos participantes son la **Región de Emilia-Romagna** (Italia), el **Consejo de Competencia** de Rumanía, la **Agencia Tributaria** de Bulgaria y la **Agencia Valenciana Antifraude**. Nos gustaría contar también con la participación de Dirección General de Regeneración y Modernización Administrativa y concertar un primer encuentro para ampliar esta información y/o resolver cualquier duda.

Un afectuoso saludo,

Miguel Ángel Gavilanes: miguel@civio.es / 695 58 84 23
Ana Villota: ana@civio.es / 654 47 51 52

Estimado D. Manuel Díaz Muiña :

El Center for the Study of Democracy (Bulgaria), con apoyo de la Fundación Ciudadana Civio (España), la Universidad de Trento (Italia) y el Expert Forum (Rumanía), está realizando un proyecto llamado **SCEMAPS** (abreviatura en inglés de Estimación de la Captura del Estado y Seguimiento de las Políticas Anticorrupción a Nivel Sectorial). El objetivo de esta iniciativa, financiada por el **Fondo de Seguridad Interior de la Unión Europea**, es apoyar a las instituciones públicas en la mejora de su gobernanza, incluyendo la lucha contra la corrupción y la prevención de la captura del Estado.

El propósito de esta comunicación es **invitar a Inspector General de Servicios** a ser uno de los ocho organismos europeos que colaboren en dicho proyecto.

Esta colaboración se traduciría en la puesta en práctica en su institución de la encuesta para la **Monitorización de la Implementación de Políticas Anticorrupción**, desarrollada por el CSD con el apoyo de la **Dirección General de Asuntos Internos de la Comisión Europea**. El objetivo es ayudar a las instituciones participantes a identificar riesgos o vacíos regulatorios y proporcionar apoyo para reducirlos.

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Algunos de los organismos participantes son la **Región de Emilia-Romagna** (Italia), el **Consejo de Competencia** de Rumanía, la **Agencia Tributaria** de Bulgaria y la **Agencia Valenciana Antifraude**. Nos gustaría contar también con la participación de Inspector General de Servicios y concertar un primer encuentro para ampliar esta información y/o resolver cualquier duda.

Un afectuoso saludo,

Miguel Ángel Gavilanes: miguel@civio.es / 695 58 84 23
Ana Villota: ana@civio.es / 654 47 51 52

La **Fundación Civio** (www.civio.es), coordinadora de **SCEMAPS** en España, es una organización independiente y sin ánimo de lucro que vigila a los poderes públicos a través de investigaciones basadas en datos y hechos y arroja luz sobre los puntos ciegos del sistema, presionando y colaborando para lograr cambios. Contacto: contacto@civio.es / 91 172 79 72

Estimada Dña. M.^a Arántzazu Millo Ibañez:

El Center for the Study of Democracy (Bulgaria), con apoyo de la Fundación Ciudadana Civio (España), la Universidad de Trento (Italia) y el Expert Forum (Rumanía), está realizando un proyecto llamado **SCEMAPS** (abreviatura en inglés de Estimación de la Captura del Estado y Seguimiento de las Políticas Anticorrupción a Nivel Sectorial). El objetivo de esta iniciativa, financiada por el **Fondo de Seguridad Interior de la Unión Europea**, es apoyar a las instituciones públicas en la mejora de su gobernanza, incluyendo la lucha contra la corrupción y la prevención de la captura del Estado.

El propósito de esta comunicación es **invitar a Dirección General de la Función Pública y Calidad de los Servicios** a ser uno de los ocho organismos europeos que colaboren en dicho proyecto.

Esta colaboración se traduciría en la puesta en práctica en su institución de la encuesta para la **Monitorización de la Implementación de Políticas Anticorrupción**, desarrollada por el CSD con el apoyo de la **Dirección General de Asuntos Internos de la Comisión Europea**. El objetivo es ayudar a las instituciones participantes a identificar riesgos o vacíos regulatorios y proporcionar apoyo para reducirlos.

Para ello, mantendríamos un primer encuentro con responsables de su institución para conocer qué políticas de prevención de la corrupción tiene en marcha para garantizar su buen funcionamiento e independencia. Posteriormente, se realizaría una **encuesta completamente anónima a sus empleados** para analizar el nivel de conocimiento, aplicación y confianza en dichos procedimientos. Los datos detallados recopilados a través de esta encuesta sólo se proporcionarán a Dirección General de la Función Pública y Calidad de los Servicios, junto con recomendaciones prácticas para mejorar estos procedimientos.

Una versión abreviada, en la que se publicarán las principales conclusiones comparando cuatro países (España, Italia, Bulgaria y Rumanía) sí será pública. Esta incluirá una evaluación del nivel de vulnerabilidad de la gobernanza en las instituciones y reguladores de dichos países; la existencia de normas y medidas pertinentes; su eficacia y, por último, recomendaciones.

Algunos de los organismos participantes son la **Región de Emilia-Romagna** (Italia), el **Consejo de Competencia** de Rumanía, la **Agencia Tributaria** de Bulgaria y la **Agencia Valenciana Antifraude**. Nos gustaría contar también con la participación de Dirección General de la Función Pública y Calidad de los Servicios y concertar un primer encuentro para ampliar esta información y/o resolver cualquier duda.

Un afectuoso saludo,

Miguel Ángel Gavilanes: miguel@civio.es / 695 58 84 23
Ana Villota: ana@civio.es / 654 47 51 52

Estimado D. José María Barreiro Díaz, director general:

El Center for the Study of Democracy (Bulgaria), con apoyo de la Fundación Ciudadana Civio (España), la Universidad de Trento (Italia) y el Expert Forum (Rumanía), está realizando un proyecto llamado **SCEMAPS** (abreviatura en inglés de Estimación de la Captura del Estado y Seguimiento de las Políticas Anticorrupción a Nivel Sectorial). El objetivo de esta iniciativa, financiada por el **Fondo de Seguridad Interior de la Unión Europea**, es apoyar a las instituciones públicas en la mejora de su gobernanza, incluyendo la lucha contra la corrupción y la prevención de la captura del Estado.

El propósito de esta comunicación es **invitar a Dirección General de Función Pública de la Xunta de Galicia** a ser uno de los ocho organismos europeos que colaboren en dicho proyecto.

Esta colaboración se traduciría en la puesta en práctica en su institución de la encuesta para la **Monitorización de la Implementación de Políticas Anticorrupción**, desarrollada por el CSD con el apoyo de la **Dirección General de Asuntos Internos de la Comisión Europea**. El objetivo es ayudar a las instituciones participantes a identificar riesgos o vacíos regulatorios y proporcionar apoyo para reducirlos.

Para ello, mantendríamos un primer encuentro con responsables de su institución para conocer qué políticas de prevención de la corrupción tiene en marcha para garantizar su buen funcionamiento e independencia. Posteriormente, se realizaría una **encuesta completamente anónima a sus empleados** para analizar el nivel de conocimiento, aplicación y confianza en dichos procedimientos. Los datos detallados recopilados a través de esta encuesta sólo se proporcionarán a Dirección General de Función Pública de la Xunta de Galicia, junto con recomendaciones prácticas para mejorar estos procedimientos.

Una versión abreviada, en la que se publicarán las principales conclusiones comparando cuatro países (España, Italia, Bulgaria y Rumanía) sí será pública. Esta incluirá una evaluación del nivel de vulnerabilidad de la gobernanza en las instituciones y reguladores de dichos países; la existencia de normas y medidas pertinentes; su eficacia y, por último, recomendaciones.

Algunos de los organismos participantes son la **Región de Emilia-Romagna** (Italia), el **Consejo de Competencia** de Rumanía, la **Agencia Tributaria** de Bulgaria y la **Agencia Valenciana Antifraude**. Nos gustaría contar también con la participación de Dirección General de Función Pública de la Xunta de Galicia y concertar un primer encuentro para ampliar esta información y/o resolver cualquier duda.

Un afectuoso saludo,

Miguel Ángel Gavilanes: miguel@civio.es / 695 58 84 23
Ana Villota: ana@civio.es / 654 47 51 52

Estimada Dña. Amaia Goñi Lacabe:

El Center for the Study of Democracy (Bulgaria), con apoyo de la Fundación Ciudadana Civio (España), la Universidad de Trento (Italia) y el Expert Forum (Rumanía), está realizando un proyecto llamado **SCEMAPS** (abreviatura en inglés de Estimación de la Captura del Estado y Seguimiento de las Políticas Anticorrupción a Nivel Sectorial). El objetivo de esta iniciativa, financiada por el **Fondo de Seguridad Interior de la Unión Europea**, es apoyar a las instituciones públicas en la mejora de su gobernanza, incluyendo la lucha contra la corrupción y la prevención de la captura del Estado.

El propósito de esta comunicación es **invitar a Dirección General de Función Pública del Gobierno de Navarra** a ser uno de los ocho organismos europeos que colaboren en dicho proyecto.

Esta colaboración se traduciría en la puesta en práctica en su institución de la encuesta para la **Monitorización de la Implementación de Políticas Anticorrupción**, desarrollada por el CSD con el apoyo de la **Dirección General de Asuntos Internos de la Comisión Europea**. El objetivo es ayudar a las instituciones participantes a identificar riesgos o vacíos regulatorios y proporcionar apoyo para reducirlos.

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Un afectuoso saludo,

Miguel Ángel Gavilanes: miguel@civio.es / 695 58 84 23
Ana Villota: ana@civio.es / 654 47 51 52

Estimado D. Joseba Asiain Albisu:

El Center for the Study of Democracy (Bulgaria), con apoyo de la Fundación Ciudadana Civio (España), la Universidad de Trento (Italia) y el Expert Forum (Rumanía), está realizando un proyecto llamado **SCEMAPS** (abreviatura en inglés de Estimación de la Captura del Estado y Seguimiento de las Políticas Anticorrupción a Nivel Sectorial). El objetivo de esta iniciativa, financiada por el **Fondo de Seguridad Interior de la Unión Europea**, es apoyar a las instituciones públicas en la mejora de su gobernanza, incluyendo la lucha contra la corrupción y la prevención de la captura del Estado.

El propósito de esta comunicación es **invitar a Dirección General de Presidencia y Gobierno Abierto** a ser uno de los ocho organismos europeos que colaboren en dicho proyecto.

Esta colaboración se traduciría en la puesta en práctica en su institución de la encuesta para la **Monitorización de la Implementación de Políticas Anticorrupción**, desarrollada por el CSD con el apoyo de la **Dirección General de Asuntos Internos de la Comisión Europea**. El objetivo es ayudar a las instituciones participantes a identificar riesgos o vacíos regulatorios y proporcionar apoyo para reducirlos.

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Un afectuoso saludo,

Miguel Ángel Gavilanes: miguel@civio.es / 695 58 84 23
Ana Villota: ana@civio.es / 654 47 51 52

Estimado D. Javier Bikandi Irazabal:

El Center for the Study of Democracy (Bulgaria), con apoyo de la Fundación Ciudadana Civio (España), la Universidad de Trento (Italia) y el Expert Forum (Rumanía), está realizando un proyecto llamado **SCEMAPS** (abreviatura en inglés de Estimación de la Captura del Estado y Seguimiento de las Políticas Anticorrupción a Nivel Sectorial). El objetivo de esta iniciativa, financiada por el **Fondo de Seguridad Interior de la Unión Europea**, es apoyar a las instituciones públicas en la mejora de su gobernanza, incluyendo la lucha contra la corrupción y la prevención de la captura del Estado.

El propósito de esta comunicación es **invitar a Dirección de Atención a la Ciudadanía e Innovación y Mejora de la Administración** a ser uno de los ocho organismos europeos que colaboren en dicho proyecto.

Esta colaboración se traduciría en la puesta en práctica en su institución de la encuesta para la **Monitorización de la Implementación de Políticas Anticorrupción**, desarrollada por el CSD con el apoyo de la **Dirección General de Asuntos Internos de la Comisión Europea**. El objetivo es ayudar a las instituciones participantes a identificar riesgos o vacíos regulatorios y proporcionar apoyo para reducirlos.

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Miguel Ángel Gavilanes: miguel@civio.es / 695 58 84 23
Ana Villota: ana@civio.es / 654 47 51 52

Estimada Dña. Ana María Vielba Gómez:

El Center for the Study of Democracy (Bulgaria), con apoyo de la Fundación Ciudadana Civio (España), la Universidad de Trento (Italia) y el Expert Forum (Rumanía), está realizando un proyecto llamado **SCEMAPS** (abreviatura en inglés de Estimación de la Captura del Estado y Seguimiento de las Políticas Anticorrupción a Nivel Sectorial). El objetivo de esta iniciativa, financiada por el **Fondo de Seguridad Interior de la Unión Europea**, es apoyar a las instituciones públicas en la mejora de su gobernanza, incluyendo la lucha contra la corrupción y la prevención de la captura del Estado.

El propósito de esta comunicación es **invitar a Secretaría General para la Administración Pública** a ser uno de los ocho organismos europeos que colaboren en dicho proyecto.

Esta colaboración se traduciría en la puesta en práctica en su institución de la encuesta para la **Monitorización de la Implementación de Políticas Anticorrupción**, desarrollada por el CSD con el apoyo de la **Dirección General de Asuntos Internos de la Comisión Europea**. El objetivo es ayudar a las instituciones participantes a identificar riesgos o vacíos regulatorios y proporcionar apoyo para reducirlos.

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Ana Villota: ana@civio.es / 654 47 51 52

Estimada Dña. Carmen Palomino:

El Center for the Study of Democracy (Bulgaria), con apoyo de la Fundación Ciudadana Civio (España), la Universidad de Trento (Italia) y el Expert Forum (Rumanía), está realizando un proyecto llamado **SCEMAPS** (abreviatura en inglés de Estimación de la Captura del Estado y Seguimiento de las Políticas Anticorrupción a Nivel Sectorial). El objetivo de esta iniciativa, financiada por el **Fondo de Seguridad Interior de la Unión Europea**, es apoyar a las instituciones públicas en la mejora de su gobernanza, incluyendo la lucha contra la corrupción y la prevención de la captura del Estado.

El propósito de esta comunicación es **invitar a Función Pública y Administraciones Públicas** a ser uno de los ocho organismos europeos que colaboren en dicho proyecto.

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Un afectuoso saludo,

Miguel Ángel Gavilanes: miguel@civio.es / 695 58 84 23
Ana Villota: ana@civio.es / 654 47 51 52



Fundación Ciudadana Civio
Pso San Francisco de Sales, 29, 7B
28003 Madrid
CIF: G86361862

La Fundación Ciudadana CIVIO, con domicilio social en Paseo San Francisco de Sales 29, 7B, 28003 Madrid, con CIF número G86361862 e inscrita en el Registro de Fundaciones del Ministerio de Educación, Cultura y Deporte con número 1441, representada en este acto por D. David Cabo Calderón, haciendo uso del Poder otorgado a su favor, con fecha 17 de diciembre de 2012, ante el Notario del Ilustre Colegio de Madrid, D. Rodrigo Tena Arregui.

Da su conformidad al texto que se adjunta del convenio de colaboración entre la Comunidad Autónoma de la Región de Murcia, a través de la Consejería de Transparencia, Participación y Administración Pública y la Fundación Ciudadana Vicvio para el desarrollo del proyecto Scemaps.

En Madrid, a 11 de diciembre de 2020,

25460748R
DAVID CABO
(R: G86361862)

Firmado digitalmente
por 25460748R DAVID
CABO (R: G86361862)
Fecha: 2020.12.11
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Fdo: David Cabo Calderón



CONVENIO DE COLABORACIÓN ENTRE LA COMUNIDAD AUTÓNOMA DE LA REGIÓN DE MURCIA, A TRAVÉS DE LA CONSEJERÍA DE TRANSPARENCIA, PARTICIPACIÓN Y ADMINISTRACIÓN PÚBLICA Y LA FUNDACIÓN CIUDADANA CIVIO PARA EL DESARROLLO DEL PROYECTO “SceMaps”.

En Murcia a ... de... 2020

REUNIDOS

De una parte, la Excm. Sra. Dña. Beatriz Ballesteros Palazón, Consejera de Transparencia, Participación y Administración Pública de la Comunidad Autónoma de la Región de Murcia, nombrada por Decreto de la Presidencia nº 37/2019, de 31 de julio, actuando en representación de la misma para la firma del presente convenio en virtud del artículo 16.2.a) de la Ley 7/2004, de 28 de diciembre, de Organización y Régimen Jurídico de la Administración Pública de la Comunidad Autónoma de la Región de Murcia, cuya celebración ha sido autorizada por acuerdo del Consejo de Gobierno, de fecha ... de de 2020.

De otra parte, la Fundación Ciudadana CIVIO, con domicilio social en Paseo San Francisco de Sales 29, 7B, 28003 Madrid, con C.I.F. número G-86361862 e inscrita en el Registro de Fundaciones del Ministerio de Educación, Cultura y Deporte con número 1441, representada en este acto por D. David Cabo Calderón, haciendo uso del Poder otorgado a su favor, con fecha 17 de diciembre de 2012, ante el Notario del Ilustre Colegio de Madrid, D. Rodrigo Tena Arregui.

Reconociéndose mutuamente capacidad suficiente para formalizar el presente documento y obligar a las instituciones a las que representan:



EXPONEN

1. Que la Consejería de Transparencia, Participación y Administración Pública es el Departamento de la Comunidad Autónoma de la Región de Murcia (en adelante CARM) encargado de la propuesta, desarrollo y ejecución de las directrices generales del Consejo de Gobierno en materia de buen gobierno, que asume con carácter transversal, de conformidad con lo establecido en el artículo 9 del Decreto del Presidente n.º 29/2019, de 31 de julio, de reorganización de la Administración Regional, en su redacción dada por el Decreto de la Presidencia n.º 44/2019, de 3 de septiembre.

Por otro lado, el artículo 5 del Decreto n.º 174/2019, de 6 de septiembre, por el que se establecen los Órganos Directivos de la Consejería de Transparencia, Participación y Administración Pública establece que, a la Dirección General de Regeneración y Modernización Administrativa le corresponde la coordinación, puesta en marcha y supervisión del cumplimiento de las medidas en materia de buen gobierno, ética, integridad pública y prevención de la corrupción que se establezcan legalmente o se determinen por el Consejo de Gobierno.

Así, el Consejo de Gobierno en su sesión del 30 de julio de 2020 aprobó la “Estrategia de Gobernanza Pública de la Comunidad Autónoma de la Región de Murcia para el periodo 2020-2022”, en la que se incluye la línea estratégica: “D.- Ética, integridad pública y prevención de la corrupción”, a la que se vincula el objetivo estratégico: “Fomentar la puesta en marcha de medidas para prevenir y detectar la corrupción”.

2. Que la Fundación Ciudadana CIVIO (en adelante CIVIO) de conformidad con el artículo 4 de sus Estatutos, nace con la misión de contribuir a la mejora de la calidad democrática en nuestro país mediante el recurso a la tecnología, la comunicación, la investigación y el desarrollo. Además, el artículo 5 de los citados Estatutos dispone que el proyecto que origina el nacimiento de CIVIO se sostiene en cuatro pilares: 1)



Ciudadanía: una visión del ciudadano como sujeto participativo involucrado más activamente en el proceso político; 2) Transparencia: un nuevo paradigma internacional de gestión de los recursos públicos cada vez más pujante; 3) Tecnología: el gran catalizador contemporáneo que supone el acceso a un ingente volumen de datos mediante nuevas aplicaciones digitales; 4) Periodismo: unos mecanismos profesionales de control de los poderes públicos al servicio de la ciudadanía. Para el cumplimiento de dichos fines, CIVIO podrá, entre otras actividades, suscribir acuerdos de cooperación, convenios de colaboración, y participación o asistencia en el desarrollo de actividades de otras entidades, organismos, instituciones o personas físicas o jurídicas que coadyuven a la actividad de la Fundación.

3. Que el Center for the Study of Democracy CSD (Bulgaria), con apoyo de la Fundación Ciudadana Civio (España), la Universidad de Trento (Italia) y el Expert Forum (Rumanía), han firmado el “Grant Agreement 823816” con la Unión Europea con la finalidad de desarrollar el proyecto denominado “SceMaps”¹. El objetivo de esta iniciativa, financiada por el Fondo de Seguridad Interior de la Unión Europea, es apoyar a las instituciones públicas en la mejora de su gobernanza, incluyendo la lucha contra la corrupción y la prevención de la captura del Estado.

SceMaps es una herramienta integrada de evaluación de riesgos que sirve para identificar las amenazas de captura del Estado en áreas e industrias con una fuerte regulación y supervisar las políticas de lucha contra la corrupción a nivel sectorial en los Estados miembros de la UE con el objeto de detectar y solventar las posibles deficiencias en este campo.

¹ Acrónimo en inglés de State Capture and Monitoring of Anti-corruption Policies at the Sectoral level, en español, Estimación de la Captura del Estado y Seguimiento de las Políticas Anticorrupción a Nivel Sectorial.



De acuerdo con ello, SceMaps realiza una evaluación de tres sectores económicos de alto riesgo –construcción, productos farmacéuticos y venta al por mayor de combustibles– mediante la evaluación de los riesgos de captura del Estado, un estudio de la eficiencia y los efectos de las medidas y políticas anticorrupción, el perfilado de empresas e instituciones que participan en la contratación pública y un sistema de alerta de contenidos relacionados en los medios de comunicación.

El desarrollo del proyecto SceMaps precisa de la colaboración de ocho organismos de los cuatro países participantes (España, Italia, Rumanía y Bulgaria), que se ofrezcan a que se realice una evaluación de la eficiencia y efectos de las medidas y políticas anticorrupción adoptadas en sus respectivos ámbitos.

En concreto, la colaboración de los organismos participantes se traduce en la realización en su organización de la encuesta Monitoring Anticorruption Policy Implementation² (encuesta MACPI), desarrollada por el CSD con el apoyo de la Dirección General de Asuntos Internos de la Comisión Europea.

La encuesta tendría lugar tras un primer encuentro con los responsables de los organismos participantes para conocer qué políticas de prevención de la corrupción tienen en marcha. La encuesta individualizada y completamente anónima se realizaría entre los empleados públicos de dichos organismos y también entre aquellos agentes externos que se relacionen ordinariamente con los mismos.

El objetivo de esta acción es que los organismos puedan tomar conciencia de sus debilidades en el ámbito de la lucha contra la corrupción y puedan adoptar internamente medidas preventivas más eficientes. En definitiva, ayudarles a identificar riesgos, bien por falta de implementación de medidas o vacíos regulatorios, y proporcionarles apoyo para reducirlos. El propósito final del conjunto será evaluar la eficiencia y los efectos de

² Monitorización de la Implementación de Políticas Anticorrupción



las medidas y de las políticas anticorrupción adoptadas por los distintos países participantes.

3. CIVIO ha invitado a la CARM, a través de la Consejería de Transparencia, Participación y Administración Pública, a participar junto con siete organismos más en el proyecto SceMaps.

La participación en el proyecto resulta de gran interés para la Consejería de Transparencia, Participación y Administración Pública, puesto que se alinea directamente con una de las medidas de promoción de la integridad pública y prevención de la corrupción previstas en la Estrategia de Gobernanza Pública de la Comunidad Autónoma de la Región de Murcia para el periodo 2020-2022, en concreto, la medida D7, consistente en elaborar un “Mapa regional de riesgos de la corrupción” de la línea estratégica: “D.- Ética, integridad pública y prevención de la corrupción”.

Esta colaboración servirá a la CARM de base para diseñar un proyecto piloto de mapa de corrupción en los sectores económicos que se han determinado como de alto riesgo en el proyecto SceMaps, así como para adquirir experiencia, aprender metodología y herramientas para abordar la tarea, más amplia, de diseño del Mapa de riesgos de corrupción que contemple las áreas que se definan como más sensibles en el ámbito regional.

En atención a las consideraciones que preceden, las partes intervinientes en este acto, estando interesadas en colaborar en el desarrollo del proyecto SceMaps, en virtud de la representación que ostentan, acuerdan suscribir el presente convenio, con arreglo a las siguientes

CLÁUSULAS

PRIMERA. OBJETO Y FINALIDAD.



El presente Convenio tiene por objeto colaborar en el desarrollo del proyecto SceMaps, mediante la puesta en práctica en la CARM de la encuesta MACPI. Esta encuesta será on line y anónima, y se dirigirá a empleados públicos y a agentes externos que se relacionen ordinariamente con los mismos.

El objetivo de esta acción es monitorizar la implementación de las políticas anticorrupción en la CARM, con el fin de evaluar si las posibles vulnerabilidades en el ámbito de la lucha contra la corrupción se abordan mediante políticas adecuadas de corrupción o si por el contrario existen carencias de implementación o regulación, analizar la efectividad de las medidas existentes y proponer medidas preventivas más eficientes.

SEGUNDA. COMPROMISOS DE LAS PARTES.

1. La CARM, a través de la Dirección General de Regeneración y Modernización Administrativa, se compromete a:

a) Colaborar en la adaptación de la encuesta MACPI (siglas de Monitoring Anticorruption Policy Implementation) desarrollada por el Center for the Study of Democracy (CSD), coordinador de SceMaps y responsable de la metodología. Para ello confeccionará un listado de las áreas de actividad vinculadas con el objeto de estudio de SceMaps y de las medidas y políticas anticorrupción en vigor que estén dirigidas a acotar los riesgos de corrupción potenciales en cada una de estas áreas.

b) Enviar el enlace de la encuesta a aquellos empleados públicos que trabajen en los departamentos que participen, intervengan o se relacionen de forma directa o indirecta con uno o varios de los tres sectores económicos evaluados por SceMaps –construcción, productos farmacéuticos y venta al por mayor de combustibles–, siendo necesario que el mecanismo utilizado no permita su identificación, para conseguir una participación libre y honesta de la persona encuestada.

c) Enviar una versión de esta encuesta a personas externas –profesionales, miembros de otras administraciones, académicos, periodistas, etc.– que tengan



vinculación, relación o conocimiento de aquellas actividades que realiza la CARM por las que se preguntará en la encuesta.

2. CIVIO, se compromete a:

a) Como miembro de SceMaps, intermediar entre el Center for the Study of Democracy (CSD) y la CARM, con el objetivo de adaptar la mencionada encuesta al contexto del Gobierno de la Región de Murcia e informar del volumen de participación en la misma, con el propósito de alcanzar un número metodológicamente adecuado de respuestas.

b) Proporcionar a la Dirección General de Regeneración y Modernización Administrativa los resultados del análisis de las respuestas y de la evaluación de los distintos indicadores elaborado por CSD.

TERCERA. FINANCIACIÓN.

De la suscripción de este Convenio no se derivan obligaciones económicas para ninguna de las partes.

CUARTA. COMISIÓN DE SEGUIMIENTO.

1. La Comisión de Seguimiento será responsable del seguimiento, vigilancia y control del Convenio, y estará compuesta por dos miembros por entidad, en concreto:

- En representación de la Administración Regional, la persona titular de la Dirección General competente en materia de Buen Gobierno o persona en quien delegue, y un técnico del citado centro directivo designado por su titular.

- En representación de CIVIO, su codirector D. David Cabo Calderón o la persona en quien delegue, y la persona responsable de coordinar la colaboración de esta fundación en SceMaps.

2. La Comisión se constituirá en el plazo de 15 días a partir de la firma del Convenio y tendrá como funciones, además de las citadas:



a) Favorecer, en todo momento, la comunicación entre las partes, resolviendo todo aquello que sea posible de forma inmediata o solicitando, en caso contrario, la intervención de los responsables adecuados en cada caso.

b) Resolver las dudas sobre interpretación o modificación del Convenio.

3. La Comisión aprobará su régimen de funcionamiento y en lo no previsto, se registrará por lo dispuesto en el Capítulo II del Título Preliminar de la Ley 40/2015, de 1 de octubre, de Régimen Jurídico del Sector Público, que regula el funcionamiento de los órganos colegiados.

QUINTA. MODIFICACIÓN DEL CONVENIO.

Los términos del Convenio podrán ser modificados de mutuo acuerdo entre las partes, debiendo incorporarse dicha modificación como adenda al mismo.

SEXTA. VIGENCIA Y EXTINCIÓN.

1. El Convenio tendrá un plazo de vigencia a contar desde la fecha de su firma por la parte que lo haga en último lugar, y hasta el 30 de abril de 2021, la fecha de finalización del proyecto SceMaps.

2. El Convenio podrá extinguirse por las siguientes causas:

a) Por el transcurso del plazo de duración previsto.

b) Por previa denuncia de alguna de las partes, que deberá ser comunicada a la otra con una antelación mínima de un mes a la fecha en que vaya a darse por finalizado.

c) Por mutuo acuerdo, imposibilidad sobrevenida de cumplir los compromisos adquiridos o fuerza mayor.

d) Por resolución del mismo, que podrá venir motivada por el incumplimiento de alguna de sus cláusulas.



SÉPTIMA. CONSECUENCIAS EN CASO DE INCUMPLIMIENTO DEL CONVENIO.

1. En caso de incumplimiento de las obligaciones y compromisos asumidos por cada una de las partes, cualquiera de ellas podrá notificar a la parte incumplidora un requerimiento para que cumpla en un determinado plazo con las obligaciones o compromisos que se consideran incumplidos. Si transcurrido el plazo indicado en el requerimiento, persistiera el incumplimiento, la parte que lo dirigió notificará la concurrencia de la causa de resolución y se entenderá resuelto el Convenio.

2. No se prevé régimen de indemnizaciones más allá de la resolución del Convenio, en el caso de que una de las partes incurra en alguna de las causas mencionadas con anterioridad.

OCTAVA. NATURALEZA DEL CONVENIO Y JURISDICCIÓN COMPETENTE.

El Convenio tiene naturaleza administrativa y las cuestiones litigiosas que pudieran surgir serán competencia de la Jurisdicción Contenciosa Administrativa.

Y dejando constancia de conformidad con la totalidad de los acuerdos de este convenio, lo firman electrónicamente.

**POR LA CONSEJERÍA DE
TRANSPARENCIA,
PARTICIPACIÓN Y
ADMINISTRACIÓN PÚBLICA**

POR LA FUNDACIÓN CIVIO

Fdo.: Beatriz Ballesteros Palazón

Fdo.: David Cabo Calderón



Región de Murcia
Consejería de Transparencia,
Participación y Administración Pública



MEMORIA JUSTIFICATIVA SOBRE EL CONVENIO DE COLABORACIÓN ENTRE LA COMUNIDAD AUTÓNOMA DE LA REGIÓN DE MURCIA, A TRAVÉS DE LA CONSEJERÍA DE TRANSPARENCIA, PARTICIPACIÓN Y ADMINISTRACIÓN PÚBLICA Y LA FUNDACIÓN CIUDADANA CIVIO PARA EL DESARROLLO DEL PROYECTO “SceMaps”.

De conformidad con lo establecido en el artículo 50 de la Ley 40/2015, de 1 de octubre, de Régimen Jurídico del Sector Público, se acompaña al Proyecto de Convenio referido en el encabezamiento, la presente Memoria Justificativa,

A. NECESIDAD Y OPORTUNIDAD

La Consejería de Transparencia, Participación y Administración Pública es el Departamento de la Comunidad Autónoma de la Región de Murcia (en adelante CARM) encargado de la propuesta, desarrollo y ejecución de las directrices generales del Consejo de Gobierno en materia de buen gobierno, que asume con carácter transversal, de conformidad con lo establecido en el artículo 9 del Decreto del Presidente n.º 29/2019, de 31 de julio, de reorganización de la Administración Regional, en su redacción dada por el Decreto de la Presidencia n.º 44/2019, de 3 de septiembre.

Por otro lado, el artículo 5 del Decreto n.º 174/2019, de 6 de septiembre, por el que se establecen los Órganos Directivos de la Consejería de Transparencia, Participación y Administración Pública establece que, a la Dirección General de Regeneración y Modernización Administrativa le corresponde la coordinación, puesta en marcha y supervisión del cumplimiento de las medidas en materia de buen gobierno, ética, integridad pública y prevención de la corrupción que se establezcan legalmente o se determinen por el Consejo de Gobierno.

Así, el Consejo de Gobierno en su sesión del 30 de julio de 2020 aprobó la “Estrategia de Gobernanza Pública de la Comunidad Autónoma de la Región de Murcia para el periodo 2020-2022”, cuyo objeto es definir las líneas y objetivos fundamentales que en materia de gobernanza pública va a impulsar y poner en marcha la Administración regional en ese período temporal, abordando cuestiones tales como la transparencia, la participación ciudadana en la vida pública, el buen gobierno, los datos abiertos, la evaluación de políticas públicas, la rendición de cuentas, la calidad y el servicio a la ciudadanía o la simplificación y modernización administrativa. En dicha Estrategia se incluye la línea estratégica: “D.- Ética, integridad pública y prevención de la corrupción”, a la que se vincula el objetivo estratégico: “Fomentar la puesta en marcha de medidas para prevenir y detectar la corrupción”.





La Fundación Ciudadana CIVIO (en adelante CIVIO) de conformidad con el artículo 4 de sus Estatutos, nace con la misión de contribuir a la mejora de la calidad democrática en nuestro país mediante el recurso a la tecnología, la comunicación, la investigación y el desarrollo. Además, el artículo 5 de los citados Estatutos dispone que el proyecto que origina el nacimiento de CIVIO se sostiene en cuatro pilares: 1) Ciudadanía: una visión del ciudadano como sujeto participativo involucrado más activamente en el proceso político; 2) Transparencia: un nuevo paradigma internacional de gestión de los recursos públicos cada vez más pujante; 3) Tecnología: el gran catalizador contemporáneo que supone el acceso a un ingente volumen de datos mediante nuevas aplicaciones digitales; 4) Periodismo: unos mecanismos profesionales de control de los poderes públicos al servicio de la ciudadanía. Para el cumplimiento de dichos fines, CIVIO podrá, entre otras actividades, suscribir acuerdos de cooperación, convenios de colaboración, y participación o asistencia en el desarrollo de actividades de otras entidades, organismos, instituciones o personas físicas o jurídicas que coadyuven a la actividad de la Fundación.

El Center for the Study of Democracy CSD (Bulgaria), con apoyo de la Fundación Ciudadana Civio (España), la Universidad de Trento (Italia) y el Expert Forum (Rumanía), han firmado el “Grant Agreement 823816” con la Unión Europea con la finalidad de desarrollar el proyecto denominado “SceMaps”. El objetivo de esta iniciativa, financiada por el Fondo de Seguridad Interior de la Unión Europea, es apoyar a las instituciones públicas en la mejora de su gobernanza, incluyendo la lucha contra la corrupción y la prevención de la captura del Estado.

SceMaps es una herramienta integrada de evaluación de riesgos que sirve para identificar las amenazas de captura del Estado en áreas e industrias con una fuerte regulación y supervisar las políticas de lucha contra la corrupción a nivel sectorial en los Estados miembros de la UE con el objeto de detectar y solventar las posibles deficiencias en este campo.

De acuerdo con ello, SceMaps realiza una evaluación de tres sectores económicos de alto riesgo –construcción, productos farmacéuticos y venta al por mayor de combustibles– mediante la evaluación de los riesgos de captura del Estado, un estudio de la eficiencia y los efectos de las medidas y políticas anticorrupción, el perfilado de empresas e instituciones que participan en la contratación pública y un sistema de alerta de contenidos relacionados en los medios de comunicación.

El desarrollo del proyecto SceMaps precisa de la colaboración de ocho organismos de los cuatro países participantes (España, Italia, Rumanía y Bulgaria), que se ofrezcan a que se realice una evaluación de la eficiencia y efectos de las medidas y políticas anticorrupción adoptadas en sus respectivos ámbitos.





En concreto, la colaboración de los organismos participantes se traduce en la realización en su organización de la encuesta Monitoring Anticorruption Policy Implementation (encuesta MACPI), desarrollada por el CSD con el apoyo de la Dirección General de Asuntos Internos de la Comisión Europea.

La encuesta tendría lugar tras un primer encuentro con los responsables de los organismos participantes para conocer qué políticas de prevención de la corrupción tienen en marcha. La encuesta individualizada y completamente anónima se realizaría entre los empleados públicos de dichos organismos y también entre aquellos agentes externos que se relacionen ordinariamente con los mismos.

El objetivo de esta acción es que los organismos puedan tomar conciencia de sus debilidades en el ámbito de la lucha contra la corrupción y puedan adoptar internamente medidas preventivas más eficientes. En definitiva, ayudarles a identificar riesgos, bien por falta de implementación de medidas o vacíos regulatorios, y proporcionarles apoyo para reducirlos. El propósito final de conjunto será evaluar la eficiencia y los efectos de las medidas y de las políticas anticorrupción adoptadas por los distintos países participantes.

CIVIO ha invitado a la CARM, a través de la Consejería de Transparencia, Participación y Administración Pública, a participar junto con siete organismos más en el proyecto SceMaps.

La participación en el proyecto resulta de gran interés para la Consejería de Transparencia, Participación y Administración Pública, puesto que se alinea directamente con una de las medidas de promoción de la integridad pública y prevención de la corrupción previstas en la Estrategia de Gobernanza Pública de la Comunidad Autónoma de la Región de Murcia para el periodo 2020-2022, en concreto, la medida D7, consistente en elaborar un “Mapa regional de riesgos de la corrupción” de la línea estratégica: “D.- Ética, integridad pública y prevención de la corrupción”.

Esta colaboración servirá a la CARM de base para diseñar un proyecto piloto de mapa de corrupción en los sectores económicos que se han determinado como de alto riesgo en el proyecto SceMaps, así como para adquirir experiencia, aprender metodología y herramientas para abordar la tarea, más amplia, de diseño del Mapa de riesgos de corrupción que contemple las áreas que se definan como más sensibles en el ámbito regional.

Por todo ello, la Consejería de Transparencia, Participación y Administración Pública considera conveniente la suscripción del convenio, cuyo objeto es colaborar en el desarrollo del proyecto SceMaps, mediante la puesta en práctica en la CARM de la encuesta MACPI. Esta encuesta será on line y anónima, y se dirigirá a empleados públicos y a agentes externos que se relacionen ordinariamente con los mismos.





El objetivo de esta acción es monitorizar la implementación de las políticas anticorrupción en la CARM, con el fin de evaluar si las posibles vulnerabilidades en el ámbito de la lucha contra la corrupción se abordan mediante políticas adecuadas de corrupción o si por el contrario existen carencias de implementación o regulación, analizar la efectividad de las medidas existentes y proponer medidas preventivas más eficientes. De esta forma, podemos afirmar que el convenio contribuye a la realización de actividades de utilidad pública.

En desarrollo de dicho convenio, la CARM, a través de la Dirección General de Regeneración y Modernización Administrativa, se compromete a:

a) Colaborar en la adaptación de la encuesta MACPI (siglas de Monitoring Anticorruption Policy Implementation) desarrollada por el Center for the Study of Democracy (CSD), coordinador de SceMaps y responsable de la metodología. Para ello confeccionará un listado de las áreas de actividad vinculadas con el objeto de estudio de SceMaps y de las medidas y políticas anticorrupción en vigor que estén dirigidas a acotar los riesgos de corrupción potenciales en cada una de estas áreas.

b) Enviar el enlace de la encuesta a aquellos empleados públicos que trabajen en los departamentos que participen, intervengan o se relacionen de forma directa o indirecta con uno o varios de los tres sectores económicos evaluados por SceMaps – construcción, productos farmacéuticos y venta al por mayor de combustibles–, siendo necesario que el mecanismo utilizado no permita su identificación, para conseguir una participación libre y honesta de la persona encuestada.

c) Enviar una versión de esta encuesta a personas externas –profesionales, miembros de otras administraciones, académicos, periodistas, etc.– que tengan vinculación, relación o conocimiento de aquellas actividades que realiza la CARM por las que se preguntará en la encuesta.

B. CARÁCTER NO CONTRACTUAL

El artículo 2 de la Ley 9/2017, de 8 de noviembre, de Contratos del Sector Público, por la que se transponen al ordenamiento jurídico español las Directivas del Parlamento Europeo y del Consejo 2014/23/UE y 2014/24/UE, de 26 de febrero de 2014, señala que: “Son contratos del sector público y, en consecuencia, están sometidos a la presente Ley en la forma y términos previstos en la misma, los contratos onerosos, cualquiera que sea su naturaleza jurídica, que celebren las entidades enumeradas en el artículo 3”. Por otra parte, el artículo 6.1 de dicha norma establece que: “Quedan excluidos del ámbito de la presente Ley, los convenios, cuyo contenido no esté comprendido en el de los contratos regulados en esta Ley o en normas administrativas especiales celebrados entre sí por la Administración General del Estado, las Entidades Gestoras y los Servicios





Comunes de la Seguridad Social, las Universidades Públicas, las Comunidades Autónomas y las Ciudades Autónomas de Ceuta y Melilla, las Entidades locales, (.....)”.

Por su parte, el artículo 47 de la Ley 40/2015, de 1 de octubre, de Régimen Jurídico del Sector Público, establece que: “Son convenios los acuerdos con efectos jurídicos adoptados por las Administraciones Públicas, los organismos públicos y entidades de derecho público vinculados o dependientes o las Universidades públicas entre sí o con sujetos de derecho privado para un fin común”, sin que los convenios puedan tener por objeto prestaciones propias de los contratos.

En este sentido, puede afirmarse que, aunque ambas figuras –convenio de colaboración y contrato– tengan en común la nota de ser actos o negocios jurídicos de carácter bilateral, resultado del concurso de la libre voluntad de las partes, su diferencia estriba en la distinta posición y finalidad de las partes y como consecuencia de ello, en la distinta instrumentación o articulación jurídica del contenido que se aprecia en el convenio de colaboración y en el contrato administrativo.

En un convenio de colaboración no se manifiesta una contraposición de intereses sino que se trata de establecer una colaboración institucional para llevar a cabo una actuación en respuesta de objetivos compartidos. Ninguna de las partes tendrá interés patrimonial porque los intereses de las partes son públicos. Por el contrario, el contrato consiste en desarrollar una actividad singular y específica que forma parte del tráfico mercantil, existiendo total contraposición de intereses y un interés patrimonial. Desapareciendo en el contrato la idea de comunidad de fin, surgiendo la posición de cada parte como una posición independiente, tendente a la satisfacción de su propio interés, lo que tiene por consecuencia que la relación contractual gire en torno al principio de sinalagmaticidad, es decir, la reciprocidad o interdependencia de las prestaciones que se deben las partes, tal y como pone de manifiesto el inciso inicial del artículo 1.274 Código Civil, “en los contratos onerosos se entiende por causa, para cada parte contratante, la prestación o promesa de una cosa o servicio por la otra parte”.

En el supuesto que nos ocupa, es claro que no hay una contraposición de intereses entre las partes y que ninguna de ellas tiene interés patrimonial, porque la relación jurídica que se pretende entablar a través de la figura del convenio no es onerosa, toda vez que la Administración regional no abonará cantidad alguna a la Fundación CIVIO por la colaboración prestada, no estando prevista, por tanto, la disposición de fondos de la Administración regional a favor de la referida fundación. Simplemente, el convenio servirá para instrumentar las relaciones de colaboración entre dos partes que persiguen fines comunes relacionados con las ideas de buen gobierno y regeneración institucional, así como con la ética, la integridad pública y la prevención de la corrupción, que llevarán a cabo una actividad conjunta para la consecución de un fin público concreto: la adopción de medidas de mejora en la lucha contra la corrupción.





De esta manera, queda justificada la naturaleza jurídica convencional y no contractual de la relación que se va a trabar entre las partes.

C. IMPACTO ECONÓMICO Y SOCIAL

El Proyecto “SceMaps” es un proyecto desarrollado por el Centro de Estudios Democráticos de Bulgaria que se encuentra financiado por el Fondo de Seguridad Interior de la Unión Europea. Mediante la suscripción del convenio, la CARM se convierte en organismo participante de ese proyecto pero no recibe ni entrega fondos por esta razón. La cláusula tercera del convenio, referida a la financiación, se encarga de especificarlo al afirmar que *de la suscripción del convenio no se derivan obligaciones económicas para ninguna de las partes*. Al no generar coste económico alguno para la Administración regional, el convenio no tiene incidencia en la dotación de los capítulos de gastos asignados a la Consejería de Transparencia, Participación y Administración Pública. Por ello, no se hace preciso incorporar el expediente el informe económico acerca de la existencia de crédito adecuado y suficiente previsto en el artículo 7.2 del Decreto 56/1996, de 24 de julio, por el que se regula el Registro General de Convenios y se dictan normas para la tramitación de éstos en el ámbito de la Administración Regional de Murcia, así como tampoco someter la propuesta a fiscalización previa de la Intervención Delegada.

Desde el punto de vista del impacto social del convenio, hay que decir que el Proyecto “SceMaps” tiene como objetivo apoyar a las instituciones públicas en la mejora de su gobernanza mediante la lucha contra la corrupción. En el contexto de la CARM, servirá para identificar las amenazas y supervisar las políticas de lucha contra la corrupción a nivel sectorial en el ámbito de la Administración Regional en tres sectores económicos de alto riesgo –construcción, productos farmacéuticos y venta al por mayor de combustibles– haciendo un estudio de los riesgos de corrupción y de la eficacia de las medidas y políticas anticorrupción adoptadas. Este análisis posibilitará tomar conciencia de las debilidades que existen en estos ámbitos y permitirá tener información adecuada para adoptar medidas preventivas más eficientes.

De modo directo, la suscripción del convenio servirá para extraer información que se reflejará en un proyecto piloto de mapa de riesgos de corrupción en una o varias de las tres áreas a las que se refiera la encuesta. Indirectamente, la participación en el proyecto permitirá a los gestores de la CARM adquirir experiencia, aprender metodología y herramientas para abordar la tarea, más amplia, de diseño del Mapa de riesgos de corrupción que contemple las áreas que se definan como más sensibles en el ámbito regional.





Esta iniciativa se alinea directamente con una de las medidas de promoción de la integridad pública y prevención de la corrupción previstas en la Estrategia de Gobernanza Pública de la Comunidad Autónoma de la Región de Murcia para el periodo 2020-2022, en concreto, la medida D7, consistente en elaborar un “Mapa regional de riesgos de la corrupción” de la línea estratégica: “D.- Ética, integridad pública y prevención de la corrupción”.

En consecuencia, las actuaciones previstas en el convenio tendrán impacto social significativo, en la medida en que los resultados de las mismas permitirán construir instrumentos de lucha contra la corrupción, que coadyuvarán a fortalecer la confianza ciudadana en la institución pública que representa la Administración regional, en la utilidad de sus decisiones y en la calidad de los servicios que prestan, todo ello en aras de avanzar en la consolidación de un Gobierno eficaz y de un Buen Gobierno.

D. CUMPLIMIENTO DE LO PREVISTO EN LA LEY 40/2015, DE 1 DE OCTUBRE, DE REGIMEN JURIDICO DEL SECTOR PÚBLICO

La tramitación de este convenio se somete a lo dispuesto en los artículos 47 y siguientes de la Ley 40/2015, de 1 de octubre, de Régimen Jurídico del Sector Público, sin perjuicio de lo dispuesto en el Decreto 56/1996, de 24 de julio, por el que se regula el Registro General de Convenios y se dictan normas para la tramitación de estos en el ámbito de la Administración Regional de Murcia.

LA SUBDIRECTORA GENERAL DE REGENERACIÓN Y MODERNIZACIÓN ADMINISTRATIVA

(En Murcia, documento fechado y firmado electrónicamente al margen)

Fdo.: Ana Pilar Herrero Sempere





PROPUESTA

La Consejería de Transparencia, Participación y Administración Pública es el Departamento de la Comunidad Autónoma de la Región de Murcia (en adelante CARM) encargado de la propuesta, desarrollo y ejecución de las directrices generales del Consejo de Gobierno en materia de buen gobierno, que asume con carácter transversal, de conformidad con lo establecido en el artículo 9 del Decreto del Presidente n.º 29/2019, de 31 de julio, de reorganización de la Administración Regional, en su redacción dada por el Decreto de la Presidencia n.º 44/2019, de 3 de septiembre.

Por otro lado, el artículo 5 del Decreto n.º 174/2019, de 6 de septiembre, por el que se establecen los Órganos Directivos de la Consejería de Transparencia, Participación y Administración Pública establece que, a la Dirección General de Regeneración y Modernización Administrativa le corresponde la coordinación, puesta en marcha y supervisión del cumplimiento de las medidas en materia de buen gobierno, ética, integridad pública y prevención de la corrupción que se establezcan legalmente o se determinen por el Consejo de Gobierno.

Así, el Consejo de Gobierno en su sesión del 30 de julio de 2020 aprobó la “Estrategia de Gobernanza Pública de la Comunidad Autónoma de la Región de Murcia para el periodo 2020-2022”, cuyo objeto es definir las líneas y objetivos fundamentales que en materia de gobernanza pública va a impulsar y poner en marcha la Administración regional en ese período temporal, abordando cuestiones tales como la transparencia, la participación ciudadana en la vida pública, el buen gobierno, los datos abiertos, la evaluación de políticas públicas, la rendición de cuentas, la calidad y el servicio a la ciudadanía o la simplificación y modernización administrativa. En dicha Estrategia se incluye la línea estratégica: “D.- Ética, integridad pública y prevención de la corrupción”, a la que se vincula el objetivo estratégico: “Fomentar la puesta en marcha de medidas para prevenir y detectar la corrupción”.

La Fundación Ciudadana CIVIO (en adelante CIVIO) de conformidad con el artículo 4 de sus Estatutos, nace con la misión de contribuir a la mejora de la calidad democrática en nuestro país mediante el recurso a la tecnología, la comunicación, la investigación y el desarrollo. Además, el artículo 5 de los citados Estatutos dispone que el proyecto que origina el nacimiento de CIVIO se sostiene en cuatro pilares: 1) Ciudadanía: una visión del ciudadano como sujeto participativo involucrado más activamente en el proceso político; 2) Transparencia: un nuevo paradigma internacional de gestión de los recursos públicos cada vez más pujante; 3) Tecnología: el gran catalizador contemporáneo que supone el acceso a un ingente volumen de datos





mediante nuevas aplicaciones digitales; 4) Periodismo: unos mecanismos profesionales de control de los poderes públicos al servicio de la ciudadanía.

El Center for the Study of Democracy CSD (Bulgaria), con apoyo de la Fundación Ciudadana Civio (España), la Universidad de Trento (Italia) y el Expert Forum (Rumanía), han firmado el “Grant Agreement 823816” con la Unión Europea con la finalidad de desarrollar el proyecto denominado “SceMaps”. El objetivo de esta iniciativa, financiada por el Fondo de Seguridad Interior de la Unión Europea, es apoyar a las instituciones públicas en la mejora de su gobernanza, incluyendo la lucha contra la corrupción y la prevención de la captura del Estado.

SceMaps es una herramienta integrada de evaluación de riesgos que sirve para identificar las amenazas de captura del Estado en áreas e industrias con una fuerte regulación y supervisar las políticas de lucha contra la corrupción a nivel sectorial en los Estados miembros de la UE con el objeto de detectar y solventar las posibles deficiencias en este campo.

De acuerdo con ello, SceMaps realiza una evaluación de tres sectores económicos de alto riesgo –construcción, productos farmacéuticos y venta al por mayor de combustibles– mediante la evaluación de los riesgos de captura del Estado, un estudio de la eficiencia y los efectos de las medidas y políticas anticorrupción, el perfilado de empresas e instituciones que participan en la contratación pública y un sistema de alerta de contenidos relacionados en los medios de comunicación.

El desarrollo del proyecto SceMaps precisa de la colaboración de ocho organismos de los cuatro países participantes (España, Italia, Rumanía y Bulgaria), que se ofrezcan a que se realice una evaluación de la eficiencia y efectos de las medidas y políticas anticorrupción adoptadas en sus respectivos ámbitos.

En concreto, la colaboración de los organismos participantes se traduce en la realización en su organización de la encuesta Monitoring Anticorruption Policy Implementation (encuesta MACPI), desarrollada por el CSD con el apoyo de la Dirección General de Asuntos Internos de la Comisión Europea.

La encuesta tendría lugar tras un primer encuentro con los responsables de los organismos participantes para conocer qué políticas de prevención de la corrupción tienen en marcha. La encuesta individualizada y completamente anónima se realizaría entre los empleados públicos de dichos organismos y también entre aquellos agentes externos que se relacionen ordinariamente con los mismos.





El objetivo de esta acción es que los organismos puedan tomar conciencia de sus debilidades en el ámbito de la lucha contra la corrupción y puedan adoptar internamente medidas preventivas más eficientes. En definitiva, ayudarles a identificar riesgos, bien por falta de implementación de medidas o vacíos regulatorios, y proporcionarles apoyo para reducirlos. El propósito final de conjunto será evaluar la eficiencia y los efectos de las medidas y de las políticas anticorrupción adoptadas por los distintos países participantes.

CIVIO ha invitado a la CARM, a través de la Consejería de Transparencia, Participación y Administración Pública, a participar junto con siete organismos más en el proyecto SceMaps.

La participación en el proyecto resulta de gran interés para la Consejería de Transparencia, Participación y Administración Pública, puesto que se alinea directamente con una de las medidas de promoción de la integridad pública y prevención de la corrupción previstas en la Estrategia de Gobernanza Pública de la Comunidad Autónoma de la Región de Murcia para el periodo 2020-2022, en concreto, la medida D7, consistente en elaborar un “Mapa regional de riesgos de la corrupción” de la línea estratégica: “D.- Ética, integridad pública y prevención de la corrupción”.

Esta colaboración servirá a la CARM de base para diseñar un proyecto piloto de mapa de corrupción en los sectores económicos que se han determinado como de alto riesgo en el proyecto SceMaps, así como para adquirir experiencia, aprender metodología y herramientas para abordar la tarea, más amplia, de diseño del Mapa de riesgos de corrupción que contemple las áreas que se definan como más sensibles en el ámbito regional.

Por todo ello, esta Dirección General considera conveniente la suscripción del convenio, cuyo objeto es colaborar en el desarrollo del proyecto SceMaps, mediante la puesta en práctica en la CARM de la encuesta MACPI. Esta encuesta será on line y anónima, y se dirigirá a empleados públicos y a agentes externos que se relacionen ordinariamente con los mismos.

El objetivo de esta acción es monitorizar la implementación de las políticas anticorrupción en la CARM, con el fin de evaluar si las posibles vulnerabilidades en el ámbito de la lucha contra la corrupción se abordan mediante políticas adecuadas de corrupción o si por el contrario existen carencias de implementación o regulación, analizar la efectividad de las medidas existentes y proponer medidas preventivas más eficientes. De esta forma, se puede afirmar que el convenio contribuye a la realización de actividades de utilidad pública.





En virtud de lo expuesto, y vista la memoria justificativa que se acompaña al expediente, y de acuerdo con las previsiones contenidas en el artículo 16.2. ñ) y q) de la Ley 7/2004, de 28 de Diciembre, de Organización y régimen Jurídico de la Administración Pública de la Comunidad Autónoma de la Región de Murcia y de lo dispuesto en el Decreto 56/1996, de 24 de julio, por el que se regula el Registro General de Convenios y se dictan normas para la tramitación de éstos en el ámbito de la Administración Regional de Murcia, **PROPONGO** a la Excm. Sra. Consejera de Transparencia, Participación y Administración Pública:

PRIMERO: Aprobar de conformidad con lo dispuesto en el artículo 38 de la Ley 6/2004, de 28 de diciembre, del Estatuto del Presidente y del Consejo de Gobierno de la Región de Murcia, el artículo 16.2.q) de la Ley 7/2004, de 28 de diciembre, en relación con el artículo 8.1 del Decreto 56/1996, de 24 de julio, el **“Convenio de Colaboración entre la Comunidad Autónoma de la Región de Murcia, a través de la Consejería de Transparencia, Participación y Administración Pública, y la Fundación Ciudadana CIVIO para el desarrollo del proyecto “SceMaps”**.

SEGUNDO: Elevar propuesta al Consejo de Gobierno para la autorización de la celebración del citado Convenio, tal y como dispone el artículo 6.1 y 16.2. ñ) de la Ley 7/2004, de 28 de diciembre, el 22.18 de la Ley 6/2004, de 28 de diciembre, en relación con el artículo 8.2 del Decreto 56/1996, de 24 de julio.

EL DIRECTOR GENERAL DE REGENERACIÓN Y MODERNIZACIÓN ADMINISTRATIVA

(En Murcia, documento fechado y firmado electrónicamente al margen)

Fdo.: José David Hernández González

A LA CONSEJERA DE TRANSPARENCIA, PARTICIPACIÓN Y ADMINISTRACIÓN PÚBLICA





CONVENIO DE COLABORACIÓN ENTRE LA COMUNIDAD AUTÓNOMA DE LA REGIÓN DE MURCIA, A TRAVÉS DE LA CONSEJERÍA DE TRANSPARENCIA, PARTICIPACIÓN Y ADMINISTRACIÓN PÚBLICA Y LA FUNDACIÓN CIUDADANA CIVIO PARA EL DESARROLLO DEL PROYECTO "SceMaps".

En Murcia a ... de... 2020

REUNIDOS

De una parte, la Excm. Sra. Dña. Beatriz Ballesteros Palazón, Consejera de Transparencia, Participación y Administración Pública de la Comunidad Autónoma de la Región de Murcia, nombrada por Decreto de la Presidencia nº 37/2019, de 31 de julio, actuando en representación de la misma para la firma del presente convenio en virtud del artículo 16.2.a) de la Ley 7/2004, de 28 de diciembre, de Organización y Régimen Jurídico de la Administración Pública de la Comunidad Autónoma de la Región de Murcia, cuya celebración ha sido autorizada por acuerdo del Consejo de Gobierno, de fecha ... de de 2020.

De otra parte, la Fundación Ciudadana CIVIO, con domicilio social en Paseo San Francisco de Sales 29, 7B, 28003 Madrid, con C.I.F. número G-86361862 e inscrita en el Registro de Fundaciones del Ministerio de Educación, Cultura y Deporte con número 1441, representada en este acto por D. David Cabo Calderón, haciendo uso del Poder otorgado a su favor, con fecha 17 de diciembre de 2012, ante el Notario del Ilustre Colegio de Madrid, D. Rodrigo Tena Arregui.

Reconociéndose mutuamente capacidad suficiente para formalizar el presente documento y obligar a las instituciones a las que representan:

EXPONEN

1. Que la Consejería de Transparencia, Participación y Administración Pública es el Departamento de la Comunidad Autónoma de la Región de Murcia (en adelante CARM) encargado de la propuesta, desarrollo y ejecución de las directrices generales del Consejo de Gobierno en materia de buen gobierno, que asume con carácter transversal, de conformidad con lo establecido en el artículo 9 del Decreto del Presidente n.º 29/2019, de 31 de julio, de reorganización de la Administración Regional, en su redacción dada por el Decreto de la Presidencia n.º 44/2019, de 3 de septiembre.





Por otro lado, el artículo 5 del Decreto n.º 174/2019, de 6 de septiembre, por el que se establecen los Órganos Directivos de la Consejería de Transparencia, Participación y Administración Pública establece que, a la Dirección General de Regeneración y Modernización Administrativa le corresponde la coordinación, puesta en marcha y supervisión del cumplimiento de las medidas en materia de buen gobierno, ética, integridad pública y prevención de la corrupción que se establezcan legalmente o se determinen por el Consejo de Gobierno.

Así, el Consejo de Gobierno en su sesión del 30 de julio de 2020 aprobó la “Estrategia de Gobernanza Pública de la Comunidad Autónoma de la Región de Murcia para el periodo 2020-2022”, en la que se incluye la línea estratégica: “D.- Ética, integridad pública y prevención de la corrupción”, a la que se vincula el objetivo estratégico: “Fomentar la puesta en marcha de medidas para prevenir y detectar la corrupción”.

2. Que la Fundación Ciudadana CIVIO (en adelante CIVIO) de conformidad con el artículo 4 de sus Estatutos, nace con la misión de contribuir a la mejora de la calidad democrática en nuestro país mediante el recurso a la tecnología, la comunicación, la investigación y el desarrollo. Además, el artículo 5 de los citados Estatutos dispone que el proyecto que origina el nacimiento de CIVIO se sostiene en cuatro pilares: 1) Ciudadanía: una visión del ciudadano como sujeto participativo involucrado más activamente en el proceso político; 2) Transparencia: un nuevo paradigma internacional de gestión de los recursos públicos cada vez más pujante; 3) Tecnología: el gran catalizador contemporáneo que supone el acceso a un ingente volumen de datos mediante nuevas aplicaciones digitales; 4) Periodismo: unos mecanismos profesionales de control de los poderes públicos al servicio de la ciudadanía. Para el cumplimiento de dichos fines, CIVIO podrá, entre otras actividades, suscribir acuerdos de cooperación, convenios de colaboración, y participación o asistencia en el desarrollo de actividades de otras entidades, organismos, instituciones o personas físicas o jurídicas que coadyuven a la actividad de la Fundación.

3. Que el Center for the Study of Democracy CSD (Bulgaria), con apoyo de la Fundación Ciudadana Civio (España), la Universidad de Trento (Italia) y el Expert Forum (Rumanía), han firmado el “Grant Agreement 823816” con la Unión Europea con la finalidad de desarrollar el proyecto denominado “SceMaps”¹. El objetivo de esta iniciativa, financiada por el Fondo de Seguridad Interior de la Unión Europea, es apoyar

¹ Acrónimo en inglés de State Capture and Monitoring of Anti-corruption Policies at the Sectoral level, en español, Estimación de la Captura del Estado y Seguimiento de las Políticas Anticorrupción a Nivel Sectorial.





a las instituciones públicas en la mejora de su gobernanza, incluyendo la lucha contra la corrupción y la prevención de la captura del Estado.

SceMaps es una herramienta integrada de evaluación de riesgos que sirve para identificar las amenazas de captura del Estado en áreas e industrias con una fuerte regulación y supervisar las políticas de lucha contra la corrupción a nivel sectorial en los Estados miembros de la UE con el objeto de detectar y solventar las posibles deficiencias en este campo.

De acuerdo con ello, SceMaps realiza una evaluación de tres sectores económicos de alto riesgo –construcción, productos farmacéuticos y venta al por mayor de combustibles– mediante la evaluación de los riesgos de captura del Estado, un estudio de la eficiencia y los efectos de las medidas y políticas anticorrupción, el perfilado de empresas e instituciones que participan en la contratación pública y un sistema de alerta de contenidos relacionados en los medios de comunicación.

El desarrollo del proyecto SceMaps precisa de la colaboración de ocho organismos de los cuatro países participantes (España, Italia, Rumanía y Bulgaria), que se ofrezcan a que se realice una evaluación de la eficiencia y efectos de las medidas y políticas anticorrupción adoptadas en sus respectivos ámbitos.

En concreto, la colaboración de los organismos participantes se traduce en la realización en su organización de la encuesta Monitoring Anticorruption Policy Implementation² (encuesta MACPI), desarrollada por el CSD con el apoyo de la Dirección General de Asuntos Internos de la Comisión Europea.

La encuesta tendría lugar tras un primer encuentro con los responsables de los organismos participantes para conocer qué políticas de prevención de la corrupción tienen en marcha. La encuesta individualizada y completamente anónima se realizaría entre los empleados públicos de dichos organismos y también entre aquellos agentes externos que se relacionen ordinariamente con los mismos.

El objetivo de esta acción es que los organismos puedan tomar conciencia de sus debilidades en el ámbito de la lucha contra la corrupción y puedan adoptar internamente medidas preventivas más eficientes. En definitiva, ayudarles a identificar riesgos, bien por falta de implementación de medidas o vacíos regulatorios, y proporcionarles apoyo para reducirlos. El propósito final del conjunto será evaluar la eficiencia y los efectos de las medidas y de las políticas anticorrupción adoptadas por los distintos países participantes.

² Monitorización de la Implementación de Políticas Anticorrupción





3. CIVIO ha invitado a la CARM, a través de la Consejería de Transparencia, Participación y Administración Pública, a participar junto con siete organismos más en el proyecto SceMaps.

La participación en el proyecto resulta de gran interés para la Consejería de Transparencia, Participación y Administración Pública, puesto que se alinea directamente con una de las medidas de promoción de la integridad pública y prevención de la corrupción previstas en la Estrategia de Gobernanza Pública de la Comunidad Autónoma de la Región de Murcia para el periodo 2020-2022, en concreto, la medida D7, consistente en elaborar un “Mapa regional de riesgos de la corrupción” de la línea estratégica: “D.- Ética, integridad pública y prevención de la corrupción”.

Esta colaboración servirá a la CARM de base para diseñar un proyecto piloto de mapa de corrupción en los sectores económicos que se han determinado como de alto riesgo en el proyecto SceMaps, así como para adquirir experiencia, aprender metodología y herramientas para abordar la tarea, más amplia, de diseño del Mapa de riesgos de corrupción que contemple las áreas que se definan como más sensibles en el ámbito regional.

En atención a las consideraciones que preceden, las partes intervinientes en este acto, estando interesadas en colaborar en el desarrollo del proyecto SceMaps, en virtud de la representación que ostentan, acuerdan suscribir el presente convenio, con arreglo a las siguientes

CLÁUSULAS

PRIMERA. OBJETO Y FINALIDAD.

El presente Convenio tiene por objeto colaborar en el desarrollo del proyecto SceMaps, mediante la puesta en práctica en la CARM de la encuesta MACPI. Esta encuesta será on line y anónima, y se dirigirá a empleados públicos y a agentes externos que se relacionen ordinariamente con los mismos.

El objetivo de esta acción es monitorizar la implementación de las políticas anticorrupción en la CARM, con el fin de evaluar si las posibles vulnerabilidades en el ámbito de la lucha contra la corrupción se abordan mediante políticas adecuadas de corrupción o si por el contrario existen carencias de implementación o regulación, analizar la efectividad de las medidas existentes y proponer medidas preventivas más eficientes.





SEGUNDA. COMPROMISOS DE LAS PARTES.

1. La CARM, a través de la Dirección General de Regeneración y Modernización Administrativa, se compromete a:

a) Colaborar en la adaptación de la encuesta MACPI (siglas de Monitoring Anticorruption Policy Implementation) desarrollada por el Center for the Study of Democracy (CSD), coordinador de SceMaps y responsable de la metodología. Para ello confeccionará un listado de las áreas de actividad vinculadas con el objeto de estudio de SceMaps y de las medidas y políticas anticorrupción en vigor que estén dirigidas a acotar los riesgos de corrupción potenciales en cada una de estas áreas.

b) Enviar el enlace de la encuesta a aquellos empleados públicos que trabajen en los departamentos que participen, intervengan o se relacionen de forma directa o indirecta con uno o varios de los tres sectores económicos evaluados por SceMaps – construcción, productos farmacéuticos y venta al por mayor de combustibles–, siendo necesario que el mecanismo utilizado no permita su identificación, para conseguir una participación libre y honesta de la persona encuestada.

c) Enviar una versión de esta encuesta a personas externas –profesionales, miembros de otras administraciones, académicos, periodistas, etc.– que tengan vinculación, relación o conocimiento de aquellas actividades que realiza la CARM por las que se preguntará en la encuesta.

2. CIVIO, se compromete a:

a) Como miembro de SceMaps, intermediar entre el Center for the Study of Democracy (CSD) y la CARM, con el objetivo de adaptar la mencionada encuesta al contexto del Gobierno de la Región de Murcia e informar del volumen de participación en la misma, con el propósito de alcanzar un número metodológicamente adecuado de respuestas.

b) Proporcionar a la Dirección General de Regeneración y Modernización Administrativa los resultados del análisis de las respuestas y de la evaluación de los distintos indicadores elaborado por CSD.

TERCERA. FINANCIACIÓN.

De la suscripción de este Convenio no se derivan obligaciones económicas para ninguna de las partes.





CUARTA. COMISIÓN DE SEGUIMIENTO.

1. La Comisión de Seguimiento será responsable del seguimiento, vigilancia y control del Convenio, y estará compuesta por dos miembros por entidad, en concreto:

- En representación de la Administración Regional, la persona titular de la Dirección General competente en materia de Buen Gobierno o persona en quien delegue, y un técnico del citado centro directivo designado por su titular.

- En representación de CIVIO, su codirector D. David Cabo Calderón o la persona en quien delegue, y la persona responsable de coordinar la colaboración de esta fundación en SceMaps.

2. La Comisión se constituirá en el plazo de 15 días a partir de la firma del Convenio y tendrá como funciones, además de las citadas:

- a) Favorecer, en todo momento, la comunicación entre las partes, resolviendo todo aquello que sea posible de forma inmediata o solicitando, en caso contrario, la intervención de los responsables adecuados en cada caso.

- b) Resolver las dudas sobre interpretación o modificación del Convenio.

3. La Comisión aprobará su régimen de funcionamiento y en lo no previsto, se regirá por lo dispuesto en el Capítulo II del Título Preliminar de la Ley 40/2015, de 1 de octubre, de Régimen Jurídico del Sector Público, que regula el funcionamiento de los órganos colegiados.

QUINTA. MODIFICACIÓN DEL CONVENIO.

Los términos del Convenio podrán ser modificados de mutuo acuerdo entre las partes, debiendo incorporarse dicha modificación como adenda al mismo.

SEXTA. VIGENCIA Y EXTINCIÓN.

1. El Convenio tendrá un plazo de vigencia a contar desde la fecha de su firma por la parte que lo haga en último lugar, y hasta el 30 de abril de 2021, la fecha de finalización del proyecto SceMaps.

2. El Convenio podrá extinguirse por las siguientes causas:

- a) Por el transcurso del plazo de duración previsto.

- b) Por previa denuncia de alguna de las partes, que deberá ser comunicada a la otra con una antelación mínima de un mes a la fecha en que vaya a darse por finalizado.





c) Por mutuo acuerdo, imposibilidad sobrevenida de cumplir los compromisos adquiridos o fuerza mayor.

d) Por resolución del mismo, que podrá venir motivada por el incumplimiento de alguna de sus cláusulas.

SÉPTIMA. CONSECUENCIAS EN CASO DE INCUMPLIMIENTO DEL CONVENIO.

1. En caso de incumplimiento de las obligaciones y compromisos asumidos por cada una de las partes, cualquiera de ellas podrá notificar a la parte incumplidora un requerimiento para que cumpla en un determinado plazo con las obligaciones o compromisos que se consideran incumplidos. Si transcurrido el plazo indicado en el requerimiento, persistiera el incumplimiento, la parte que lo dirigió notificará la concurrencia de la causa de resolución y se entenderá resuelto el Convenio.

2. No se prevé régimen de indemnizaciones más allá de la resolución del Convenio, en el caso de que una de las partes incurra en alguna de las causas mencionadas con anterioridad.

OCTAVA. NATURALEZA DEL CONVENIO Y JURISDICCIÓN COMPETENTE.

El Convenio tiene naturaleza administrativa y las cuestiones litigiosas que pudieran surgir serán competencia de la Jurisdicción Contenciosa Administrativa.

Y dejando constancia de conformidad con la totalidad de los acuerdos de este convenio, lo firman electrónicamente.

**POR LA CONSEJERÍA DE
TRANSPARENCIA, PARTICIPACIÓN Y
ADMINISTRACIÓN PÚBLICA**

POR LA FUNDACIÓN CIVIO

Fdo.: Beatriz Ballesteros Palazón

Fdo.: David Cabo Calderón





Región de Murcia

Consejería de Transparencia,
Participación y Administración Pública

Secretaría General

20TRCN113

ASUNTO: INFORME JURÍDICO – CONVENIO DE COLABORACIÓN ENTRE LA COMUNIDAD AUTÓNOMA DE LA REGIÓN DE MURCIA, A TRAVÉS DE LA CONSEJERÍA DE TRANSPARENCIA, PARTICIPACIÓN Y ADMINISTRACIÓN PÚBLICA, Y LA FUNDACIÓN CIUDADANA CIVIO PARA EL DESARROLLO DEL PROYECTO “SceMaps”.

En relación con el asunto arriba referenciado, y a los efectos previstos en el artículo 50 2.a) de la Ley 40/2015, de 1 de octubre, de Régimen Jurídico del Sector Público y el artículo 11 del Decreto 53/2001, de 15 de junio, por el que se aprueba la estructura orgánica de la Consejería de Presidencia (al que nos remitimos en tanto no sea aprobado el Decreto de estructura de la Consejería de Transparencia, Participación y Administración Pública) por este Servicio Jurídico se emite el siguiente INFORME:

ANTECEDENTE DE HECHO

ÚNICO. La Dirección General de Regeneración y Modernización Administrativa ha remitido a esta Secretaría General, para informe y tramitación, el expediente de referencia, que incorpora, entre otra, la siguiente documentación:

- Memoria justificativa.
- Conformidad de CIVIO y documentación remitida por esta (Final Grant Agreement -823816- ScepMaps, Amendment -823816, Invitación CIVIO, Escritura de constitución de CIVIO y escritura de poder).
- Propuesta de la Dirección General de Regeneración y Modernización Administrativa, que incorpora el texto del Convenio.

CONSIDERACIONES

PRIMERA. Régimen jurídico.

El Convenio que se informa está sujeto a la siguiente normativa:

- Ley 40/2015, de 1 de octubre, de Régimen Jurídico del Sector Público (LRJSP).
- Ley 6/2004, de 28 de diciembre, del Estatuto del Presidente y del Consejo de





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- Ley 7/2004, de 28 de diciembre, de Organización y Régimen Jurídico de la Administración Pública de la Comunidad Autónoma de la Región de Murcia.
- Decreto 56/1996, de 24 de julio, por el que se regula el Registro General de Convenios y se dictan normas para la tramitación de éstos en el ámbito de la Administración Regional de Murcia, en lo que no se oponga a las anteriores leyes.

SEGUNDA. Objeto del Convenio.

El Convenio tiene por objeto establecer los términos de la colaboración entre las entidades firmantes para el desarrollo del proyecto SceMaps, mediante la puesta en práctica en la CARM de la encuesta MACPI. Esta encuesta será on line y anónima, y se dirigirá a empleados públicos y a agentes externos que se relacionen ordinariamente con los mismos.

El objetivo de esta acción es monitorizar la implementación de las políticas anticorrupción en la CARM, con el fin de evaluar si las posibles vulnerabilidades en el ámbito de la lucha contra la corrupción se abordan mediante políticas adecuadas de corrupción o si por el contrario existen carencias de implementación o regulación, analizar la efectividad de las medidas existentes y proponer medidas preventivas más eficientes.

TERCERA. Competencia.

La Consejería de Transparencia, Participación y Administración Pública, en virtud del Decreto del Presidente nº 29/2019, de 31 de julio, de reorganización de la Administración Regional, modificado por Decreto del Presidente nº 44/2019, de 3 de septiembre, es el departamento de la Comunidad Autónoma de la Región de Murcia encargado de la propuesta, desarrollo y ejecución de las directrices generales del Consejo de Gobierno en materia de buen gobierno, competencias que son ejercidas por la Dirección General de Regeneración y Modernización Administrativa, de conformidad con lo dispuesto en el Decreto nº 174/2019, de 6 de septiembre, por el que se establecen los órganos directivos de la citada Consejería.

CUARTA. Naturaleza jurídica.

Visto el texto del Convenio se observa que el mismo viene a instrumentar el régimen de colaboración entre la Comunidad Autónoma de la Región de Murcia y la Fundación Ciudadana CIVIO en una materia sobre la que ambas partes tienen competencias o





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funciones concurrentes o, al menos complementarias, comprometiéndose cada una de las partes a llevar a cabo actuaciones orientadas a la consecución de un fin común, relacionado con las ideas de buen gobierno y regeneración institucional, así como con la ética, la integridad pública y la prevención de la corrupción, y que no es otro que la adopción de medidas de mejora en la lucha contra la corrupción. A tal efecto, las partes ponen a disposición de dicha colaboración tanto medios personales como materiales resultando ser cogestoras de la finalidad común perseguida, y participando de forma conjunta en el resultado obtenido. Por consiguiente, se aprecia que el Convenio no tiene por objeto prestaciones propias de los contratos ni tampoco viene a instrumentar una subvención.

En consecuencia, se considera que la naturaleza jurídica del negocio a celebrar es la de Convenio.

El Convenio obedece al tipo de convenios previstos en el artículo 47.2 c) de la LRJSP, es decir, convenios a suscribir entre una Administración Pública y un sujeto de derecho privado.

QUINTA. Borrador del Convenio y tramitación.

1. El Convenio recoge el contenido mínimo exigido en el artículo 49 de la LRJSP.

Consta en el expediente la memoria justificativa a que se refiere el artículo 50.1 de la LRJSP, en la que se analiza la necesidad y oportunidad, el carácter no contractual de la actividad, así como el cumplimiento de lo previsto en dicha Ley.

2. De la formalización del Convenio no se derivan obligaciones económicas para la Comunidad Autónoma, por lo que no es preciso incorporar al expediente el informe acerca de la existencia de crédito adecuado y suficiente a que se refiere el artículo 7.2 del Decreto 56/1996, de 24 de julio. Tampoco es necesaria la fiscalización previa de la Intervención delegada, a que se refieren los artículos 92 y siguientes del Texto Refundido de la Ley de Hacienda de la Región de Murcia, aprobado por Decreto legislativo 1/1999, de 2 de diciembre, y en el Decreto nº 161/1999, de 30 de diciembre, por el que se desarrolla el régimen de control interno ejercido por la Intervención General de la Comunidad Autónoma de la Región de Murcia.

3. La aprobación del Convenio concierne a la Consejera de Transparencia, Participación y Administración Pública, de conformidad con lo dispuesto en el artículo 38 de la Ley 6/2004, de 28 de diciembre, y el artículo 16.2 q) de la Ley 7/2004, de 28 de diciembre, en relación con lo previsto en el artículo 8.1 del Decreto nº 56/1996, de 24 de julio.

Corresponde al Consejo de Gobierno la autorización del Convenio, a propuesta de la citada Consejera, de conformidad con lo estipulado en el artículo 22.18 de la Ley





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6/2004, de 28 de diciembre y en el artículo 16.2 ñ) de la Ley 7/2004, de 28 de diciembre.

Finalmente, de acuerdo con los artículos 22.18 de la Ley 6/2004, de 28 de diciembre, y 16.2.ñ) de la Ley 7/2004, de 28 de diciembre, compete a dicha Consejera la suscripción del repetido Convenio, previa designación por el Consejo de Gobierno.

4. El Convenio ha de inscribirse en el Registro General de Convenios, de acuerdo con lo dispuesto en el artículo 2 del Decreto nº 56/1996, de 24 de julio, y publicarse en el “Boletín Oficial de la Región de Murcia”, sin perjuicio de que obligue a las partes desde el momento de su firma, así como en el Portal de la Transparencia, conforme dispone el artículo 17.5 de Ley 12/2014, de 16 de diciembre, de Transparencia y Participación Ciudadana de la Comunidad Autónoma de la Región de Murcia.

En CONCLUSIÓN, se informa favorablemente el Convenio de colaboración entre la Comunidad Autónoma de la Región de Murcia, a través de la Consejería de Transparencia, Participación y Administración Pública, y la Fundación ciudadana CIVIO para el desarrollo del proyecto “SceMaps”.

LA JEFA DEL SERVICIO JURÍDICO

Ana H. Fernández Caballero

17/12/2020 15:22:18

FERNANDEZ CABALLERO, ANA HELENA

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ORDEN

La Consejería de Transparencia, Participación y Administración Pública es el Departamento de la Comunidad Autónoma de la Región de Murcia (en adelante CARM) encargada de la propuesta, desarrollo y ejecución de las directrices generales del Consejo de Gobierno en materia de buen gobierno, que asume con carácter transversal, de conformidad con lo establecido en el artículo 9 del Decreto del Presidente n.º 29/2019, de 31 de julio, de reorganización de la Administración Regional, en su redacción dada por el Decreto del Presidente n.º 44/2019, de 3 de septiembre.

Por otro lado, el artículo 5 del Decreto n.º 174/2019, de 6 de septiembre, por el que se establecen los Órganos Directivos de la Consejería de Transparencia, Participación y Administración Pública establece que, a la Dirección General de Regeneración y Modernización Administrativa le corresponde la coordinación, puesta en marcha y supervisión del cumplimiento de las medidas en materia de buen gobierno, ética, integridad pública y prevención de la corrupción que se establezcan legalmente o se determinen por el Consejo de Gobierno.

Así, el Consejo de Gobierno en su sesión del 30 de julio de 2020 aprobó la “Estrategia de Gobernanza Pública de la Comunidad Autónoma de la Región de Murcia para el periodo 2020-2022”, cuyo objeto es definir las líneas y objetivos fundamentales que en materia de gobernanza pública va a impulsar y poner en marcha la Administración regional en ese período temporal, abordando cuestiones tales como la transparencia, la participación ciudadana en la vida pública, el buen gobierno, los datos abiertos, la evaluación de políticas públicas, la rendición de cuentas, la calidad y el servicio a la ciudadanía o la simplificación y modernización administrativa. En dicha Estrategia se incluye la línea estratégica: “D.- Ética, integridad pública y prevención de la corrupción”, a la que se vincula el objetivo estratégico: “Fomentar la puesta en marcha de medidas para prevenir y detectar la corrupción”.

La Fundación Ciudadana CIVIO (en adelante CIVIO) de conformidad con el artículo 4 de sus Estatutos, nace con la misión de contribuir a la mejora de la calidad democrática en nuestro país mediante el recurso a la tecnología, la comunicación, la investigación y el desarrollo. Además, el artículo 5 de los citados Estatutos dispone que el proyecto que origina el nacimiento de CIVIO se sostiene en cuatro pilares:

- 1) Ciudadanía: una visión del ciudadano como sujeto participativo involucrado más activamente en el proceso político;
- 2) Transparencia: un nuevo paradigma internacional de gestión de los recursos públicos cada vez más pujante;
- 3) Tecnología: el gran catalizador contemporáneo que supone el acceso a un ingente volumen de datos mediante nuevas aplicaciones digitales;





4) Periodismo: unos mecanismos profesionales de control de los poderes públicos al servicio de la ciudadanía.

El Center for the Study of Democracy CSD (Bulgaria), con apoyo de la Fundación Ciudadana Civio (España), la Universidad de Trento (Italia) y el Expert Forum (Rumanía), han firmado el "Grant Agreement 823816" con la Unión Europea con la finalidad de desarrollar el proyecto denominado "SceMaps". El objetivo de esta iniciativa, financiada por el Fondo de Seguridad Interior de la Unión Europea, es apoyar a las instituciones públicas en la mejora de su gobernanza, incluyendo la lucha contra la corrupción y la prevención de la captura del Estado.

SceMaps es una herramienta integrada de evaluación de riesgos que sirve para identificar las amenazas de captura del Estado en áreas e industrias con una fuerte regulación y supervisar las políticas de lucha contra la corrupción a nivel sectorial en los Estados miembros de la UE con el objeto de detectar y solventar las posibles deficiencias en este campo.

De acuerdo con ello, SceMaps realiza una evaluación de tres sectores económicos de alto riesgo –construcción, productos farmacéuticos y venta al por mayor de combustibles– mediante la evaluación de los riesgos de captura del Estado, un estudio de la eficiencia y los efectos de las medidas y políticas anticorrupción, el perfilado de empresas e instituciones que participan en la contratación pública y un sistema de alerta de contenidos relacionados en los medios de comunicación.

El desarrollo del proyecto SceMaps precisa de la colaboración de ocho organismos de los cuatro países participantes (España, Italia, Rumanía y Bulgaria), que se ofrezcan a que se realice una evaluación de la eficiencia y efectos de las medidas y políticas anticorrupción adoptadas en sus respectivos ámbitos.

En definitiva, el objetivo del proyecto SceMaps es monitorizar la implementación de las políticas anticorrupción, con el fin de evaluar si las posibles vulnerabilidades en el ámbito de la lucha contra la corrupción se abordan mediante políticas adecuadas de corrupción o si por el contrario existen carencias de implementación o regulación, analizar la efectividad de las medidas existentes y proponer medidas preventivas más eficientes. El propósito final de conjunto será evaluar la eficiencia y los efectos de las medidas y de las políticas anticorrupción adoptadas por los distintos países participantes.

CIVIO ha invitado a la CARM, a través de la Consejería de Transparencia, Participación y Administración Pública, a colaborar junto con siete organismos más en el proyecto SceMaps.

En concreto, la colaboración se traduce en la realización de la encuesta Monitoring Anticorruption Policy Implementation (encuesta MACPI), desarrollada por el CSD con el apoyo de la Dirección General de Asuntos Internos de la Comisión Europea.





Se trata de una encuesta, on line y anónima, que se dirigirá a empleados públicos y a agentes externos que se relacionen ordinariamente con los mismos.

La participación en el proyecto resulta de gran interés para la Consejería de Transparencia, Participación y Administración Pública, puesto que se alinea directamente con una de las medidas de promoción de la integridad pública y prevención de la corrupción previstas en la Estrategia de Gobernanza Pública de la Comunidad Autónoma de la Región de Murcia para el periodo 2020-2022, en concreto, la medida D7, consistente en elaborar un “Mapa regional de riesgos de la corrupción” de la línea estratégica: “D.- Ética, integridad pública y prevención de la corrupción”.

Esta colaboración servirá a la CARM de base para diseñar un proyecto piloto de mapa de corrupción en los sectores económicos que se han determinado como de alto riesgo en el proyecto SceMaps, así como para adquirir experiencia, aprender metodología y herramientas para abordar la tarea, más amplia, de diseño del Mapa de riesgos de corrupción que contemple las áreas que se definan como más sensibles en el ámbito regional.

Por lo expuesto, vista la propuesta formulada por el Director General de Regeneración y Modernización Administrativa, dentro de las competencias y funciones propias de la Consejería de Transparencia, Participación y Administración Pública en esta materia, y de conformidad con lo dispuesto en los artículos 22.18 y 38 de la Ley 6/2004, de 28 de diciembre, del Estatuto del Presidente y del Consejo de Gobierno de la Región de Murcia, y en el artículo 16.2 ñ) de la Ley 7/2004, de 28 de diciembre, y el artículo 8 del Decreto nº 56/1996, de 24 de julio,

DISPONGO

PRIMERO. Aprobar el texto del *Convenio de colaboración entre la Comunidad Autónoma de la Región de Murcia, a través de la Consejería de Transparencia, Participación y Administración Pública, y la Fundación ciudadana CIVIO para el desarrollo del proyecto “SceMaps”*, que se acompaña como anexo.

SEGUNDO. Elevar propuesta al Consejo de Gobierno para autorizar la celebración del citado Convenio y designar a la Consejera de Transparencia, Participación y Administración Pública, para su suscripción.

LA CONSEJERA DE TRANSPARENCIA, PARTICIPACIÓN

Y ADMINISTRACIÓN PÚBLICA

Beatriz Ballesteros Palazón





Región de Murcia
Consejería de Transparencia,
Participación y Administración Pública

CONVENIO DE COLABORACIÓN ENTRE LA COMUNIDAD AUTÓNOMA DE LA REGIÓN DE MURCIA, A TRAVÉS DE LA CONSEJERÍA DE TRANSPARENCIA, PARTICIPACIÓN Y ADMINISTRACIÓN PÚBLICA Y LA FUNDACIÓN CIUDADANA CIVIO PARA EL DESARROLLO DEL PROYECTO “SceMaps”.

En Murcia a ... de... 2020

REUNIDOS

De una parte, la Excm. Sra. Dña. Beatriz Ballesteros Palazón, Consejera de Transparencia, Participación y Administración Pública de la Comunidad Autónoma de la Región de Murcia, nombrada por Decreto de la Presidencia nº 37/2019, de 31 de julio, actuando en representación de la misma para la firma del presente convenio en virtud del artículo 16.2.a) de la Ley 7/2004, de 28 de diciembre, de Organización y Régimen Jurídico de la Administración Pública de la Comunidad Autónoma de la Región de Murcia, cuya celebración ha sido autorizada por acuerdo del Consejo de Gobierno, de fecha ... de de 2020.

De otra parte, la Fundación Ciudadana CIVIO, con domicilio social en Paseo San Francisco de Sales 29, 7B, 28003 Madrid, con C.I.F. número G-86361862 e inscrita en el Registro de Fundaciones del Ministerio de Educación, Cultura y Deporte con número 1441, representada en este acto por D. David Cabo Calderón, haciendo uso del Poder otorgado a su favor, con fecha 17 de diciembre de 2012, ante el Notario del Ilustre Colegio de Madrid, D. Rodrigo Tena Arregui.

Reconociéndose mutuamente capacidad suficiente para formalizar el presente documento y obligar a las instituciones a las que representan:





EXPONEN

1. Que la Consejería de Transparencia, Participación y Administración Pública es el Departamento de la Comunidad Autónoma de la Región de Murcia (en adelante CARM) encargado de la propuesta, desarrollo y ejecución de las directrices generales del Consejo de Gobierno en materia de buen gobierno, que asume con carácter transversal, de conformidad con lo establecido en el artículo 9 del Decreto del Presidente n.º 29/2019, de 31 de julio, de reorganización de la Administración Regional, en su redacción dada por el Decreto de la Presidencia n.º 44/2019, de 3 de septiembre.

Por otro lado, el artículo 5 del Decreto n.º 174/2019, de 6 de septiembre, por el que se establecen los Órganos Directivos de la Consejería de Transparencia, Participación y Administración Pública establece que, a la Dirección General de Regeneración y Modernización Administrativa le corresponde la coordinación, puesta en marcha y supervisión del cumplimiento de las medidas en materia de buen gobierno, ética, integridad pública y prevención de la corrupción que se establezcan legalmente o se determinen por el Consejo de Gobierno.

Así, el Consejo de Gobierno en su sesión del 30 de julio de 2020 aprobó la “Estrategia de Gobernanza Pública de la Comunidad Autónoma de la Región de Murcia para el periodo 2020-2022”, en la que se incluye la línea estratégica: “D.- Ética, integridad pública y prevención de la corrupción”, a la que se vincula el objetivo estratégico: “Fomentar la puesta en marcha de medidas para prevenir y detectar la corrupción”.

2. Que la Fundación Ciudadana CIVIO (en adelante CIVIO) de conformidad con el artículo 4 de sus Estatutos, nace con la misión de contribuir a la mejora de la calidad democrática en nuestro país mediante el recurso a la tecnología, la comunicación, la investigación y el desarrollo. Además, el artículo 5 de los citados Estatutos dispone que el proyecto que origina el nacimiento de CIVIO se sostiene en cuatro pilares: 1)





Ciudadanía: una visión del ciudadano como sujeto participativo involucrado más activamente en el proceso político; 2) Transparencia: un nuevo paradigma internacional de gestión de los recursos públicos cada vez más pujante; 3) Tecnología: el gran catalizador contemporáneo que supone el acceso a un ingente volumen de datos mediante nuevas aplicaciones digitales; 4) Periodismo: unos mecanismos profesionales de control de los poderes públicos al servicio de la ciudadanía. Para el cumplimiento de dichos fines, CIVIO podrá, entre otras actividades, suscribir acuerdos de cooperación, convenios de colaboración, y participación o asistencia en el desarrollo de actividades de otras entidades, organismos, instituciones o personas físicas o jurídicas que coadyuven a la actividad de la Fundación.

3. Que el Center for the Study of Democracy CSD (Bulgaria), con apoyo de la Fundación Ciudadana Civio (España), la Universidad de Trento (Italia) y el Expert Forum (Rumanía), han firmado el “Grant Agreement 823816” con la Unión Europea con la finalidad de desarrollar el proyecto denominado “SceMaps”¹. El objetivo de esta iniciativa, financiada por el Fondo de Seguridad Interior de la Unión Europea, es apoyar a las instituciones públicas en la mejora de su gobernanza, incluyendo la lucha contra la corrupción y la prevención de la captura del Estado.

SceMaps es una herramienta integrada de evaluación de riesgos que sirve para identificar las amenazas de captura del Estado en áreas e industrias con una fuerte regulación y supervisar las políticas de lucha contra la corrupción a nivel sectorial en los Estados miembros de la UE con el objeto de detectar y solventar las posibles deficiencias en este campo.

¹ Acrónimo en inglés de State Capture and Monitoring of Anti-corruption Policies at the Sectoral level, en español, Estimación de la Captura del Estado y Seguimiento de las Políticas Anticorrupción a Nivel Sectorial.





De acuerdo con ello, SceMaps realiza una evaluación de tres sectores económicos de alto riesgo –construcción, productos farmacéuticos y venta al por mayor de combustibles– mediante la evaluación de los riesgos de captura del Estado, un estudio de la eficiencia y los efectos de las medidas y políticas anticorrupción, el perfilado de empresas e instituciones que participan en la contratación pública y un sistema de alerta de contenidos relacionados en los medios de comunicación.

El desarrollo del proyecto SceMaps precisa de la colaboración de ocho organismos de los cuatro países participantes (España, Italia, Rumanía y Bulgaria), que se ofrezcan a que se realice una evaluación de la eficiencia y efectos de las medidas y políticas anticorrupción adoptadas en sus respectivos ámbitos.

En concreto, la colaboración de los organismos participantes se traduce en la realización en su organización de la encuesta Monitoring Anticorruption Policy Implementation² (encuesta MACPI), desarrollada por el CSD con el apoyo de la Dirección General de Asuntos Internos de la Comisión Europea.

La encuesta tendría lugar tras un primer encuentro con los responsables de los organismos participantes para conocer qué políticas de prevención de la corrupción tienen en marcha. La encuesta individualizada y completamente anónima se realizaría entre los empleados públicos de dichos organismos y también entre aquellos agentes externos que se relacionen ordinariamente con los mismos.

El objetivo de esta acción es que los organismos puedan tomar conciencia de sus debilidades en el ámbito de la lucha contra la corrupción y puedan adoptar internamente medidas preventivas más eficientes. En definitiva, ayudarles a identificar riesgos, bien por falta de implementación de medidas o vacíos regulatorios, y proporcionarles apoyo para reducirlos. El propósito final del conjunto será evaluar la eficiencia y los efectos de

² Monitorización de la Implementación de Políticas Anticorrupción





las medidas y de las políticas anticorrupción adoptadas por los distintos países participantes.

4. CIVIO ha invitado a la CARM, a través de la Consejería de Transparencia, Participación y Administración Pública, a participar junto con siete organismos más en el proyecto SceMaps.

La participación en el proyecto resulta de gran interés para la Consejería de Transparencia, Participación y Administración Pública, puesto que se alinea directamente con una de las medidas de promoción de la integridad pública y prevención de la corrupción previstas en la Estrategia de Gobernanza Pública de la Comunidad Autónoma de la Región de Murcia para el periodo 2020-2022, en concreto, la medida D7, consistente en elaborar un “Mapa regional de riesgos de la corrupción” de la línea estratégica: “D.- Ética, integridad pública y prevención de la corrupción”.

Esta colaboración servirá a la CARM de base para diseñar un proyecto piloto de mapa de corrupción en los sectores económicos que se han determinado como de alto riesgo en el proyecto SceMaps, así como para adquirir experiencia, aprender metodología y herramientas para abordar la tarea, más amplia, de diseño del Mapa de riesgos de corrupción que contemple las áreas que se definan como más sensibles en el ámbito regional.

En atención a las consideraciones que preceden, las partes intervinientes en este acto, estando interesadas en colaborar en el desarrollo del proyecto SceMaps, en virtud de la representación que ostentan, acuerdan suscribir el presente convenio, con arreglo a las siguientes

CLÁUSULAS

PRIMERA. OBJETO Y FINALIDAD.





El presente Convenio tiene por objeto colaborar en el desarrollo del proyecto SceMaps, mediante la puesta en práctica en la CARM de la encuesta MACPI. Esta encuesta será on line y anónima, y se dirigirá a empleados públicos y a agentes externos que se relacionen ordinariamente con los mismos.

El objetivo de esta acción es monitorizar la implementación de las políticas anticorrupción en la CARM, con el fin de evaluar si las posibles vulnerabilidades en el ámbito de la lucha contra la corrupción se abordan mediante políticas adecuadas de corrupción o si por el contrario existen carencias de implementación o regulación, analizar la efectividad de las medidas existentes y proponer medidas preventivas más eficientes.

SEGUNDA. COMPROMISOS DE LAS PARTES.

1. La CARM, a través de la Dirección General de Regeneración y Modernización Administrativa, se compromete a:

a) Colaborar en la adaptación de la encuesta MACPI (siglas de Monitoring Anticorruption Policy Implementation) desarrollada por el Center for the Study of Democracy (CSD), coordinador de SceMaps y responsable de la metodología. Para ello confeccionará un listado de las áreas de actividad vinculadas con el objeto de estudio de SceMaps y de las medidas y políticas anticorrupción en vigor que estén dirigidas a acotar los riesgos de corrupción potenciales en cada una de estas áreas.

b) Enviar el enlace de la encuesta a aquellos empleados públicos que trabajen en los departamentos que participen, intervengan o se relacionen de forma directa o indirecta con uno o varios de los tres sectores económicos evaluados por SceMaps –construcción, productos farmacéuticos y venta al por mayor de combustibles–, siendo necesario que el mecanismo utilizado no permita su identificación, para conseguir una participación libre y honesta de la persona encuestada.

c) Enviar una versión de esta encuesta a personas externas –profesionales, miembros de otras administraciones, académicos, periodistas, etc.– que tengan





vinculación, relación o conocimiento de aquellas actividades que realiza la CARM por las que se preguntará en la encuesta.

2. CIVIO, se compromete a:

a) Como miembro de SceMaps, intermediar entre el Center for the Study of Democracy (CSD) y la CARM, con el objetivo de adaptar la mencionada encuesta al contexto del Gobierno de la Región de Murcia e informar del volumen de participación en la misma, con el propósito de alcanzar un número metodológicamente adecuado de respuestas.

b) Proporcionar a la Dirección General de Regeneración y Modernización Administrativa los resultados del análisis de las respuestas y de la evaluación de los distintos indicadores elaborado por CSD.

TERCERA. FINANCIACIÓN.

De la suscripción de este Convenio no se derivan obligaciones económicas para ninguna de las partes.

CUARTA. COMISIÓN DE SEGUIMIENTO.

1. La Comisión de Seguimiento será responsable del seguimiento, vigilancia y control del Convenio, y estará compuesta por dos miembros por entidad, en concreto:

- En representación de la Administración Regional, la persona titular de la Dirección General competente en materia de Buen Gobierno o persona en quien delegue, y un técnico del citado centro directivo designado por su titular.

- En representación de CIVIO, su codirector D. David Cabo Calderón o la persona en quien delegue, y la persona responsable de coordinar la colaboración de esta fundación en SceMaps.

2. La Comisión se constituirá en el plazo de 15 días a partir de la firma del Convenio y tendrá como funciones, además de las citadas:





a) Favorecer, en todo momento, la comunicación entre las partes, resolviendo todo aquello que sea posible de forma inmediata o solicitando, en caso contrario, la intervención de los responsables adecuados en cada caso.

b) Resolver las dudas sobre interpretación o modificación del Convenio.

3. La Comisión aprobará su régimen de funcionamiento y en lo no previsto, se regirá por lo dispuesto en el Capítulo II del Título Preliminar de la Ley 40/2015, de 1 de octubre, de Régimen Jurídico del Sector Público, que regula el funcionamiento de los órganos colegiados.

QUINTA. MODIFICACIÓN DEL CONVENIO.

Los términos del Convenio podrán ser modificados de mutuo acuerdo entre las partes, debiendo incorporarse dicha modificación como adenda al mismo.

SEXTA. VIGENCIA Y EXTINCIÓN.

1. El Convenio tendrá un plazo de vigencia a contar desde la fecha de su firma por la parte que lo haga en último lugar, y hasta el 30 de abril de 2021, la fecha de finalización del proyecto SceMaps.

2. El Convenio podrá extinguirse por las siguientes causas:

a) Por el transcurso del plazo de duración previsto.

b) Por previa denuncia de alguna de las partes, que deberá ser comunicada a la otra con una antelación mínima de un mes a la fecha en que vaya a darse por finalizado.

c) Por mutuo acuerdo, imposibilidad sobrevenida de cumplir los compromisos adquiridos o fuerza mayor.

d) Por resolución del mismo, que podrá venir motivada por el incumplimiento de alguna de sus cláusulas.





SÉPTIMA. CONSECUENCIAS EN CASO DE INCUMPLIMIENTO DEL CONVENIO.

1. En caso de incumplimiento de las obligaciones y compromisos asumidos por cada una de las partes, cualquiera de ellas podrá notificar a la parte incumplidora un requerimiento para que cumpla en un determinado plazo con las obligaciones o compromisos que se consideran incumplidos. Si transcurrido el plazo indicado en el requerimiento, persistiera el incumplimiento, la parte que lo dirigió notificará la concurrencia de la causa de resolución y se entenderá resuelto el Convenio.

2. No se prevé régimen de indemnizaciones más allá de la resolución del Convenio, en el caso de que una de las partes incurra en alguna de las causas mencionadas con anterioridad.

OCTAVA. NATURALEZA DEL CONVENIO Y JURISDICCIÓN COMPETENTE.

El Convenio tiene naturaleza administrativa y las cuestiones litigiosas que pudieran surgir serán competencia de la Jurisdicción Contenciosa Administrativa.

Y dejando constancia de conformidad con la totalidad de los acuerdos de este convenio, lo firman electrónicamente.

**POR LA CONSEJERÍA DE
TRANSPARENCIA,
PARTICIPACIÓN Y
ADMINISTRACIÓN PÚBLICA**

Fdo.: Beatriz Ballesteros Palazón

POR LA FUNDACIÓN CIVIO

Fdo.: David Cabo Calderón





BALLESTEROS PALAZÓN, BEATRIZ

18/12/2020 11:40:08

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Región de Murcia
Consejería de Transparencia,
Participación y Administración Pública



AL CONSEJO DE GOBIERNO

De conformidad con el artículo 16.1 ñ) de la Ley 7/2004, de 28 de diciembre, de Organización y Régimen Jurídico de la Administración Pública de la Comunidad Autónoma de la Región de Murcia, y de acuerdo con las competencias transversales que la Consejería de Transparencia, Participación y Administración Pública ostenta en materia de buen gobierno, en virtud del artículo 9 del Decreto n.º 29/2019, de 31 de julio, de reorganización de la Administración Regional, al amparo de lo previsto en el artículo 22.18 de la Ley 6/2004, de 28 de diciembre, del Estatuto del Presidente y del Consejo de Gobierno de la Región de Murcia, que atribuye a este la autorización de la celebración de los convenios de colaboración con entidades privadas y la designación del órgano que deba suscribirlos, en representación de la Comunidad Autónoma, elevo a Consejo de Gobierno para su aprobación la siguiente Propuesta de

“ACUERDO ----- POR EL QUE SE AUTORIZA LA CELEBRACIÓN DEL CONVENIO DE COLABORACIÓN ENTRE LA COMUNIDAD AUTÓNOMA DE LA REGIÓN DE MURCIA, A TRAVÉS DE LA CONSEJERÍA DE TRANSPARENCIA, PARTICIPACIÓN Y ADMINISTRACIÓN PÚBLICA, Y LA FUNDACIÓN CIUDADANA CIVIO PARA EL DESARROLLO DEL PROYECTO “SCEMAPS”, Y SE DESIGNA A LA CONSEJERA DE TRANSPARENCIA, PARTICIPACIÓN Y ADMINISTRACIÓN PÚBLICA PARA SU SUSCRIPCIÓN.

La Consejería de Transparencia, Participación y Administración Pública es el Departamento de la Comunidad Autónoma de la Región de Murcia (en adelante CARM) encargada de la propuesta, desarrollo y ejecución de las directrices generales del Consejo de Gobierno en materia de buen gobierno, que asume con carácter transversal, de conformidad con lo establecido en el artículo 9 del Decreto del Presidente n.º 29/2019, de 31 de julio, de reorganización de la Administración Regional, en su redacción dada por el Decreto del Presidente n.º 44/2019, de 3 de septiembre.

Por otro lado, el artículo 5 del Decreto n.º 174/2019, de 6 de septiembre, por el que se establecen los Órganos Directivos de la Consejería de Transparencia, Participación y Administración Pública establece que, a la Dirección General de Regeneración y Modernización Administrativa le corresponde la coordinación, puesta en marcha y supervisión del cumplimiento de las medidas en materia de buen gobierno, ética, integridad pública y prevención de la corrupción que se establezcan legalmente o se determinen por el Consejo de Gobierno.

Así, el Consejo de Gobierno en su sesión del 30 de julio de 2020 aprobó la “Estrategia de Gobernanza Pública de la Comunidad Autónoma de la Región de Murcia para el periodo 2020-2022”, cuyo objeto es definir las líneas y objetivos fundamentales





que en materia de gobernanza pública va a impulsar y poner en marcha la Administración regional en ese período temporal, abordando cuestiones tales como la transparencia, la participación ciudadana en la vida pública, el buen gobierno, los datos abiertos, la evaluación de políticas públicas, la rendición de cuentas, la calidad y el servicio a la ciudadanía o la simplificación y modernización administrativa. En dicha Estrategia se incluye la línea estratégica: “D.- Ética, integridad pública y prevención de la corrupción”, a la que se vincula el objetivo estratégico: “Fomentar la puesta en marcha de medidas para prevenir y detectar la corrupción”.

La Fundación Ciudadana CIVIO (en adelante CIVIO) de conformidad con el artículo 4 de sus Estatutos, nace con la misión de contribuir a la mejora de la calidad democrática en nuestro país mediante el recurso a la tecnología, la comunicación, la investigación y el desarrollo. Además, el artículo 5 de los citados Estatutos dispone que el proyecto que origina el nacimiento de CIVIO se sostiene en cuatro pilares:

- 1) Ciudadanía: una visión del ciudadano como sujeto participativo involucrado más activamente en el proceso político;
- 2) Transparencia: un nuevo paradigma internacional de gestión de los recursos públicos cada vez más pujante;
- 3) Tecnología: el gran catalizador contemporáneo que supone el acceso a un ingente volumen de datos mediante nuevas aplicaciones digitales;
- 4) Periodismo: unos mecanismos profesionales de control de los poderes públicos al servicio de la ciudadanía.

El Center for the Study of Democracy CSD (Bulgaria), con apoyo de la Fundación Ciudadana Civio (España), la Universidad de Trento (Italia) y el Expert Forum (Rumanía), han firmado el “Grant Agreement 823816” con la Unión Europea con la finalidad de desarrollar el proyecto denominado “SceMaps”. El objetivo de esta iniciativa, financiada por el Fondo de Seguridad Interior de la Unión Europea, es apoyar a las instituciones públicas en la mejora de su gobernanza, incluyendo la lucha contra la corrupción y la prevención de la captura del Estado.

SceMaps es una herramienta integrada de evaluación de riesgos que sirve para identificar las amenazas de captura del Estado en áreas e industrias con una fuerte regulación y supervisar las políticas de lucha contra la corrupción a nivel sectorial en los Estados miembros de la UE con el objeto de detectar y solventar las posibles deficiencias en este campo.

De acuerdo con ello, SceMaps realiza una evaluación de tres sectores económicos de alto riesgo –construcción, productos farmacéuticos y venta al por mayor de combustibles– mediante la evaluación de los riesgos de captura del Estado, un estudio de la eficiencia y los efectos de las medidas y políticas anticorrupción, el perfilado de empresas e instituciones que participan en la contratación pública y un sistema de alerta de contenidos relacionados en los medios de comunicación.





El desarrollo del proyecto SceMaps precisa de la colaboración de ocho organismos de los cuatro países participantes (España, Italia, Rumanía y Bulgaria), que se ofrezcan a que se realice una evaluación de la eficiencia y efectos de las medidas y políticas anticorrupción adoptadas en sus respectivos ámbitos.

En definitiva, el objetivo del proyecto SceMaps es monitorizar la implementación de las políticas anticorrupción, con el fin de evaluar si las posibles vulnerabilidades en el ámbito de la lucha contra la corrupción se abordan mediante políticas adecuadas de corrupción o si por el contrario existen carencias de implementación o regulación, analizar la efectividad de las medidas existentes y proponer medidas preventivas más eficientes. El propósito final de conjunto será evaluar la eficiencia y los efectos de las medidas y de las políticas anticorrupción adoptadas por los distintos países participantes.

CIVIO ha invitado a la CARM, a través de la Consejería de Transparencia, Participación y Administración Pública, a colaborar junto con siete organismos más en el proyecto SceMaps.

En concreto, la colaboración se traduce en la realización de la encuesta Monitoring Anticorruption Policy Implementation (encuesta MACPI), desarrollada por el CSD con el apoyo de la Dirección General de Asuntos Internos de la Comisión Europea. Se trata de una encuesta, on line y anónima, que se dirigirá a empleados públicos y a agentes externos que se relacionen ordinariamente con los mismos.

La participación en el proyecto resulta de gran interés para la Consejería de Transparencia, Participación y Administración Pública, puesto que se alinea directamente con una de las medidas de promoción de la integridad pública y prevención de la corrupción previstas en la Estrategia de Gobernanza Pública de la Comunidad Autónoma de la Región de Murcia para el periodo 2020-2022, en concreto, la medida D7, consistente en elaborar un “Mapa regional de riesgos de la corrupción” de la línea estratégica: “D.- Ética, integridad pública y prevención de la corrupción”.

Esta colaboración servirá a la CARM de base para diseñar un proyecto piloto de mapa de corrupción en los sectores económicos que se han determinado como de alto riesgo en el proyecto SceMaps, así como para adquirir experiencia, aprender metodología y herramientas para abordar la tarea, más amplia, de diseño del Mapa de riesgos de corrupción que contemple las áreas que se definan como más sensibles en el ámbito regional.

En virtud de lo expuesto, y de conformidad con lo establecido en el artículo 22.18 de la Ley 6/2004, de 28 de diciembre, del Presidente y del Consejo de Gobierno de la Región de Murcia, el Consejo de Gobierno, en su sesión de -----a propuesta de la Consejera de Transparencia, Participación y Administración Pública,





ACUERDA

ÚNICO. Autorizar la celebración del “*Convenio de colaboración entre la Comunidad Autónoma de la Región de Murcia, a través de la Consejería de Transparencia, Participación y Administración Pública, y la Fundación ciudadana CIVIO para el desarrollo del proyecto “SceMaps”*”, que se acompaña como anexo, y designar a la Consejera de Transparencia, Participación y Administración Pública para su suscripción.

**LA CONSEJERA DE TRANSPARENCIA, PARTICIPACIÓN
Y ADMINISTRACIÓN PÚBLICA**
Beatriz Ballesteros Palazón





Región de Murcia
Consejería de Transparencia,
Participación y Administración Pública

CONVENIO DE COLABORACIÓN ENTRE LA COMUNIDAD AUTÓNOMA DE LA REGIÓN DE MURCIA, A TRAVÉS DE LA CONSEJERÍA DE TRANSPARENCIA, PARTICIPACIÓN Y ADMINISTRACIÓN PÚBLICA Y LA FUNDACIÓN CIUDADANA CIVIO PARA EL DESARROLLO DEL PROYECTO “SceMaps”.

En Murcia a ... de... 2020

REUNIDOS

De una parte, la Excm. Sra. Dña. Beatriz Ballesteros Palazón, Consejera de Transparencia, Participación y Administración Pública de la Comunidad Autónoma de la Región de Murcia, nombrada por Decreto de la Presidencia nº 37/2019, de 31 de julio, actuando en representación de la misma para la firma del presente convenio en virtud del artículo 16.2.a) de la Ley 7/2004, de 28 de diciembre, de Organización y Régimen Jurídico de la Administración Pública de la Comunidad Autónoma de la Región de Murcia, cuya celebración ha sido autorizada por acuerdo del Consejo de Gobierno, de fecha ... de de 2020.

De otra parte, la Fundación Ciudadana CIVIO, con domicilio social en Paseo San Francisco de Sales 29, 7B, 28003 Madrid, con C.I.F. número G-86361862 e inscrita en el Registro de Fundaciones del Ministerio de Educación, Cultura y Deporte con número 1441, representada en este acto por D. David Cabo Calderón, haciendo uso del Poder otorgado a su favor, con fecha 17 de diciembre de 2012, ante el Notario del Ilustre Colegio de Madrid, D. Rodrigo Tena Arregui.

Reconociéndose mutuamente capacidad suficiente para formalizar el presente documento y obligar a las instituciones a las que representan:





EXPONEN

1. Que la Consejería de Transparencia, Participación y Administración Pública es el Departamento de la Comunidad Autónoma de la Región de Murcia (en adelante CARM) encargado de la propuesta, desarrollo y ejecución de las directrices generales del Consejo de Gobierno en materia de buen gobierno, que asume con carácter transversal, de conformidad con lo establecido en el artículo 9 del Decreto del Presidente n.º 29/2019, de 31 de julio, de reorganización de la Administración Regional, en su redacción dada por el Decreto de la Presidencia n.º 44/2019, de 3 de septiembre.

Por otro lado, el artículo 5 del Decreto n.º 174/2019, de 6 de septiembre, por el que se establecen los Órganos Directivos de la Consejería de Transparencia, Participación y Administración Pública establece que, a la Dirección General de Regeneración y Modernización Administrativa le corresponde la coordinación, puesta en marcha y supervisión del cumplimiento de las medidas en materia de buen gobierno, ética, integridad pública y prevención de la corrupción que se establezcan legalmente o se determinen por el Consejo de Gobierno.

Así, el Consejo de Gobierno en su sesión del 30 de julio de 2020 aprobó la “Estrategia de Gobernanza Pública de la Comunidad Autónoma de la Región de Murcia para el periodo 2020-2022”, en la que se incluye la línea estratégica: “D.- Ética, integridad pública y prevención de la corrupción”, a la que se vincula el objetivo estratégico: “Fomentar la puesta en marcha de medidas para prevenir y detectar la corrupción”.

2. Que la Fundación Ciudadana CIVIO (en adelante CIVIO) de conformidad con el artículo 4 de sus Estatutos, nace con la misión de contribuir a la mejora de la calidad democrática en nuestro país mediante el recurso a la tecnología, la comunicación, la investigación y el desarrollo. Además, el artículo 5 de los citados Estatutos dispone que el proyecto que origina el nacimiento de CIVIO se sostiene en cuatro pilares: 1)





Ciudadanía: una visión del ciudadano como sujeto participativo involucrado más activamente en el proceso político; 2) Transparencia: un nuevo paradigma internacional de gestión de los recursos públicos cada vez más pujante; 3) Tecnología: el gran catalizador contemporáneo que supone el acceso a un ingente volumen de datos mediante nuevas aplicaciones digitales; 4) Periodismo: unos mecanismos profesionales de control de los poderes públicos al servicio de la ciudadanía. Para el cumplimiento de dichos fines, CIVIO podrá, entre otras actividades, suscribir acuerdos de cooperación, convenios de colaboración, y participación o asistencia en el desarrollo de actividades de otras entidades, organismos, instituciones o personas físicas o jurídicas que coadyuven a la actividad de la Fundación.

3. Que el Center for the Study of Democracy CSD (Bulgaria), con apoyo de la Fundación Ciudadana Civio (España), la Universidad de Trento (Italia) y el Expert Forum (Rumanía), han firmado el “Grant Agreement 823816” con la Unión Europea con la finalidad de desarrollar el proyecto denominado “SceMaps”¹. El objetivo de esta iniciativa, financiada por el Fondo de Seguridad Interior de la Unión Europea, es apoyar a las instituciones públicas en la mejora de su gobernanza, incluyendo la lucha contra la corrupción y la prevención de la captura del Estado.

SceMaps es una herramienta integrada de evaluación de riesgos que sirve para identificar las amenazas de captura del Estado en áreas e industrias con una fuerte regulación y supervisar las políticas de lucha contra la corrupción a nivel sectorial en los Estados miembros de la UE con el objeto de detectar y solventar las posibles deficiencias en este campo.

¹ Acrónimo en inglés de State Capture and Monitoring of Anti-corruption Policies at the Sectoral level, en español, Estimación de la Captura del Estado y Seguimiento de las Políticas Anticorrupción a Nivel Sectorial.





De acuerdo con ello, SceMaps realiza una evaluación de tres sectores económicos de alto riesgo –construcción, productos farmacéuticos y venta al por mayor de combustibles– mediante la evaluación de los riesgos de captura del Estado, un estudio de la eficiencia y los efectos de las medidas y políticas anticorrupción, el perfilado de empresas e instituciones que participan en la contratación pública y un sistema de alerta de contenidos relacionados en los medios de comunicación.

El desarrollo del proyecto SceMaps precisa de la colaboración de ocho organismos de los cuatro países participantes (España, Italia, Rumanía y Bulgaria), que se ofrezcan a que se realice una evaluación de la eficiencia y efectos de las medidas y políticas anticorrupción adoptadas en sus respectivos ámbitos.

En concreto, la colaboración de los organismos participantes se traduce en la realización en su organización de la encuesta Monitoring Anticorruption Policy Implementation² (encuesta MACPI), desarrollada por el CSD con el apoyo de la Dirección General de Asuntos Internos de la Comisión Europea.

La encuesta tendría lugar tras un primer encuentro con los responsables de los organismos participantes para conocer qué políticas de prevención de la corrupción tienen en marcha. La encuesta individualizada y completamente anónima se realizaría entre los empleados públicos de dichos organismos y también entre aquellos agentes externos que se relacionen ordinariamente con los mismos.

El objetivo de esta acción es que los organismos puedan tomar conciencia de sus debilidades en el ámbito de la lucha contra la corrupción y puedan adoptar internamente medidas preventivas más eficientes. En definitiva, ayudarles a identificar riesgos, bien por falta de implementación de medidas o vacíos regulatorios, y proporcionarles apoyo para reducirlos. El propósito final del conjunto será evaluar la eficiencia y los efectos de

² Monitorización de la Implementación de Políticas Anticorrupción





las medidas y de las políticas anticorrupción adoptadas por los distintos países participantes.

4. CIVIO ha invitado a la CARM, a través de la Consejería de Transparencia, Participación y Administración Pública, a participar junto con siete organismos más en el proyecto SceMaps.

La participación en el proyecto resulta de gran interés para la Consejería de Transparencia, Participación y Administración Pública, puesto que se alinea directamente con una de las medidas de promoción de la integridad pública y prevención de la corrupción previstas en la Estrategia de Gobernanza Pública de la Comunidad Autónoma de la Región de Murcia para el periodo 2020-2022, en concreto, la medida D7, consistente en elaborar un “Mapa regional de riesgos de la corrupción” de la línea estratégica: “D.- Ética, integridad pública y prevención de la corrupción”.

Esta colaboración servirá a la CARM de base para diseñar un proyecto piloto de mapa de corrupción en los sectores económicos que se han determinado como de alto riesgo en el proyecto SceMaps, así como para adquirir experiencia, aprender metodología y herramientas para abordar la tarea, más amplia, de diseño del Mapa de riesgos de corrupción que contemple las áreas que se definan como más sensibles en el ámbito regional.

En atención a las consideraciones que preceden, las partes intervinientes en este acto, estando interesadas en colaborar en el desarrollo del proyecto SceMaps, en virtud de la representación que ostentan, acuerdan suscribir el presente convenio, con arreglo a las siguientes

CLÁUSULAS

PRIMERA. OBJETO Y FINALIDAD.





El presente Convenio tiene por objeto colaborar en el desarrollo del proyecto SceMaps, mediante la puesta en práctica en la CARM de la encuesta MACPI. Esta encuesta será on line y anónima, y se dirigirá a empleados públicos y a agentes externos que se relacionen ordinariamente con los mismos.

El objetivo de esta acción es monitorizar la implementación de las políticas anticorrupción en la CARM, con el fin de evaluar si las posibles vulnerabilidades en el ámbito de la lucha contra la corrupción se abordan mediante políticas adecuadas de corrupción o si por el contrario existen carencias de implementación o regulación, analizar la efectividad de las medidas existentes y proponer medidas preventivas más eficientes.

SEGUNDA. COMPROMISOS DE LAS PARTES.

1. La CARM, a través de la Dirección General de Regeneración y Modernización Administrativa, se compromete a:

a) Colaborar en la adaptación de la encuesta MACPI (siglas de Monitoring Anticorruption Policy Implementation) desarrollada por el Center for the Study of Democracy (CSD), coordinador de SceMaps y responsable de la metodología. Para ello confeccionará un listado de las áreas de actividad vinculadas con el objeto de estudio de SceMaps y de las medidas y políticas anticorrupción en vigor que estén dirigidas a acotar los riesgos de corrupción potenciales en cada una de estas áreas.

b) Enviar el enlace de la encuesta a aquellos empleados públicos que trabajen en los departamentos que participen, intervengan o se relacionen de forma directa o indirecta con uno o varios de los tres sectores económicos evaluados por SceMaps –construcción, productos farmacéuticos y venta al por mayor de combustibles–, siendo necesario que el mecanismo utilizado no permita su identificación, para conseguir una participación libre y honesta de la persona encuestada.

c) Enviar una versión de esta encuesta a personas externas –profesionales, miembros de otras administraciones, académicos, periodistas, etc.– que tengan





vinculación, relación o conocimiento de aquellas actividades que realiza la CARM por las que se preguntará en la encuesta.

2. CIVIO, se compromete a:

a) Como miembro de SceMaps, intermediar entre el Center for the Study of Democracy (CSD) y la CARM, con el objetivo de adaptar la mencionada encuesta al contexto del Gobierno de la Región de Murcia e informar del volumen de participación en la misma, con el propósito de alcanzar un número metodológicamente adecuado de respuestas.

b) Proporcionar a la Dirección General de Regeneración y Modernización Administrativa los resultados del análisis de las respuestas y de la evaluación de los distintos indicadores elaborado por CSD.

TERCERA. FINANCIACIÓN.

De la suscripción de este Convenio no se derivan obligaciones económicas para ninguna de las partes.

CUARTA. COMISIÓN DE SEGUIMIENTO.

1. La Comisión de Seguimiento será responsable del seguimiento, vigilancia y control del Convenio, y estará compuesta por dos miembros por entidad, en concreto:

- En representación de la Administración Regional, la persona titular de la Dirección General competente en materia de Buen Gobierno o persona en quien delegue, y un técnico del citado centro directivo designado por su titular.

- En representación de CIVIO, su codirector D. David Cabo Calderón o la persona en quien delegue, y la persona responsable de coordinar la colaboración de esta fundación en SceMaps.

2. La Comisión se constituirá en el plazo de 15 días a partir de la firma del Convenio y tendrá como funciones, además de las citadas:





a) Favorecer, en todo momento, la comunicación entre las partes, resolviendo todo aquello que sea posible de forma inmediata o solicitando, en caso contrario, la intervención de los responsables adecuados en cada caso.

b) Resolver las dudas sobre interpretación o modificación del Convenio.

3. La Comisión aprobará su régimen de funcionamiento y en lo no previsto, se regirá por lo dispuesto en el Capítulo II del Título Preliminar de la Ley 40/2015, de 1 de octubre, de Régimen Jurídico del Sector Público, que regula el funcionamiento de los órganos colegiados.

QUINTA. MODIFICACIÓN DEL CONVENIO.

Los términos del Convenio podrán ser modificados de mutuo acuerdo entre las partes, debiendo incorporarse dicha modificación como adenda al mismo.

SEXTA. VIGENCIA Y EXTINCIÓN.

1. El Convenio tendrá un plazo de vigencia a contar desde la fecha de su firma por la parte que lo haga en último lugar, y hasta el 30 de abril de 2021, la fecha de finalización del proyecto SceMaps.

2. El Convenio podrá extinguirse por las siguientes causas:

a) Por el transcurso del plazo de duración previsto.

b) Por previa denuncia de alguna de las partes, que deberá ser comunicada a la otra con una antelación mínima de un mes a la fecha en que vaya a darse por finalizado.

c) Por mutuo acuerdo, imposibilidad sobrevenida de cumplir los compromisos adquiridos o fuerza mayor.

d) Por resolución del mismo, que podrá venir motivada por el incumplimiento de alguna de sus cláusulas.





SÉPTIMA. CONSECUENCIAS EN CASO DE INCUMPLIMIENTO DEL CONVENIO.

1. En caso de incumplimiento de las obligaciones y compromisos asumidos por cada una de las partes, cualquiera de ellas podrá notificar a la parte incumplidora un requerimiento para que cumpla en un determinado plazo con las obligaciones o compromisos que se consideran incumplidos. Si transcurrido el plazo indicado en el requerimiento, persistiera el incumplimiento, la parte que lo dirigió notificará la concurrencia de la causa de resolución y se entenderá resuelto el Convenio.

2. No se prevé régimen de indemnizaciones más allá de la resolución del Convenio, en el caso de que una de las partes incurra en alguna de las causas mencionadas con anterioridad.

OCTAVA. NATURALEZA DEL CONVENIO Y JURISDICCIÓN COMPETENTE.

El Convenio tiene naturaleza administrativa y las cuestiones litigiosas que pudieran surgir serán competencia de la Jurisdicción Contenciosa Administrativa.

Y dejando constancia de conformidad con la totalidad de los acuerdos de este convenio, lo firman electrónicamente.

**POR LA CONSEJERÍA DE
TRANSPARENCIA,
PARTICIPACIÓN Y
ADMINISTRACIÓN PÚBLICA**

POR LA FUNDACIÓN CIVIO

Fdo.: Beatriz Ballesteros Palazón

Fdo.: David Cabo Calderón





BALLESTEROS PALAZÓN, BEATRIZ

18/12/2020 11:40:12

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Región de Murcia
Consejería de Transparencia,
Participación y Administración Pública